

United States v. Mitchell J. Stein

Case 9:11-cr-80205-KAM

Dear Sir or Madam-

You have received this questionnaire because you are among those who are believed to have purchased shares of Heart Tronics, Inc. (formerly Signalife, Inc.) on or after September 20, 2007, and before August 15, 2008.

On May 20, 2013, Mitchell Stein was convicted of one count of conspiracy to commit mail and wire fraud, three counts of mail fraud, three counts of wire fraud, three counts of securities fraud, three counts of money laundering, and one count of conspiracy to obstruct justice. On December 5, 2014, Stein was sentenced to 204 months of incarceration, followed by two years of supervised release, and was ordered to forfeit \$5,378,581.61. He was subsequently ordered to pay restitution to 2,415 victims in the amount of \$13,186,025.85.

On January 18, 2017, the United States Court of Appeals for the Eleventh Circuit affirmed all of Stein's convictions, but vacated Stein's 204-month sentence and restitution order, finding that the District Court erred in calculating the actual loss Stein caused his victims. The Eleventh Circuit remanded the case to the District Court for resentencing, and a resentencing hearing has not yet been scheduled. Further information about this matter may be found at <https://www.justice.gov/criminal-vns/case/steinm>.

The United States Department of Justice is committed to ensuring that those who were victimized by Stein's fraud scheme are heard by the District Court. Please take a moment to complete and return this form to the United States Department of Justice ("DOJ") in the enclosed prepaid envelope (*Please note that the United States Postal Inspection Service investigated the case with DOJ and your responses will be returned to that agency before being forwarded to DOJ*). If you have any questions or would like to speak to a DOJ representative about this matter, please contact the Victim Assistance Unit of the DOJ's Criminal Division, Fraud Section, at (888) 549-3945, or email victimassistance.fraud@usdoj.gov.

Please note that the information provided below will be kept confidential and will only be provided beyond the DOJ under cover of an order of the Court limiting the terms of its use, or if it is otherwise similarly protected against unauthorized disclosure.

Your Personal Information:

- Full Name: _____

- Date of Birth: _____
- Home Address: _____

- Home Phone: _____
- Other Phone (Mobile, Office, etc.): _____

Information about Your Investment(s):

- Dates and Amounts (Number and Price of Shares) of Your Investment(s):

- How did you first hear about Signallife/Heart Tronics?:

- Did you review any information about the company before you invested (for example, SEC filings or press releases)? If so, which ones?

- Did you sell your shares? If so, when and at what price?

Please return your completed questionnaire via U.S. Postal Mail in the enclosed prepaid envelope. Thank you for your assistance in this matter.