

If you believe you may have suffered harm as a shareholder, you may have certain rights.

- 1) Did you own or manage holdings in Celadon Group Inc. (Celadon) between June 2016 and May 2017?
  
- 2) Has the conduct in this case negatively impacted the holdings you manage or own?
  - a. Did you lose value?
  
  - b. If you are an institutional investor, did the conduct impact your business? In what way (e.g., reduced profits, fees, customers, undermined proprietary models)?
  
  - c. If you invested for yourself or your family, did the conduct cause you to make different decisions about your life (e.g., retirement, purchasing a home or relocating, gifting money to family, starting or investing in a business)?
  
- 3) For institutional investors, what types of clients did you manage money for in these funds (e.g., individuals, pension funds)?

- 4) Has the conduct in this case caused you to view investing in public companies differently? In what way(s)? For example, do you view management's representations or financial statements more cautiously?
- 5) Is there anything else that you'd like the Court to consider about how this conduct affected you generally as an investor in the market or specifically as a shareholder of Celadon?

The address and telephone contact information provided below will only be provided to the presentence probation officer, and the United States Attorney's Office, unless a court order signed by the Judge authorizes the release of this page to the Court and attorney for the defendant.

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ (hm) \_\_\_\_\_ (wk)

E-Mail \_\_\_\_\_