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15	Attorneys for United States of America						
16	UNITED STATES DISTRICT COURT						
17	NORTHERN DISTRICT OF CALIFORNIA						
18							
19	UNITED STATES OF AMERICA,	CASE NO. 21-8735					
20	Plaintiff,						
21	V	COMPLAINT					
22	V.	DEMAND FOR JURY TRIAL					
23	UBER TECHNOLOGIES, INC.						
24	Defendant.						
25	Plaintiff United States of America brings this action to enforce Title III of the Americans with						
26	Disabilities Act ("ADA"), 42 U.S.C. §§ 12181-89,						
27	C.F.R. Part 37, against Defendant Uber Technolog						
28	COMPLAINT 1						

prohibits discrimination based on disability by a private entity that is primarily engaged in the business of transporting people and whose operations affect commerce. 42 U.S.C. § 12184(a). The United States alleges as follows:

BACKGROUND

- 1. Uber discriminates against passengers with disabilities and potential passengers with disabilities in violation of the ADA, 42 U.S.C. §§ 12181-89, and its implementing regulation, 49 C.F.R. Part 37, through its policies and practices of imposing "wait time" fees on passengers with disabilities who, because of disability, require more time than that allotted by Uber to board the vehicle.
- 2. In violation of the ADA, Uber has failed to (1) ensure adequate vehicle boarding time for passengers with disabilities; (2) ensure equitable fares for passengers with disabilities; and (3) make reasonable modifications to its policies and practices of imposing wait time fees as applied to passengers who, because of disability, require more time to board the vehicle. *See* 49 C.F.R. §§ 37.167(i), 37.29(c), and 37.5(d), (f); 42 U.S.C. § 12184(a), (b)(2)(A); *see also* 28 C.F.R. § 36.302 (incorporated by reference in 49 C.F.R. § 37.5(f)).
- 3. The Attorney General has commenced this action based on a determination that Uber has engaged in a pattern or practice of discrimination and a determination that a person or group of persons has been discriminated against, and that such discrimination raises an issue of general public importance. 42 U.S.C. § 12188(b)(1)(B). The United States seeks declaratory and injunctive relief, monetary damages, including compensatory and emotional distress damages, and a civil penalty against Uber.
- 4. Congress enacted the ADA in 1990 "to provide a clear and comprehensive national mandate for the elimination of discrimination against individuals with disabilities." 42 U.S.C. § 12101(b)(1). In enacting the ADA, Congress found that discrimination against individuals with disabilities persists in transportation. *See* 42 U.S.C. § 12101(a)(3).
- 5. The ADA's prohibition against discrimination in specified public transportation services provided by private entities, such as Uber, is essential to furthering the ADA's purpose "to invoke the

sweep of congressional authority . . . to address the major areas of discrimination faced day-to-day by people with disabilities." 42 U.S.C. § 12101(b)(4).

PARTIES

6. Plaintiff is the United States of America.

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- 7. Defendant Uber is a Delaware corporation with its principal place of business at 1515 3rd Street, San Francisco, California 94158. In this complaint, "Uber" refers to Uber Technologies, Inc. and any of its subsidiary companies or operationally distinct segments that are responsible for Uber's provision of transportation services. See 49 C.F.R. § 37.37(f) (nondiscrimination requirements apply to any subsidiary company or operationally distinct segment of a parent company that is primarily engaged in the provision of transportation services).
- 8. Uber is a for-profit company that provides transportation services to individuals throughout the United States. Passengers request transportation through Uber's mobile software application, and Uber arranges rides between passengers and a fleet of drivers.
- 9. While Uber does not own all the vehicles in this fleet, Uber maintains control over vehicle specifications, driver qualifications, the amount each passenger must pay, and the general ride experience for each trip.
- 10. As Uber and other similar providers have gained popularity over traditional taxi services as the primary option for on-demand transportation, Uber plays an important role in ensuring independence for countless people with disabilities who choose to – or simply must – rely on its services to travel.
- 11. Uber provides "specified public transportation services," which the ADA defines as "transportation by . . . any [] conveyance (other than by aircraft) that provides the general public with general or special service (including charter service) on a regular and continuing basis." 42 U.S.C. § 12181(10); see also 49 C.F.R. § 37.3.
- 12. Uber is a private entity primarily engaged in the business of transporting people, and its operations affect commerce. See 42 U.S.C. §§ 12181(1), (6), 12184(a) and 49 C.F.R. § 37.3.

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- 13. Uber is a private entity that provides taxi and other transportation services that involve calling for a vehicle and a driver to take an individual to a place or places. *See* 49 C.F.R. § 37.29; *see also* 49 pt. 37, app. D § 37.29.
- 14. Uber provided 2.3 billion trips in the United States from 2017-2018, including more than3.1 million individual trips per day.

JURISDICTION AND VENUE

- 15. This Court has jurisdiction over this action under the ADA, 42 U.S.C. § 12188(b)(1)(B), and 28 U.S.C. §§ 1331 and 1345, because it involves claims arising under federal law and is commenced by the United States.
- 16. The Court may grant declaratory relief and other necessary or proper relief pursuant to 28 U.S.C. §§ 2201 and 2202, and may grant equitable relief, monetary damages, and a civil penalty pursuant to 42 U.S.C. § 12188(b)(2).
- 17. Venue is proper in this district pursuant to 28 U.S.C. § 1391 because Uber operates, resides and has its principal place of business in this district, and a substantial part of the events or omissions giving rise to the claims occurred in this district.
- 18. Divisional Assignment. Assignment to the San Francisco or Oakland Division is proper under Civil L.R. 3-2(c) because Uber is headquartered in San Francisco and a substantial part of the events or omissions that give rise to the claims occurred therein.

FACTS

Uber's Policies and Practices of Imposing Wait Time Fees on Passengers Who, Because of Disability, Require More Time to Board the Uber Vehicle

- 19. In April 2016, Uber launched a new policy of charging passengers wait time fees in limited locations throughout the United States.
- 20. Under this policy, Uber charges wait time fees starting two minutes after the Uber vehicle arrives at the pickup location, and the fees are charged until the vehicle begins its trip.

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21.	Uber later expanded its policy to apply to all cities in the United States where Uber
operates.	

- 22. Uber has publicly stated that the amount of the wait time fee charged depends on the passenger's city and the category of Uber service the passenger is taking.
- 23. The two-minute window before which wait time fees are charged begins when Uber determines via the global positioning system, or GPS, that the driver has arrived at the pickup location.
- 24. Uber drivers do not have discretion related to wait time fees to indicate when they have arrived at the pick-up location.
 - 25. Uber drivers do not have discretion to waive a wait time fee.
- 26. Many passengers with disabilities require more than two minutes to board or load into a vehicle for various reasons, including because they may use mobility aids and devices such as wheelchairs and walkers that need to be broken down and stored in the vehicle or because they simply need additional time to board the vehicle.
- 27. Passengers with disabilities who take longer than two minutes to board or load into the vehicle are charged a wait time fee regardless of the reason that it takes them longer than two minutes to begin the trip.
 - 28. Upon request, Uber has issued wait time fee refunds to some passengers with disabilities.
- 29. Uber, however, has also denied wait time fee refunds to some passengers with disabilities even after being informed that the fees were charged because of their disabilities.

Uber Discriminates Against Passengers and Potential Passengers Who, Because of Disability, Are Charged or Are Aware That They Would Be Charged Wait Time Fees

30. Uber's policies and practices of charging wait time fees based on disability have impacted many passengers and potential passengers with disabilities throughout the country, including:

Passenger A

- 31. Passenger A is a 52-year-old woman who lives in Miami, Florida.
- 32. In 2012, Passenger A sustained spinal cord injuries that resulted in quadriplegia.

28 COMPLAINT

- 33. Because she does not walk, Passenger A uses a manual wheelchair, which she propels by pushing on round bars that surround the wheel. Her manual wheelchair also has a handle on the back that can be pushed by another person.
- 34. A person can fold up Passenger A's manual wheelchair by gripping the front and the back of the seat with each hand and pulling up so that the wheelchair collapses like an accordion. When collapsed, her wheelchair can be stored in the trunk of a standard vehicle.
- 35. In May 2020, Passenger A relocated to Louisville, Kentucky to participate in a yearlong clinical study and rehabilitation program for spinal cord injuries at the University of Louisville. She moved to an apartment in downtown Louisville, which is approximately five city blocks away from the rehabilitation center.
- 36. During her year in Louisville, Passenger A received full-time nursing assistance from nurses who helped her with personal care, as well as transferring to and from her wheelchair and storing her wheelchair in the trunk of a vehicle when she took car trips.
- 37. Passenger A relied on Uber for transportation from her apartment to the rehabilitation facility and back home again following her appointment.
- 38. Passenger A took an Uber vehicle to and from her rehabilitation appointments approximately ten times each week.
- 39. Because her rehabilitation appointment took place at the same time every day, Passenger A developed a consistent routine for requesting an Uber vehicle. Fifteen minutes before her appointment time when she was ready to leave, she went to the lobby of her apartment building or waited in front of the building and requested an Uber vehicle from her phone.
- 40. When the Uber vehicle arrived, Passenger A promptly began the process of boarding the vehicle. Her nursing assistant used a sliding board to help her transfer and slide into the backseat of the vehicle. The assistant then assisted with moving Passenger A's legs into the vehicle and buckling the safety belt over her. The assistant next collapsed Passenger A's wheelchair and stored it in the trunk of the Uber vehicle. On average, it took Passenger A at least five minutes from start to finish to board an Uber vehicle.

- 41. In August 2020, Passenger A first noticed that Uber was consistently charging her wait time fees for her daily rides.
- 42. Passenger A then examined all of her past Uber receipts and realized she had been charged a wait time fee for every ride she had taken with Uber since she relocated to Louisville in May 2020.
- 43. Because she had limited options for getting to her daily rehabilitation appointments,

 Passenger A continued to take Uber every day and continued to be charged wait time fees for every ride she took there.
- 44. Passenger A attempted to request a refund of the wait time fees from Uber through a variety of means. When she could not locate a phone number to directly contact Uber, she posted a message on her Twitter account using Uber's Twitter handle (@Uber). Passenger A also sent emails to Uber's customer service email account.
- 45. An Uber employee eventually responded to Passenger A and told her that the wait time fees were automatic and therefore Uber could not do anything to prevent them from being charged if Passenger A exceeded the two-minute time limit for any reason.
 - 46. Passenger A has not received a refund for any of the wait time fees she has been assessed.
- 47. Passenger A felt angry and upset that Uber has charged her wait time fees for her daily rides to her rehabilitation appointments. Uber's refusal to refund her money or to change its wait time fee policy makes Passenger A feel like a second-class citizen.

Passenger B

- 48. Passenger B is a 34-year-old man with cerebral palsy. He formerly lived in Philadelphia, Pennsylvania. In May 2019, Passenger B moved to Queens, a borough of New York City, New York, to accept a new job.
- 49. Although he can walk short distances, Passenger B primarily uses a manual wheelchair for mobility purposes. The wheelchair can be collapsed and folded up when it needs to be stored in the trunk of a car.

- 50. Prior to his relocation to New York in May 2019, Passenger B frequently used Uber for his transportation needs because he does not drive. Passenger B relied on Uber to provide transportation to visit friends and family, to commute to work occasionally, and to take him to social and leisure activities.
- 51. Passenger B requests an Uber vehicle only when he is ready to be picked up and begin his trip. However, it takes Passenger B longer than two minutes to board the Uber vehicle because he must fold up his wheelchair and store it in the vehicle of the trunk, which often requires the driver's assistance.
- 52. In or around September 2018, Passenger B noticed on the receipts he received from Uber that he had been charged a wait time fee on multiple occasions.
- 53. Passenger B reviewed his receipts and determined that Uber had charged him a wait time fee nearly every time he had used Uber since January 2018.
- 54. When Passenger B contacted Uber's customer service about the wait time fees, Uber initially refunded the fees he had been charged. However, after he received a certain amount of refunds, a customer service associate informed him that he had reached the maximum amount of refunds and Uber would no longer issue him any additional refunds.
- 55. Passenger B felt angry, frustrated, and upset when he found out that Uber had charged him wait time fees because of his disability and refused to provide refunds after previously providing refunds.
- 56. Similar to Passengers A and B, other individuals with disabilities throughout the country have likewise been discriminated against by Uber by being charged wait time fees because of their disabilities.
- 57. Other potential Uber passengers with disabilities know of Uber's wait time fees and will not use Uber because of those fees.

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COMPLAINT

CAUSE OF ACTION

Violation of Title III of the Americans with Disabilities Act

- 58. The United States re-alleges and incorporates by reference the allegations set forth in the preceding paragraphs.
- 59. Uber discriminates against passengers with disabilities and potential passengers with disabilities, in violation of Title III of the Americans with Disabilities Act, 42 U.S.C. §§ 12181-89, and its implementing regulation, 49 C.F.R. Part 37, through its policies and practices of imposing wait time fees on passengers with disabilities who, because of disability, require more time to board the vehicle.
- 60. Uber's violations of the ADA amount to a pattern or practice of discrimination. Its discrimination against a person or group of persons raises an issue of general public importance. Such discrimination includes Uber's failure to:
- a. Ensure adequate boarding time for passengers with disabilities, in violation of 42 U.S.C. § 12184(a) and 49 C.F.R. § 37.167(i);
- b. Ensure equitable fares for transporting passengers with disabilities, in violation of 42 U.S.C. § 12184(a) and 49 C.F.R. §§ 37.5(d), 37.29(c);
- c. Make reasonable modifications to its policies, practices, and procedures of charging a wait time fee as applied to passengers who, because of disability, require more time to board the vehicle. *See* 42 U.S.C. § 12184(a), (b)(2)(A); 49 C.F.R. § 37.5(f); *see also* 28 C.F.R. § 36.302 (incorporated by reference in 49 C.F.R. § 37.5(f)).
- 61. Passengers with disabilities and potential passengers with disabilities have been harmed and continue to be harmed by Uber's alleged violations of the ADA, and are entitled to monetary damages, including compensatory and emotional distress damages. 42 U.S.C. § 12188.

PRAYER FOR RELIEF

Plaintiff United States prays this Court:

A. Grant judgment in favor of the United States and declare that Uber's actions, policies, and practices, as alleged in this complaint, violate Title III of the ADA, 42 U.S.C. §§ 12181-89, and its implementing regulation, 49 C.F.R. Part 37;

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- B. Enjoin Uber, its officers, agents, employees, drivers, and all others in concert or in participation with it, from engaging in discrimination against individuals with disabilities, and from failing to comply with Title III of the ADA, 42 U.S.C. §§ 12181-89, and its implementing regulation, 49 C.F.R. Part 37;
- C. Order Uber to modify its policies, practices, and procedures, including its wait time fee policy, to comply with Title III of the ADA, 42 U.S.C. §§ 12181-89, and its implementing regulation, 49 C.F.R. Part 37;
- D. Order Uber to provide ADA training to its officers, agents, employees, drivers, and all others in concert or in participation with it;
- E. Award monetary damages, including compensatory damages for emotional distress and other injuries, to persons aggrieved by Uber's actions or failures to act, pursuant to 42 U.S.C. § 12188(b)(2)(B);
- F. Assess a civil penalty against Uber pursuant to 42 U.S.C. § 12188(b)(2)(C), to vindicate the public interest; and
- G. Order such other appropriate relief as the interests of justice may require, together with the United States' costs and disbursements in this action.

DEMAND FOR JURY TRIAL

Plaintiff demands a trial by jury as to all issues.

Case 3:21-cv-08735 Document 1 Filed 11/10/21 Page 11 of 11

1	Dated: November 10, 2021	
2	Respectfully submitted,	
3		
4	/s/Stephanie M. Hinds STEPHANIE M. HINDS	/s/Kristen Clarke KRISTEN CLARKE
5	Acting United States Attorney	Assistant Attorney General Civil Rights Division
6		
7		REBECCA B. BOND
8		Chief
9	/ /D : 11M D W:	_/s/Cheryl Rost
10	/s/David M. DeVito DAVID M. DEVITO	KATHLEEN P. WOLFE Special Litigation Counsel
11 12	Assistant United States Attorney	KEVIN J. KIJEWSKI Deputy Chief
13		CHERYL ROST MATTHEW FAIELLA
14		SARAH GOLABEK-GOLDMAN Trial Attorneys
15		Disability Rights Section Civil Rights Division
16		U.S. Department of Justice
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COMPLAINT

Filed 11/10/21 Page 1 of 2 Case 3:21-cv-08735

The JS-CAND 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIF

United States of America

- **(b)** County of Residence of First Listed Plaintiff (EXCEPT IN U.S. PLAINTIFF CASES)
- (c) Attorneys (Firm Name, Address, and Telephone Number) US Department of Justice, 950 Pennsylvania Ave., NW, Washington, DC 20530, (202) 616-3193 US Attorney's Office, 450 Golden Gate Ave., San Francisco, CA 94102, (415) 436-7332

DEFENDANTS

Uber Technologies, Inc.

County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) San Francisco

IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

Covington & Burling, LLP, 850 Tenth St., NW, Washington, DC 20001, (202) 662-5238

•	BASIS OF JURISDICTION (Place an "X" in One Box Only)	III.	CITIZENSHIP OF I (For Diversity Cases Only)	PRINCII	PAL PA	ARTIES (Place an "X" in One Bo and One Box for Defend		aintiff
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< 1	U.S. Government Plaintiff 3 Federal Question (U.S. Government Not a Party)		Citizen of This State	1	1	Incorporated <i>or</i> Principal Place of Business In This State	4	4
2	U.S. Government Defendant 4 Diversity (Indicate Citizenship of Parties in Item III)		Citizen of Another State	2	2	Incorporated <i>and</i> Principal Place of Business In Another State	5	5
	(matcate Citizenship by Farites in Hem 111)		Citizen or Subject of a Foreign Country	3	3	Foreign Nation	6	6

CONTRACT	TOI	RTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment Of Veteran's Benefits 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excludes Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle 355 Motor Vehicle Product Liability 360 Other Personal Injury 362 Personal Injury -Medical Malpractice CIVIL RIGHTS 440 Other Civil Rights 441 Voting 442 Employment 443 Housing/ Accommodations 445 Amer. w/Disabilities— Employment 446 Amer. w/Disabilities—Other 448 Education	PERSONAL INJURY 365 Personal Injury — Product Liability 367 Health Care/ Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage 385 Property Damage Product Liability PRISONER PETITIONS HABEAS CORPUS 463 Alien Detainee 510 Motions to Vacate Sentence 530 General 535 Death Penalty OTHER 540 Mandamus & Other 550 Civil Rights 555 Prison Condition 560 Civil Detainee— Conditions of Confinement	625 Drug Related Seizure of Property 21 USC § 881 690 Other LABOR 710 Fair Labor Standards Act 720 Labor/Management Relations 740 Railway Labor Act 751 Family and Medical Leave Act 790 Other Labor Litigation 791 Employee Retirement Income Security Act IMMIGRATION 462 Naturalization Application 465 Other Immigration Actions	422 Appeal 28 USC § 158 423 Withdrawal 28 USC § 157 PROPERTY RIGHTS 820 Copyrights 830 Patent 835 Patent—Abbreviated New Drug Application 840 Trademark 880 Defend Trade Secrets Act of 2016 SOCIAL SECURITY 861 HIA (1395ff) 862 Black Lung (923) 863 DIWC/DIWW (405(g)) 864 SSID Title XVI 865 RSI (405(g)) FEDERAL TAX SUITS 870 Taxes (U.S. Plaintiff or Defendant) 871 IRS—Third Party 26 USC § 7609	375 False Claims Act 376 Qui Tam (31 USC § 3729(a)) 400 State Reapportionmen 410 Antitrust 430 Banks and Banking 450 Commerce 460 Deportation 470 Racketeer Influenced Corrupt Organization: 480 Consumer Credit 485 Telephone Consumer Protection Act 490 Cable/Sat TV 850 Securities/Commoditi Exchange 890 Other Statutory Action 891 Agricultural Acts 893 Environmental Matter 895 Freedom of Information Act 896 Arbitration 899 Administrative Procedur Act/Review or Appea Agency Decision 950 Constitutionality of St Statutes
ORIGIN (Place and 1 Original Proceeding		Remanded from 4 Reinst	tated or 5 Transferred from Another District		8 Multidistrict sfer Litigation–Direct F

Transportation-related disability discrimination claims

REQUESTED IN CHECK IF THIS IS A CLASS ACTION CHECK YES only if demanded in complaint: UNDER RULE 23, Fed. R. Civ. P. **JURY DEMAND:** × Yes **COMPLAINT:**

VIII. RELATED CASE(S), **JUDGE** DOCKET NUMBER IF ANY (See instructions):

DIVISIONAL ASSIGNMENT (Civil Local Rule 3-2)

× SAN FRANCISCO/OAKLAND SAN JOSE **EUREKA-MCKINLEYVILLE** (Place an "X" in One Box Only)

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS-CAND 44

Authority For Civil Cover Sheet. The JS-CAND 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved in its original form by the Judicial Conference of the United States in September 1974, is required for the Clerk of Court to initiate the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I. a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
 - b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
 - c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)."
- II. Jurisdiction. The basis of jurisdiction is set forth under Federal Rule of Civil Procedure 8(a), which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 - (1) United States plaintiff. Jurisdiction based on 28 USC §§ 1345 and 1348. Suits by agencies and officers of the United States are included here.
 - (2) <u>United States defendant</u>. When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
 - (3) <u>Federal question</u>. This refers to suits under 28 USC § 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
 - (4) <u>Diversity of citizenship</u>. This refers to suits under 28 USC § 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.)**
- **III. Residence (citizenship) of Principal Parties.** This section of the JS-CAND 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerk(s) in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the six boxes.
 - (1) Original Proceedings. Cases originating in the United States district courts.
 - (2) Removed from State Court. Proceedings initiated in state courts may be removed to the district courts under Title 28 USC § 1441. When the petition for removal is granted, check this box.
 - (3) Remanded from Appellate Court. Check this box for cases remanded to the district court for further action. Use the date of remand as the filing
 - (4) Reinstated or Reopened. Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
 - (5) <u>Transferred from Another District</u>. For cases transferred under Title 28 USC § 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
 - (6) <u>Multidistrict Litigation Transfer</u>. Check this box when a multidistrict case is transferred into the district under authority of Title 28 USC § 1407. When this box is checked, do not check (5) above.
 - (8) Multidistrict Litigation Direct File. Check this box when a multidistrict litigation case is filed in the same district as the Master MDL docket.
 - Please note that there is no Origin Code 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.
- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC § 553. Brief Description: Unauthorized reception of cable service.
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Federal Rule of Civil Procedure 23.
 - Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.
 - Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS-CAND 44 is used to identify related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.
- IX. Divisional Assignment. If the Nature of Suit is under Property Rights or Prisoner Petitions or the matter is a Securities Class Action, leave this section blank. For all other cases, identify the divisional venue according to Civil Local Rule 3-2: "the county in which a substantial part of the events or omissions which give rise to the claim occurred or in which a substantial part of the property that is the subject of the action is situated."

Date and Attorney Signature. Date and sign the civil cover sheet.