



**THE ATTORNEY GENERAL'S
SEMIANNUAL MANAGEMENT REPORT
TO CONGRESS**

October 1, 2022 – March 31, 2023

Background

In accordance with the Inspector General Act of 1978, as amended, the Department of Justice (Department or DOJ) provides the following management report.¹ The report describes the Department's actions during the semiannual period ended March 31, 2023, to address recommendations from the OIG provided in audit, inspection, and evaluation reports. The first section provides information on the status of final action for OIG reports with recommendations that funds be put to better use. The second section provides information on the status of final action for OIG reports with disallowed costs. The third and final section of the report, which addresses a reporting requirement for OIG audit reports only, provides the status of final action for reports open longer than one year for which management decisions have been made but final action has not been completed. During the semiannual reporting period, DOJ components implemented policy and management changes to close 121 recommendations in audit reports that had been open longer than one year.

DOJ Management Report on Final Action

1. Status of Final Action for OIG Reports with Recommendations that Funds be Put to Better Use²

Status of Final Action on Funds to Better Use Recommendations (six-month period ended March 31, 2023)		
Category	FBU Recommendations	No. of OIG Reports
Value of FBU recommendations for which final action had not been completed by the beginning of the reporting period	\$1,109	1
Value of FBU recommendations agreed to by management in a final decision during the reporting period	\$2,330	
Value of FBU recommendations pending final action during the reporting period (sum of preceding two lines)	\$3,439	
Value of FBU recommendations for which final action was completed during the reporting period (sum of next two lines)	\$3,439	
FBU recommendations completed	\$3,439	
FBU recommendations that management subsequently concluded should not or could not be implemented or completed	\$0	
Value of FBU recommendations for which final action had not been completed by the end of the reporting period	\$0	

¹ Inspector General Act of 1978, as amended, 5 U.S.C. App.

² This section reflects the status of final action for OIG reports with recommendations that funds be put to better use (FBU) that management agreed to in a final decision, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *FBU recommendation* means a recommendation by the OIG that funds could be used more efficiently if management took actions to implement and complete the recommendation.

2. Status of Final Action for OIG Reports with Disallowed Costs³

Status of Final Action on Disallowed Costs (six-month period ended March 31, 2023)			
Category	Disallowed Costs	No. of OIG Reports	
Value of disallowed costs for which final action had not been completed by the beginning of the reporting period	\$121,467	3	
Value of disallowed costs included in the total above that management determined during the reporting period to be allowable costs based on the review of additional information	\$0		
Subtotal	\$121,467		
Value of disallowed costs agreed to by management in a final decision during the reporting period	\$1,105,120		
Value of disallowed costs pending final action during the reporting period (sum of preceding two lines)	\$1,226,587		
Value of disallowed costs for which final action was completed during the reporting period (sum of next three lines)	\$440,401		
Disallowed costs recovered by management through collection, offset, property in lieu of cash, or other means	\$440,401		
Disallowed costs referred to the U.S. Department of the Treasury for collection	\$0		
Disallowed costs written off by management	\$0		
Value of disallowed costs for which final action had not been completed by the end of the reporting period	\$786,186		6

³ This section reflects the status of final action for OIG reports with disallowed costs, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *disallowed cost* means an incurred cost questioned by the OIG that DOJ management, in a final decision, agreed should not have been charged to the Government. The term *final decision* means management has evaluated the finding and recommendation and made a decision concerning its response, including actions concluded to be necessary or that no action is necessary. The OIG can question costs for various findings such as, at the time of audit, costs were not supported by adequate documentation. Historically, the Department has been able to provide adequate support for the majority of OIG questioned costs, resulting in the questioned costs being deemed allowable.

3. Status of Final Action for OIG Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed⁴

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of March 31, 2023)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
ATF – Bureau of Alcohol, Tobacco, Firearms and Explosives					
22-016	Bureau of Alcohol, Tobacco, Firearms and Explosives’ Monitoring of 3-D Firearm Printing Technology	12/20/2021	4	3	The OIG has closed 3 of the 4 recommendations based on ATF completing final action. In addition, ATF has completed various actions to establish expanded protocols to collect data more effectively on recovered firearms made entirely using 3-D printers and 3-D printed frames or receivers. ATF plans to complete final action on the remaining recommendation in FY 2023.
ATR – Antitrust Division					
NFPR ⁵	1 FISMA Audit Report	3/14/2022	8	7	The OIG has closed 7 of the 8 recommendations based on ATR completing final action. ATR plans to complete final action on the remaining recommendation related to FISMA in FY 2023.

⁴ This section reflects the status of final action for OIG audit reports open longer than one year for which management decisions have been made but final action has not been completed. For purposes of this report, the term *final action* means the completion of all actions that management concluded in its final decision are necessary with respect to the findings and recommendations in the audit report.

⁵ Due to law enforcement sensitivity reasons, the OIG issues its Federal Information Security Modernization Act (FISMA) audit reports as Not For Public Release (NFPR).

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of March 31, 2023)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
BOP – Bureau of Prisons					
20-104	Department of Justice's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems	9/14/2020	7	6	The OIG has closed 6 of the 7 recommendations based on BOP completing final action. In addition, BOP has completed various actions on the remaining recommendation to identify best practices and provide training for relevant staff. BOP plans to complete final action on the remaining recommendation in FY 2023.
20-115	Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries	9/29/2020	20	6	The OIG has closed 6 of the 20 recommendations based on BOP completing final action. In addition, BOP completed various actions to improve its perimeter security strategy practices and contract award and administration responsibilities. BOP plans to complete final action on the remaining recommendations in FY 2024.
21-091	Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program	7/6/2021	5	1	The OIG has closed 1 of the 5 recommendations based on BOP completing various actions to improve the delivery of religious services to the inmate population and to help diversify and alleviate shortages in its chaplain staff. BOP plans to complete final action on the remaining recommendations in FY 2023.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of March 31, 2023)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
22-052	Federal Bureau of Prisons Comprehensive Medical Services Contracts Awarded to the University of Massachusetts Medical School	3/16/2022	15	6	The OIG has closed 6 of the 15 recommendations based on BOP completing final action. In addition, BOP completed various actions to assist in improving its acquisition process for medical services, contract administration, management of contract performance, and billing process. BOP plans to complete final action on the remaining recommendations in FY 2023.
COPS – Office of Community Oriented Policing Services					
Various	3 Grant Audit Reports	Various	30	20	The OIG has closed 20 of the 30 recommendations based on COPS completing final action. COPS continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$190,435.
CRM – Criminal Division					
21-076	Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois	5/19/2021	8	6	The OIG has closed 6 of the 8 recommendations based on CRM completing final action. In addition, CRM has completed various actions to account for DOJ equitable sharing funds. CRM plans to complete final action on the remaining recommendations in FY 2023.

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Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
21-097	Criminal Division’s Process for Incoming Mutual Legal Assistance Requests	7/21/2021	7	6	The OIG has closed 6 of the 7 recommendations based on CRM completing final action. In addition, CRM has completed various actions on the remaining recommendation to help continue the reform initiative efforts and enhance the Office of International Affairs (OIA)’s incoming MLA process CRM plans to complete final action on the remaining recommendation in FY 2023.
21-117	Money Laundering and Asset Recovery Section’s Administration of the Equitable Sharing Program	9/13/2021	11	8	The OIG has closed 8 of the 11 recommendations based on CRM completing final action. In addition, CRM has completed various actions on the remaining recommendations to improve MLARS’s administration and oversight of the Equitable Sharing Program. CRM plans to complete final action on the remaining recommendations in FY 2023.

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Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
22-031	Phelps County Sheriff's Department's Equitable Sharing Program Activities, Rolla, Missouri	1/25/2022	9	4	The OIG has closed 4 of the 9 recommendations based on CRM completing final action. In addition, CRM has completed various actions to ensure Phelps County Sheriff's Department separately accounts for the DOJ Equitable Sharing Fund, remedy unsupported questioned costs, accurately track inventory, and develop a report procedure for Equitable Sharing Agreement and Certification (ESAC) reports. CRM plans to complete final action on the remaining recommendations in FY 2023.
CRT – Civil Rights Division					
NFPR ⁶	1 FISMA Audit Report	3/29/2021	8	5	The OIG has closed 5 of the 8 recommendations based on CRT completing final action. CRT plans to complete final action on the remaining recommendations related to FISMA in FY 2023.

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DEA – Drug Enforcement Administration					
21-079	Drug Enforcement Administration's Laboratory Information Management System Support Contracts	6/1/2021	8	7	The OIG has closed 7 of the 8 recommendations based on DEA completing final action. In addition, DEA has completed various action on the remaining recommendation to examine ways to implement the use of secure, internal network connections to transfer data between LIMS and other work systems. DEA plans to complete final action on the remaining recommendation in FY 2023.
21-109	Drug Enforcement Administration's Headquarters-Based Oversight of its Supported Foreign Law Enforcement Units	8/25/2021	10	8	The OIG has closed 8 of the 10 recommendations based on DEA completing final action. In addition, DEA has completed various actions on the remaining recommendations to improve the strategic management and oversight of DEA-supported foreign law enforcement units. DEA plans to complete final action on the remaining recommendations in FY 2023.
NFPR ⁷	2 FISMA Audit Reports	Various	16	8	The OIG has closed 8 of the 16 recommendations based on DEA completing final action. DEA plans to complete final action on the remaining recommendations related to FISMA in FY 2023.

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EOIR – Executive Office for Immigration Review					
20-109	Executive Office for Immigration Review Recognition and Accreditation Program	9/21/2020	6	5	The OIG has closed 5 of the 6 recommendations based on EOIR completing final action. In addition, EOIR has completed various actions on the remaining recommendation to ensure that the Office of Legal Access Programs (OLAP) application files contain sufficient documentation. EOIR plans to complete final action on the remaining recommendation in FY 2023.
FBI – Federal Bureau of Investigation					
16-20	Federal Bureau of Investigation's Cyber Threat Prioritization	7/20/2016	2	0	FBI has completed various actions on the recommendations which involve the use of an algorithmic, data-driven tool to prioritize cyber threats and implementation of a record keeping system that tracks agent time utilization by threat set. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG’s limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of March 31, 2023)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
17-35	Federal Bureau of Investigation's Insider Threat Program	9/22/2017	8	3	The OIG has closed 3 of the 8 recommendations based on FBI completing final action. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.
20-009	Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	11/18/2019	16	15	The OIG has closed 15 of the 16 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendation to improve its Confidential Human Source Program. FBI plans to complete final action on the remaining recommendation in FY 2023.
20-030	Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	3/3/2020	7	4	The OIG has closed 4 of the 7 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations to help identify Homegrown Violent Extremists through counterterrorism assessments. FBI plans to complete final action on the remaining recommendations in FY 2023.

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Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
21-014	Federal Bureau of Investigation's Strategy and Efforts to Disrupt Illegal Dark Web Activities	12/16/2020	5	4	The OIG has closed 4 of the 5 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendation to develop a coordinated FBI-wide dark web approach that assesses enterprise-level needs. FBI plans to complete final action on the remaining recommendation in FY 2023.
21-120	Federal Bureau of Investigation’s Efforts to Notify Victims of Child Sexual Abuse Material	9/20/2021	6	1	The OIG has closed 1 of the 6 recommendations based on FBI completing final action. FBI has completed various actions on the recommendations to improve its efforts to notify victims of child sexual abuse material. FBI plans to complete final action on the remaining recommendations in FY 2023.
22-045	Federal Bureau of Investigation’s Biometric Algorithm Purchase Order Awarded to Idemia National Security Solutions, LLC	2/28/2022	7	4	The OIG has closed 4 of the 7 recommendations based on FBI completing final action. In addition, FBI has completed various actions to improve the FBI’s planning and administration of complex IT procurements. FBI plans to complete final action on the remaining recommendations in FY 2023.

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Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
NFPR ⁸	4 FISMA Audit Reports	Various	109	91	The OIG has closed 91 of the 109 recommendations based on FBI completing final action. FBI plans to complete final action on the remaining recommendations related to FISMA in FY 2023.
FPI – Federal Prison System					
NFPR ⁹	1 FISMA Audit Report	3/23/2022	8	6	The OIG has closed 6 of the 8 recommendations based on FPI completing final action. FPI plans to complete final action on the remaining recommendations related to FISMA in FY 2023.
JMD – Justice Management Division					
22-018	Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2021	12/21/2021	3	2	The OIG has closed 2 of the 3 recommendations based on JMD completing final action. JMD plans to complete final action on the remaining recommendation in FY 2023.
NFPR ¹⁰	2 FISMA Audit Reports	Various	14	11	The OIG has closed 11 of the 14 recommendations based on JMD completing final action. JMD plans to complete final action on the remaining recommendations related to FISMA in FY 2023.

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			Recommended	Final Action Completed	
OJP – Office of Justice Programs					
17-10	Office of Justice Programs' Tribal Justice Systems Infrastructure Program	1/18/2017	12	11	The OIG has closed 11 of the 12 recommendations based on OJP completing final action. OJP continues to work with the grantee to remedy reported deficiencies. OJP plans to complete final action on the remaining recommendation in FY 2023.
Various	5 Grant Audit Reports	Various	51	30	The OIG has closed 30 of the 51 recommendations based on OJP completing final action. OJP continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$83,011.
OVW – Office on Violence Against Women					
Various	11 Grant Audit Reports	Various	140	62	The OIG has closed 62 of the 140 recommendations based on OVW completing final action. OVW continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$445,187.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of March 31, 2023)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
TAX – Tax Division					
21-124	Certain Tax Division Contracts Awarded for Expert Witness Services	9/22/2021	15	11	The OIG has closed 11 of the 15 recommendations based on TAX completing final action, including final action during the reporting period on disallowed costs valued at \$14,307. In addition, TAX has completed various actions on the remaining recommendations to improve its award, administration, and oversight of expert witness services contracts. TAX plans to complete final action on the remaining recommendations in FY 2023.
USMS – United States Marshals Service					
21-083	U.S. Marshals Service Judicial Security Activities	6/15/2021	8	6	The OIG has closed 6 of the 8 recommendations based on USMS completing final action. In addition, USMS has completed various actions on the remaining recommendations to improve judicial security activities. USMS plans to complete final action on the remaining recommendations in FY 2023.

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Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
NFPR ¹¹	2 FISMA Audit Reports	Various	4	2	The OIG has closed 2 of the 4 recommendations based on USMS completing final action. USMS plans to complete final action on the remaining recommendations related to FISMA in FY 2023.
USNCB – United States National Central Bureau (Interpol)					
NFPR ¹²	1 FISMA Audit Report	3/16/2020	14	11	The OIG has closed 11 of the 14 recommendations based on USNCB completing final action. USNCB plans to complete final action on the remaining recommendations related to FISMA in FY 2024.
Audit Reports Encompassing More Than One DOJ Component					
19-14	Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs	3/13/2019	6	5	The OIG has closed 5 of the 6 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendation related to ensuring that DOJ grantees have adequate controls in place to safeguard minors participating in DOJ-funded programs. The Department plans to complete final action on the remaining recommendation in FY 2023.

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Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
19-23	Federal Bureau of Investigation's Cyber Victim Notification Process	3/29/2019	13	3	The OIG has closed 3 of the 13 recommendations based on FBI and ODAG completing final action. In addition, the FBI and ODAG have completed various actions to improve the efficiency and effectiveness of the FBI's cyber victim notification process. FBI and ODAG plan to complete final action on the remaining recommendations in FY 2023.
19-32	Department of Justice's Use of Immigration Sponsorship Programs	6/11/2019	10	9	The OIG has closed 9 of the 10 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendation to implement methods to track all foreign national sponsorship information accurately and completely for individual foreign nationals, including expiration dates. The Department plans to complete final action on the remaining recommendation in FY 2023.

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Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
20-042	Federal Bureau of Prisons’ Monitoring of Inmate Communications to Prevent Radicalization	3/24/2020	19	11	The OIG has closed 11 of the 19 recommendations based on BOP and ODAG completing final action. In addition, the BOP has completed various actions on the remaining recommendations to improve monitoring of inmate communications to prevent radicalization. BOP and ODAG plan to complete final action on the remaining recommendations in FY 2024.
20-071	Drug Enforcement Administration's Income-Generating, Undercover Operations	6/16/2020	19	16	The OIG has closed 16 of the 19 recommendations based on DEA and ODAG completing final action. DEA has completed final action to close its remaining recommendation, but closure is pending ODAG’s review of DEA’s policies. ODAG has completed various actions on the remaining recommendations to determine if policies apply to all DOJ Law Enforcement Components and ensure DEA’s policies reflect necessary measures and controls. ODAG plans to complete final action on the remaining recommendations in FY 2023.

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Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
21-095	Selected Aspects of the Federal Bureau of Investigation's National Instant Criminal Background Check System	7/14/2021	1	0	The FBI has completed various actions to strengthen controls over the sale of firearms to out-of-state purchasers by updating the NICS background check to verify age requirements of an out-of-state firearm purchaser's state of residence and state of sale to ensure basic age eligibility. FBI plans to complete final action on the remaining recommendation in FY 2023.
21-129	Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons	9/29/2021	10	9	The OIG has closed 9 of the 10 recommendations based on FBI and NSD completing final action. In addition, FBI has completed various actions to assist in further enhancing the execution of the Woods Procedures and ensuring the submission of accurate FISA applications. FBI plans to complete final action on the remaining recommendation in FY 2023.