

**Exhibit 300: Capital Asset Plan and Business Case Summary****Part 1: Summary Information And Justification (All Capital Asset)**

1. Date of Submission: February 1, 2018
2. Agency: Department of Justice
3. Bureau: Bureau of Prisons (BOP)
4. Name of Investment: USP Letcher County, KY
5. Justification for Investment:

For the U.S. Penitentiary (USP) Letcher County, KY project, the FY 2017 Enacted budget provided \$50,000,000 in new construction funds. However, for the FY 2018 budget, the administration proposes to rescind \$444,000,000 in new construction unobligated balances from this project. Additionally, the FY 2019 budget includes another rescission of funds in the amount of \$50,000,000. If these rescissions are approved, there will be stoppage of any major site-work, preliminary project work and/or planned contract activities for this construction project.

## 6. Accountability

## a. Business Sponsor

The Business Sponsor is the individual with the authority to allocate resources and make personnel decisions.

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|----------------|---|
| I. Name        | <u>Cheryl Ciccone</u>   |
| II. Title      | <u>Acting Chief of Construction &amp; Environmental Review Branch</u> |
| III. Telephone | <u>(202) 514 - 6470</u>   |
| IV. Email      | <u><a href="mailto:cciccone@bop.gov">cciccone@bop.gov</a></u>         |

## b. Program/Project Manager

- |                                 |   |
|---------------------------------|---|
| I. Name                         | <u>Guido Rivas</u>  |
| II. Telephone                   | <u>(202) 301 - 1288</u>                                   |
| III. Email                      | <u><a href="mailto:grivas@bop.gov">grivas@bop.gov</a></u> |
| IV. Qualifications (select one) |   |

1. The project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment. X
2. The project manager's qualifications according to FAC-P/PM or DAWIA criteria are under review for this investment.
3. The project manager is assigned but does not meet the requirements according to FAC-P/PM or DAWIA criteria.
4. The project manager is assigned, but qualification status review has not yet started.

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5. No project manager has yet been assigned to this investment.

7. Summary of Funding

The Summary of Funding must include the total cost of planning, acquiring, operating, Maintain and disposing of the investment. The amounts reported must include all of the Cost incurred by the managing partner and any other Federal Agencies.

- a. Enter the total estimated life cycle of the investment in Table 1. All amounts Represent budget authority in millions of dollars. Estimates for BY+1 and beyond are for Planning purposes only and do not represent budget decisions.
- b. How many years does the column "PY – 1 and Earlier" represent?
- c. How many years does the column "BY + 4 and Beyond" represent?
- d. If the summary of funding has changed from the previous budget request, briefly explain the changes.

<b>Table 1: Summary of Funding</b>									
	<b>PY-1 and Earlier (\$M)</b>	<b>PY 2014 (\$M)</b>	<b>CY 2015 (\$M)</b>	<b>BY 2016 (\$M)</b>	<b>BY+1 2017 (\$M)</b>	<b>BY+2 2018 (\$M)</b>	<b>BY+3 2019 (\$M)</b>	<b>BY+4 and Beyond (\$M)</b>	<b>Total (\$M)</b>
Planning (a)	.4								.4
Acquisition (b)	1.0	.2	.2		1.0				509.6
Subtotal Planning & Acquisition (c)=(a)+(b)	1.4	.2	.2		1.0				510
Operation & Maintenance (d)									
Residual Value/Disposal Cost (e)									
Total (f)= (c)+(d)+ (e)	1.4	.2	.2		1.0				*510

\*There are two proposed rescissions for this project:  
 The FY 2018 rescission would reduce this project by \$444 million.  
 The FY 2019 rescission would reduce this project by \$50 million.

8. Acquisition Plan

The Acquisition Plan should maximize competition to ensure the government receives a fair price. The Plan must be available to OMB upon request.

- a. Has an Acquisition Plan been developed? Yes
- b. If an Acquisition Plan has been developed, answer the following questions.
  - i. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1? Yes
  - ii. Was the Acquisition Plan approved in accordance with agency requirements? Yes

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- iii. If the Plan was approved, enter the date of approval. November 2005
- iv. Is the Acquisition Plan consistent with agency Strategic Sustainability Performance Plan? Yes
- v. Does the Acquisition Plan meet the requirements of EO 13693? Yes
- c. If an Acquisition Plan has not been developed, provide a brief explanation.
- d. Enter all (including non-Federal) current and planned contracts and task orders in Table 2. Completed contracts and task orders do not need to be listed. Total Value should include option years. If a contract has not been awarded, estimates of dates, dollar values and any other information should be provided. Data definitions can be found at [www.usaspending.gov/learn?tab=FAQ#2](http://www.usaspending.gov/learn?tab=FAQ#2).
- e. Do all Procurement Instrument Identifier (PIID) and Indefinite Delivery Vehicle (IDV) PIID entries match [www.USAspending.gov](http://www.USAspending.gov) ? N/A
- f. Do all Solicitation IDs match FedBizOpps at [www.fbo.gov](http://www.fbo.gov) ? N/A
- g. If Earned Value Management is not required or will not be a contract requirement for any of the contracts or task orders, provide a brief explanation.

Earned Value Management is considered a major system acquisition and is not utilized in BOP construction contracts.

<b>Table 2: Contracts</b>				
<b>Field</b>	<b>Data Description</b>	<b>Contract 1</b>	<b>Contract 2</b>	<b>Contract X</b>
Contract Status	N/A			
Contracting Agency ID	15-X-1003	CJB0700CCJA410018 CJB0700CCMA410026		
Procurement Instrument Identifier (PIID)				
Indefinite Delivery Vehicle (IDV) Reference ID	N/A			
Solicitation ID				
Alternative Financing	N			
EVM Required	N			
Ultimate Contract Value				
Type of Contract/Task Order (Pricing)	Feasibility Study Environmental Study	\$595,490.15 \$866,974.28		
Is the Contract a Performance Based Service Acquisition (PBSA)?	N			
Effective Date				
Actual or Expected End Date of Contract/Task Order	N/A			
Extent Competed	Full & Open Competition			
Short Description of	Feasibility Study of a			

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Acquisition	prison.			
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9. Alternatives Analysis

- a. Was an Alternatives Analysis conducted? Yes
- b. If an Alternatives Analysis was conducted, answer the following questions.
  - i. What is the date of the analysis? November 2005
  - ii. How many alternatives were evaluated? 4
  - iii. Did the analysis evaluate the costs and the benefits of each alternative? Yes
  - iv. Briefly summarize the rationale for the selected alternative.

Constructing a new facility was the alternative determined to provide the greatest benefit to taxpayers and ultimately be more cost effective than the other alternatives.

- c. If an Alternatives Analysis was not conducted, provide a brief explanation. N/A

10. Risk Management

- a. Has a Risk Management Plan been developed? Yes
- b. If a Risk Management Plan has been developed, answer the following questions.
  - i. What is the date of the plan? April 2011
  - ii. Does the plan include a list of risks? Yes
  - iii. Does the plan include the probability of occurrence of each risk? Yes
  - iv. Does the plan include the impact of each risk? Yes
  - v. Does the plan include a mitigation strategy for each risk? Yes
  - vi. Does the plan include actively managing risk throughout the lifecycle? Yes
- c. If a Risk Management Plan has not been developed, provide a brief explanation. N/A

11. Performance Information

The investment must support the agency’s strategic goals. The performance goals must be clearly measurable and quantifiable.

- a. Enter the strategic goals and the corresponding performance measures in Table 3.
- b. Explanations

The table represents inmate crowding by project’s security level, by fiscal year.

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<b>Fiscal Year</b>	<b>Performance Baseline</b>	<b>Performance Goal</b>	<b>Actual Result</b>
2015	Crowding by security level	47%	47%
2016	Crowding by security level	31%	31%
2017	Crowding by security level	27%	24%
2018	Crowding by security level	24%	N/A

12. Earned Value Management (EVM)

N/A