



Greg D. Andres

Partner

greg.andres@davispolk.com

Mr. Andres is a partner in Davis Polk's Litigation Department, concentrating in white collar criminal defense and representing clients in both civil and criminal trials. He has represented individuals, financial institutions and other entities in a wide range of regulatory and criminal investigations involving market manipulation, insider trading, securities, procurement and tax fraud, and money laundering. He also has extensive experience in anti-corruption matters, both in private practice and at the Department of Justice; his current practice involves investigations that span the globe from China to Europe, India and Mexico.

Mr. Andres is an experienced trial lawyer who has conducted more than a dozen jury trials and supervised dozens of others involving financial fraud, FCPA, terrorism, and organized crime. He served as the lead trial lawyer in the first criminal trial stemming from the recent financial crisis. In private practice, he has served as trial counsel on a variety of matters involving antitrust claims, securities fraud, legal malpractice and FCPA issues.

He previously served in senior government positions during more than a decade at the U.S. Department of Justice, including most recently as a Deputy Assistant Attorney General in the Criminal Division at the Department of Justice, where he supervised both the Fraud and Appellate Sections and managed the Department's FCPA program. He previously served as Chief of the Criminal Division at the U.S. Attorney's Office in the Eastern District of New York, where he supervised more than 100 federal prosecutors in a wide range of investigations and prosecutions. He also represented the Department before Congress, testifying before both houses. Mr. Andres served on several task forces while at the Department of Justice, including the Financial Fraud Enforcement Task Force (and before that, the Corporate Fraud Task Force), the Health Care Fraud Prevention and Enforcement Action Team (HEAT), the Attorney General's Sentencing and Corrections Working Group, the FBI's Human Source Review Committee, and the Attorney General's Advisory Committee Criminal Chiefs' Working Group.

Work Highlights

- Major financial institution in the global criminal, regulatory and civil FX matters
- Multiple financial institutions in connection with DOJ's Swiss cross-border tax investigation
- A Fortune 500 consumer goods company in an internal anti-corruption investigation in Asia
- A Fortune 500 infrastructure, energy and health care company in various anti-corruption investigations
- A European energy and infrastructure company in an anti-corruption investigation
- A Fortune 500 company in a federal criminal corporate espionage matter

- A hedge fund and senior analysts in criminal and regulatory insider trading matters
- A senior executive branch official in a federal criminal leak investigation
- Senior bank executives in the Madoff Ponzi scheme investigation
- A technology company in a criminal procurement fraud investigation
- A private equity firm in a New York State tax investigation
- A senior bank official in an investigation of alleged money laundering by wealth management clients
- A senior Chinese executive in federal criminal bribery prosecution

Recognition

Mr. Andres has been consistently recognized for his work in the legal profession by industry leading organizations:

- *Chambers USA* – White Collar Crime and Government Investigations
- *Benchmark Litigation* – “Future Star”: *New York*
- *Ethisphere* – “Attorneys Who Matter,” 2013 and 2014
- The Department of Justice’s Director’s “Award for Superior Performance by a Litigative Team,” 2003, 2005 and 2010
- The Attorney General’s “Distinguished Service Award,” 2008
- New York City Bar Association – The Henry L. Stimson Medal, 2005
- *The National Law Journal* – “Top 40 Under 40,” 2005

Of Note

- Adjunct Clinical Professor, New York University School of Law, 2008-2009
- Speaker on anti-corruption, compliance and white collar enforcement issues
- Contributing Author of *Advising Private Funds: A Comprehensive Guide to Representing Hedge Funds, Private Equity Funds and Their Advisers*, West (2013 eds.)

Memberships

- Member, Program Committee, Federal Bar Council
- Member, American Bar Association
- Member, New York City Bar Association

Professional History

- Partner, Davis Polk, 2013-present
- Counsel, Davis Polk, 2012-2013
- Deputy Assistant Attorney General, Criminal Division, U.S. Department of Justice, 2010-2012
- Chief of the Criminal Division, U.S. Attorney's Office, Eastern District of New York, 2007-2010

- Special Assistant U.S. Attorney, U.S. Attorney's Office, Southern District of New York, 2009-2011
- Deputy Chief, Criminal Division, U.S. Attorney's Office, Eastern District of New York, 2006-2007
- Deputy Chief, Organized Crime and Racketeering Section, U.S. Attorney's Office, Eastern District of New York, 2004-2005
- Assistant U.S. Attorney, Criminal Division, U.S. Attorney's Office, Eastern District of New York, 1999-2012
- Associate, Davis Polk, 1997-1999
- Peace Corps Volunteer, Benin, West Africa, 1989-1992
- Law Clerk, Hon. Robert Beezer, U.S. Court of Appeals, Ninth Circuit, 1996-1997
- Law Clerk, Hon. Morton A. Brody, U.S. District Court, Maine, 1995-1996

Education

- B.A., Government, University of Notre Dame, 1989
- J.D., University of Chicago Law School, 1995

Bar Admissions

- State of New York
- District of Columbia
- U.S. District Court, E.D. New York
- U.S. District Court, S.D. New York

Andrew D. Goldstein

Andrew.goldstein@usdoj.gov · (b) (6), (b) (7)(C)

EXPERIENCE

U.S. Attorney's Office for the Southern District of New York

September 2010-present

Chief, Public Corruption Unit

February 2016-present

- Helped build the Public Corruption Unit into the largest and most active in SDNY history, with 17 prosecutors, four paralegals, and four criminal investigators
- Supervise numerous major cases of public importance, including:
 - Bribery and fraud charges against nine individuals in pay-to-play schemes stemming from New York State's "Buffalo Billion" jobs initiative
 - Bribery charges against the president of the country's largest municipal jail union and the leader of a hedge fund, for a scheme involving \$20 million in union pension funds
 - Charges against senior officials at the NYPD for taking bribes in exchange for official police services
 - Charges against a billionaire businessman and others related to the bribery of the President of the United Nations General Assembly and other UN officials
 - Trial convictions of the CEO of a leading construction company for fraud in rebuilding the World Trade Center and of a correction officer for the beating death of a Rikers Island inmate and subsequent cover-up

Assistant U.S. Attorney

- Public Corruption Unit, 2012-present; Money Laundering and Asset Forfeiture Unit, 2011-2012; General Crimes Unit, 2010-2011
- Convicted, after a four-week trial, the Speaker of the New York State Assembly, Sheldon Silver
 - Put on the Government's principal cooperating witness and delivered the main summation
 - Argued for the Government before the Second Circuit on Silver's appeal in March 2017
- Obtained a guilty plea and record \$8.9 billion criminal forfeiture against BNP Paribas, S.A., for violating U.S. sanctions laws
 - Interviewed more than 60 witnesses in the U.S. and abroad
 - Obtained unprecedented cooperation from international and domestic regulators
 - Proved that BNP Paribas had created sophisticated mechanisms deliberately designed to evade U.S. embargos against Sudan, Iran, and Cuba, and that the bank's criminal conduct continued even after it ostensibly was cooperating with the investigation
- Successfully dismantled the world's biggest money-laundering operation and digital currency, Liberty Reserve
 - Convicted six of the company's top executives
 - Secured the cooperation of law enforcement in more than a dozen countries
 - Seized the Liberty Reserve website, its computer servers in Costa Rica, and more than 40 bank accounts spread across eight countries in four continents
- Successfully investigated and prosecuted the "CityTime" case, the largest municipal fraud case in U.S. history
 - Convicted three defendants after a six-week trial and negotiated the return of nearly \$500 million to New York City by a large government contractor
- Convicted after trial two high-level DEA officials for making false statements on their SF-86 security forms
- **Awards**
 - The Henry L. Stimson Medal (to be awarded June 6, 2017)
 - Director's Award for Superior Performance as an AUSA (2015)
 - Assistant Attorney General's Exceptional Service Award (2014)

Paul, Weiss, Rifkind, Wharton & Garrison LLP 2006-2007; 2008-2010
Litigation Associate

- Argued numerous motions in New York State trial and appellate courts
- Part of the trial team in the \$4 billion *AIG v. SICO* litigation

Law Clerk to the Honorable Chester J. Straub February 2007-February 2008

- U.S. Court of Appeals for the Second Circuit

Law Clerk to the Honorable Sidney H. Stein September 2005-September 2006

- Southern District of New York

TIME Magazine, 1999-2002

- *Staff Writer*, covering science and environmental policy, Washington, D.C. bureau, 2001-2002
- *Writer-Reporter*, covering education and social policy, New York City headquarters, 1999-2000
- **Awards**
 - *National Headliners Award for Outstanding Story*, 2000
 - *Henry Luce Award for Outstanding Story*, 2000
 - Nominated for a *National Award for Education Reporting* in 2001

EDUCATION

Yale Law School, J.D. June 2005

- **Publications**
 - *Sealing and Revealing: Rethinking the Rules Governing Public Access to Information Generated through Litigation*, 81 CHI-KENT L. REV. 375 (2006)
 - Note, *What Feeney Got Right: Why Courts of Appeals Should Review Sentencing Departures De Novo*, 113 YALE L.J. 1955 (2004)
 - "Open Up, Your Honor: Tell Us Why You Gave That Sentence," WASHINGTON POST, Feb. 13, 2005
 - "Money Talks," LEGAL AFFAIRS, Jan/Feb 2005
- **Awards**
 - *Thomas I. Emerson Prize* for distinguished paper related to legislation
 - *Chubb Competition Second Prize* for excellence in legal draftsmanship
- **Activities**
 - Argued (and won) two habeas cases heard in tandem by the U.S. Court of Appeals for the Second Circuit, Oct. 2004. See *Fernandez v. Artuz*, 402 F.3d 111
 - Senior Editor, *Yale Law Journal*, 2004-2005
 - Articles Editor, *Yale Journal of International Law*, 2003-2004
 - Board of Directors, Morris Tyler Moot Court Competition, Fall 2004

Princeton University, A.B., magna cum laude June 1996

- **Awards**
 - *Phi Beta Kappa*
 - *Harold Dodds Achievement Prize*, for outstanding academic achievement and service to the community
- Major: Woodrow Wilson School of Public and International Affairs
- Student Representative, Faculty-Student Committee on Discipline, 1994-1996

JEANNIE S. RHEE

(b) (6), (b) (7)(C)

Experience

WILMERHALE 2006-2009; 2011-Present
Litigation Partner. Represent corporations and senior executives in government-related litigation and investigations, including white collar criminal, civil false claims and securities enforcement cases. Conducted investigations into sensitive cybersecurity and data breach incidents for defense contracting, financial institutions and major retail clients. Member of the Compensation Committee, Chair of the Senior Associates Committee, Co-chair of the Diversity Committee, and Co-Chair of the Executive Committee Diversity Task Force.

OFFICE OF LEGAL COUNSEL, U.S. Department of Justice 2009-2011
Deputy Assistant Attorney General. Advised the Attorney General, the White House, and senior Executive Branch officials on constitutional, statutory, and regulatory issues regarding criminal law, criminal procedure, executive privilege, civil rights, immigration and national security.

U.S. ATTORNEY'S OFFICE FOR THE DISTRICT OF COLUMBIA 2000-2006
Assistant United States Attorney. Prosecuted cases involving honest services fraud, health care fraud, bribery, money laundering, national security, false statements and conspiracy. Served as trial counsel in corruption prosecution of Washington Teachers' Union officials. Conducted over thirty trials. Briefed and argued before the U.S. Court of Appeals for the D.C. Circuit. Received four special achievement awards.

OFFICE OF SENATOR TOM DASCHLE 2002
Legislative Fellow. Advised the Senate Majority Leader and senior staff on judiciary and labor matters. Detailed from the U.S. Attorney's Office.

OFFICE OF POLICY DEVELOPMENT, U.S. Department of Justice 1999-2000
Counsel. Drafted and implemented policy initiatives for the Department of Justice.

HON. JUDITH ROGERS, U.S. Court of Appeals for the District of Columbia Circuit 1998-1999
Law Clerk.

HON. STANLEY SPORKIN, U.S. District Court for the District of Columbia 1997-1998
Law Clerk.

Education

YALE LAW SCHOOL J.D. 1997
Editor-in-Chief, Yale Journal of Law & the Humanities; Editor, Yale Law Journal
Hughes-Gossett Prize, awarded by the Supreme Court Historical Society

YALE UNIVERSITY B.A. 1994
Summa Cum Laude, distinction in History
Phi Beta Kappa, early selection
John Addison Porter Prize for best American History graduate or undergraduate thesis

Affiliations

Barrister, Edward Bennett Williams Inn of Court
Board Member, Asian Pacific American Legal Resource Center
Board Member, D.C. Circuit Historical Society
Member, Grievance Committee for the U.S. District Court for the District of Columbia
Past President, D.C. Yale Law School Alumni Association
Past Co-Chair, Criminal Litigation Section, American Bar Association

Representative Matters

Litigation

- Representing the former Chief Accounting Officer of a national homebuilder at trial for conspiracy to commit securities fraud and obstruction of justice
- Representing a large defense contractor in defeating all alleged claims of fraud and misrepresentation in arbitration
- Representing major government contractor in various claims disputes and whistleblower litigation over nuclear waste cleanup efforts
- Defending a major financial institution and employees in litigation brought by the bankruptcy trustee of a collapsed money management firm involving claims of fraud and commercial bribery in connection with the purchase of collateralized debt obligations

Investigations

- Representing a parent multinational company in US investigation of former subsidiary's marketing and sales practices of an opioid drug
- Representing a major multinational bank in DOJ cross-border money-laundering and tax evasion investigations
- Representing a major financial institution and several employees in multiple agency investigations of potential mismarking and internal controls violations in the aftermath of significant losses
- Representing a financial lender in federal criminal and civil investigations into allegedly fraudulent practices involving government guaranteed loans
- Defending a former government officer accused in a national security investigation of conspiracy, immigration fraud and improper access to classified information
- Representing a former employee of a financial services company in the Southern District of New York's tax shelter investigation
- Conducting an internal investigation for the special committee of a technology company regarding allegations of stock options backdating
- Defending a major pharmaceutical company in federal criminal and civil investigations into alleged off-label drug promotion

Cybersecurity

- Representing a large defense contractor in multiple agency investigations in connection with a headline cybersecurity/insider threat incident and the leak of classified information
- Representing major retailer and financial institutions in connection with serious data breach incidents involving personally identifiable information and payment card data,

including notice to millions of customers, PCI DSS forensic investigations, and related regulatory inquiries

- Representing customers and providers in payment card breaches involving e-commerce sites, PCI DSS forensic investigations, national and international notice, third party liability issues and related regulatory and law enforcement inquiries
- Representing professional service providers in connection with cyber intrusions, ransom demands, related forensic investigation and law enforcement inquiries

Trials as an Assistant US Attorney

- *United States v. James O. Baxter, et al.*, the conviction of officials in the well-publicized Washington Teachers' Union case for conspiracy to defraud and launder proceeds, among other offenses
- *United States v. Brittan P. Day*, the conviction of an insurance broker for mail and wire fraud, among other offenses, to local union employee, welfare and pension benefits plans
- *United States v. Fabio Trinidad*, the conviction of a foreign national for attempting to kill his estranged wife upon refusal to sponsor citizenship

ANDREW WEISSMANN

LEGAL EMPLOYMENT

- 2015- present **DEPARTMENT OF JUSTICE, Criminal Division, Fraud Section, Washington, D.C.**
CHIEF
- 2013-2015 **NEW YORK UNIVERSITY SCHOOL OF LAW, New York, New York**
SENIOR FELLOW- CENTERS ON THE ADMINISTRATION OF CRIMINAL LAW AND
FOR LAW AND SECURITY - AND ADJUNCT PROFESSOR
- 2011-2013 **FEDERAL BUREAU OF INVESTIGATION, Washington, D.C.**
GENERAL COUNSEL
- 2006 -2011 **JENNER & BLOCK, New York, N.Y.**
PARTNER
2008-2011 Chair of White Collar Practice Group
2006 DOJ Attorney General's Award for Exceptional Service
- 2007 - 2011 **FORDHAM LAW SCHOOL, New York, New York**
ADJUNCT PROFESSOR OF LAW: Criminal Procedure
- 2005 **FEDERAL BUREAU OF INVESTIGATION, Washington, D.C.**
SPECIAL COUNSEL TO THE DIRECTOR
- 2002 - 2005 **ENRON TASK FORCE, Washington, D.C.**
DIRECTOR, 2004-2005 (Deputy Director, 1/2002-2004)
Attorney in charge of U.S. v. Skilling and Lay (CEOs and Chairman); U.S. v. Andrew
Fastow (CFO); U.S. v. Ben Glisan (Treasurer); U.S. v. Lea Fastow (Assistant Treasurer);
U.S. v. Daniel Bayly (six Merrill Lynch and Enron defendants); Merrill Lynch and CIBC
deferred prosecution agreements.
2003 and 2004 Department of Justice Special Achievement Awards.
- 1991 – 3/2006 **UNITED STATES ATTORNEY'S OFFICE, Eastern District of New York**
CHIEF, Criminal Division (2000-2003). Supervised 110 Assistant United States Attorneys.
Deputy Chief, Criminal Division (1997-2000).
Deputy Chief, Organized Crime and Racketeering Section (1995-1997).
Director's Awards for Superior Performance 1994, 1996, 1999 and 2000.
Major prosecutions:
 - 60+ defendant RICO stock fraud prosecution (Hanover Sterling);
 - Vincent Gigante, Boss of the Genovese Family;
 - Investigation of police officers in connection with the assault of Abner Louima;
 - Prosecution of over 50 members of the Colombo and Gambino Families, including the Acting Boss, Underboss, Consigliere, and ten captains.
 - Tried numerous cases, briefed and argued numerous appeals and a successful mandamus petition.
- 2001 - 2002 **BROOKLYN LAW SCHOOL, Brooklyn, New York**
ADJUNCT PROFESSOR OF LAW. Created and taught seminar and federal prosecution
clinic (law and practice of investigating and trial of federal crimes).
- 1985 -1990 **CLEARY, GOTTLIEB, STEEN & HAMILTON, New York, New York**
ASSOCIATE. Litigation involving hostile takeovers, S.E.C. investigations, Bank Secrecy
Act, title VII litigation, commercial disputes, and airline noise regulation. Represented pro
bono litigants in fair housing cases and death penalty habeas petitions. Represented indigent
tenants for five months as an MFY Legal Services lawyer.

1984 - 1985 **HONORABLE EUGENE H. NICKERSON, U.S.D.J., Eastern District of New York
LAW CLERK.**

EDUCATION

COLUMBIA LAW SCHOOL, J.D. 1984

Stone Scholar (1981-1984); Managing Board, Columbia Law Review; Recipient of the Negrone Award for Note in the Columbia Law Review.

FULBRIGHT FELLOWSHIP - UNIVERSITY OF GENEVA, 1980-81

PRINCETON UNIVERSITY, B.A. in History 1980, Magna cum laude

Senior Prize: Walter Phelps Hall Prize in European History. Semester at the University of Paris, France.

AARON M. ZEBLEY

(b) (6), (b) (7)(C)

EMPLOYMENT

WILMER CUTLER PICKERING HALE AND DORR, Washington D.C.

Partner

April 2014 – Present

Litigation Department

- Practice Areas: Responding to and defending against U.S. Government and Congressional investigations and inquiries; cybersecurity and data breach investigations; national security matters.

DEPARTMENT OF JUSTICE, Washington D.C.

Senior Counselor

February 2014 – March 2014

National Security Division

- Oversaw DOJ's participation in the Committee on Foreign Investment in the United States (CFIUS), and oversee the Office of Justice for Victims of Overseas Terrorism.
- Represented DOJ on the Counterterrorism Security Group (Sub-Deputies group, National Security Staff).
- Reviewed and approved before final submission to Assistant Attorney General requests to resolve selected export violations and requests for authority to carry out certain FBI national security operations.

FEDERAL BUREAU OF INVESTIGATION, Washington, D.C.

Chief of Staff

September 2011 – December 2013

Deputy Chief of Staff

March 2010 – September 2011

Special Counsel to the Director (National Security)

March 2009 – March 2010

- As Chief of Staff, advised the Director on national security, criminal, and administrative matters; identified and prioritized operational, policy, and administrative matters for the Director; assisted in resolution of virtually all matters before the Director; worked closely with senior officials at the Department of Justice, White House, U.S. Attorneys' Offices, Intelligence Community agencies, and state and local law enforcement agencies; oversaw daily operation of the Director's Office and its personnel.
- As Deputy Chief of Staff, oversaw daily operation of Special Counsels to the Director; prepared Director for Congressional engagements, including oversight and budget hearings.
- As Special Counsel, served as principal staff advisor to the Director for national security issues; responsible for FBI input to and attended sub-Deputies Committee meetings for the National Security Council (NSC); prepared Deputy Director and Director for related Deputies Committee and Principals Committee meetings for the NSC.
- Selected projects/duties while in the Director's Office:
 - Prepared the Director for testimony in over 14 Congressional oversight hearings. Preparation included selection and oversight of critical issue papers, mooting the Director in live question-and-answer sessions, and attending hearings to provide counsel.
 - Conducted internal fact finding, analysis, and mitigation plans to respond to Department of Justice Office of Inspector General and Government Accountability Office audits and investigations, including FBI's use of National Security Letters.
 - Conducted and/or oversaw fact finding and analysis to prepare FBI's immediate Congressional and/or public response to range of issues, including: FBI's prior contact with a main subject in the Boston Marathon attacks; and Judge Webster's review of FBI's handling of intelligence before and after the Fort Hood shootings.
 - Served as FBI's principal author for agency input to a range of Administration documents affecting the FBI's mission, including: Special Interagency Task Force on Interrogation and Transfer Policies established by Executive Order 13491; Charter that established the structure and operations of the interagency High-Value Detainee Interrogation Group; and Executive Order establishing interagency Export Enforcement Coordination Center, and the Concept of Operations that established the center.
 - Served as FBI's principal author for written submissions to the Department of Justice and the White House on a range of issues, including significant jurisdictional disputes with other agencies, and sensitive counterintelligence and counterterrorism matters.

UNITED STATES ATTORNEY'S OFFICE, Alexandria, Virginia

Assistant United States Attorney
National Security and Terrorism Unit

May 2005 – March 2010

- Investigated and prosecuted espionage, mishandling of classified information, illegal arms brokering, crimes within district's terrorism prevention initiative (identification, visa, and immigration fraud), and violent crime.
- Conducted grand jury investigations, hearings, proffer sessions, interviews, taint reviews, and trials.
- Received Assistant Attorney General's Award for Supporting the National Security Mission, *United States v. Tai Shen Kuo, Gregg Bergersen, and Yu Xin Kang* (prosecuting attorney; espionage case).

FEDERAL BUREAU OF INVESTIGATION, New York, New York, and Washington, D.C.

Special Agent
Counterterrorism Division

January 1998 – April 2005

- Major Cases:
 - September 11: Team leader and case agent for September 11 and related investigation and prosecution of Zacarias Moussaoui. Deployed overseas numerous times for investigative operations and to negotiate with foreign authorities to acquire evidence pursuant to letters rogatory requests. Team leader for collection and review of FBI discovery material in preparation for trial; designated case agent and witness in Moussaoui capital sentencing trial.
 - East Africa Embassy Bombings: Case squad agent in investigation of August 7, 1998, bombing of U.S. Embassy in Dar Es Salaam, Tanzania, and trial of Mohammed al-Owhali, Mohammed Odeh, Khalfan Khamis Mohammed (KKM), and Wadih El Hage. Deployed overseas numerous times for investigative operations, including for search, arrest, and foreign transfer of custody of KKM in 1999.
- Participated in numerous FBI operations, including domestic and overseas surveillances, domestic and overseas searches, domestic and overseas interrogations, and domestic and overseas arrests.
- Received Attorney General's Award for Excellence in Furthering the Interests of U.S. National Security, *United States v. Moussaoui*; and Attorney General's Distinguished Service Award, *United States v. Usama Bin Ladin et al.*

ORRICK, HERRINGTON & SUTCLIFFE, New York, New York

Associate, Corporate Group

July 1997 – December 1997

EDUCATION

FBI ACADEMY, Quantico, Virginia

Special Agent

April 1998

- Fidelity, Bravery, and Integrity Award (class election)
- Class Graduation Speaker (class election)
- Sole "50 Pointer" in class for Physical Training (maximum score)

UNIVERSITY OF VIRGINIA SCHOOL OF LAW, Charlottesville, Virginia

Juris Doctor

May 1996

- *Virginia Environmental Law Journal*, Executive Editor
- Best Brief Award, First Year Legal Research and Writing

COLLEGE OF WILLIAM & MARY, Williamsburg, Virginia

Bachelor of Arts

May 1992

- *Phi Beta Kappa*
- *Magna Cum Laude*

BAR ADMISSIONS

New York State and the District of Columbia
United States Court of Appeals for the Fourth Circuit
United States District Court for the Eastern District of Virginia