

UNITED STATES DEPARTMENT
OF
JUSTICE



**THE ATTORNEY GENERAL'S
SEMIANNUAL MANAGEMENT REPORT
TO CONGRESS**

April 1, 2021 – September 30, 2021

Background

In accordance with the Inspector General Act of 1978, as amended, the Department of Justice (Department or DOJ) provides the following management report.¹ The report describes the Department's actions during the semiannual period ended September 30, 2021, to address recommendations from the OIG provided in audit, inspection, and evaluation reports. The first section provides information on the status of final action for OIG reports with recommendations that funds be put to better use. The second section provides information on the status of final action for OIG reports with disallowed costs. The third and final section of the report, which addresses a reporting requirement for OIG audit reports only, provides the status of final action for reports open longer than one year for which management decisions have been made but final action has not been completed. During the semiannual reporting period, DOJ components implemented policy and management changes to close 182 recommendations in audit reports that had been open longer than one year.

DOJ Management Report on Final Action

1. Status of Final Action for OIG Reports with Recommendations that Funds be Put to Better Use²

Status of Final Action on Funds to Better Use Recommendations (six-month period ended September 30, 2021)		
Category	FBU Recommendations	No. of OIG Reports
Value of FBU recommendations for which final action had not been completed by the beginning of the reporting period	\$0	0
Value of FBU recommendations agreed to by management in a final decision during the reporting period	\$429,619	
Value of FBU recommendations pending final action during the reporting period (sum of preceding two lines)	\$429,619	
Value of FBU recommendations for which final action was completed during the reporting period (sum of next two lines)	\$429,619	
FBU recommendations completed	\$429,619	
FBU recommendations that management subsequently concluded should not or could not be implemented or completed	\$0	
Value of FBU recommendations for which final action had not been completed by the end of the reporting period	\$0	

¹ Inspector General Act of 1978, as amended, 5 U.S.C. App.

² This section reflects the status of final action for OIG reports with recommendations that funds be put to better use (FBU) that management agreed to in a final decision, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *FBU recommendation* means a recommendation by the OIG that funds could be used more efficiently if management took action to implement and complete the recommendation.

2. Status of Final Action for OIG Reports with Disallowed Costs³

Status of Final Action on Disallowed Costs (six-month period ended September 30, 2021)			
Category	Disallowed Costs	No. of OIG Reports	
Value of disallowed costs for which final action had not been completed by the beginning of the reporting period	\$707,070	7	
Value of disallowed costs included in the total above that management determined during the reporting period to be allowable costs based on the review of additional information	\$0		
Subtotal	\$707,070		
Value of disallowed costs agreed to by management in a final decision during the reporting period	\$961,844		
Value of disallowed costs pending final action during the reporting period (sum of preceding two lines)	\$1,668,914		
Value of disallowed costs for which final action was completed during the reporting period (sum of next three lines)	\$1,526,273		
Disallowed costs recovered by management through collection, offset, property in lieu of cash, or other means	\$588,503		
Disallowed costs referred to the U.S. Department of the Treasury for collection	\$937,770		
Disallowed costs written off by management	\$0		
Value of disallowed costs for which final action had not been completed by the end of the reporting period	\$142,641		5

³ This section reflects the status of final action for OIG reports with disallowed costs, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *disallowed cost* means an incurred cost questioned by the OIG that DOJ management, in a final decision, agreed should not have been charged to the Government. The term *final decision* means management has evaluated the finding and recommendation and made a decision concerning its response, including action concluded to be necessary or that no action is necessary. The OIG can question costs for various findings such as, at the time of audit, costs were not supported by adequate documentation. Historically, the Department has been able to provide adequate support for the majority of OIG questioned costs, resulting in the questioned costs being deemed allowable.

3. Status of Final Action for OIG Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed⁴

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
BOP – Bureau of Prisons					
20-115	Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Inc., to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries	9/29/2020	20	5	The OIG has closed 5 of the 20 recommendations based on BOP completing final action. In addition, BOP has completed various actions on the remaining recommendations to improve its perimeter security strategy practices and contract award and administration responsibilities. BOP plans to complete final action on the recommendations in FY 2022.
NFPR ⁵	1 FISMA Audit Report	4/28/2020	2	1	The OIG has closed 1 of the 2 recommendations based on BOP completing final action. BOP plans to complete final action on the remaining recommendation related to FISMA in FY 2022.
COPS – Office of Community Oriented Policing Services					
Various	2 Grant Audit Reports	Various	18	8	The OIG has closed 8 of the 18 recommendations based on COPS completing final action. COPS continues to work with grantees to remedy reported deficiencies, questioned costs, and funds to better use recommendations and plans to complete final action on the recommendations in FY 2022.

⁴ This section reflects the status of final action for OIG audit reports open longer than one year for which management decisions have been made but final action has not been completed. For purposes of this report, the term *final action* means the completion of all actions that management concluded in its final decision are necessary with respect to the findings and recommendations in the audit report.

⁵ Due to law enforcement sensitivity reasons, the OIG issues its Federal Information Security Modernization Act (FISMA) audit reports as Not For Public Release (NFPR).

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
CRM – Criminal Division					
GR-70-17-003	Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	3/08/2017	15	10	The OIG has closed 10 of the 15 recommendations based on CRM completing final action. In March 2020, the OIG placed resolution activity for the open recommendations on hold until such time as the OIG completes its audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program. As of the end of the reporting period, resolution activity was still on hold.
DEA – Drug Enforcement Agency					
NFPR	1 FISMA Audit Report	3/12/2019	11	10	The OIG has closed 10 of the 11 recommendations based on DEA completing final action. DEA plans to complete final action on the remaining recommendation related to FISMA in FY 2022.
EOIR- Executive Office for Immigration Review					
20-109	Executive Office for Immigration Review Recognition and Accreditation Program	9/21/2020	6	3	The OIG has closed 3 of the 6 recommendations based on EOIR completing final action. In addition, EOIR has completed various actions on the remaining recommendations to improve its administration and oversight of the Recognition and Accreditation Program. EOIR plans to complete final action on the recommendations in FY 2022.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
FBI – Federal Bureau of Investigation					
16-20	Federal Bureau of Investigation's Cyber Threat Prioritization	7/20/2016	2	0	FBI has completed various actions on the recommendations which involve the use of an algorithmic, data-driven tool to prioritize cyber threats and implementation of a record keeping system that tracks agent time utilization by threat set. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.
17-35	Federal Bureau of Investigation's Insider Threat Program	9/22/2017	8	3	The OIG has closed 3 of the 8 recommendations based on FBI completing final action. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.
19-11	Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and its Contract with EAN Holdings, LLC	3/11/2019	21	19	The OIG has closed 19 of the 21 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations to improve contract administration. FBI plans to complete final action on the recommendations in FY 2022.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
20-009	Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	11/18/2019	16	2	The OIG has closed 2 of the 16 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations to help the FBI better manage its Confidential Human Source Program. FBI plans to complete final action on the recommendations in FY 2022.
20-030	Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	3/03/2020	7	0	FBI has completed various actions on the recommendations to help identify Homegrown Violent Extremists through counterterrorism assessments. FBI plans to complete final action on the recommendations in FY 2022.
20-041	Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	3/23/2020	13	3	The OIG has closed 3 of the 13 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations to improve controls over weapons, munitions, and explosives. FBI plans to complete final action on the recommendations in FY 2022.
20-111	Federal Bureau of Investigation's Contract Awarded to TUVVA, LLC, for Subject Matter Expert Services	9/25/2020	12	10	The OIG has closed 10 of the 12 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations to improve the contract review process. FBI plans to complete final action on the recommendations in FY 2022.
NFPR	4 FISMA Audit Reports	Various	110	89	The OIG has closed 89 of the 110 recommendations based on FBI completing final action. The OIG has indicated it will not close the remaining recommendations until it completes its FY 2021 audits of FBI FISMA compliance. As of the end of the reporting period, resolution activity was on hold.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
JMD – Justice Management Division					
NFPR	3 FISMA Audit Reports	Various	26	13	The OIG has closed 13 of the 26 recommendations based on JMD completing final action. The OIG has indicated it will not close the remaining recommendations until it completes its FY 2021 audits of JMD FISMA compliance. As of the end of the reporting period, resolution activity was on hold.
OJP – Office of Justice Programs					
17-10	Office of Justice Programs' Tribal Justice Systems Infrastructure Program	1/18/2017	12	11	The OIG has closed 11 of the 12 recommendations based on OJP completing final action. In addition, OJP has completed various actions on the remaining recommendation to remedy questioned costs. OJP plans to complete final action on the recommendation in FY 2022.
Various	19 Grant and Cooperative Agreement Audit Reports	Various	236	128	The OIG has closed 128 of the 236 recommendations based on OJP completing final action, including final action during the reporting period on disallowed costs valued at \$204,175. OJP continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$85,477.
Various	8 Single Audit Act Reports	Various	19	7	The OIG has closed 7 of the 19 recommendations based on OJP completing final action, including final action during the reporting period on disallowed costs valued at \$473. OJP continues to work with grantees to remedy reported deficiencies and questioned costs.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
OVW – Office on Violence Against Women					
19-08	Office on Violence Against Women Training and Technical Assistance Program	2/13/2019	13	10	The OIG closed 4 of the 13 recommendations upon issuance of the final report and 6 additional recommendations subsequent to that based on OVW completing final action. In addition, OVW has completed various actions on the remaining recommendations to improve administration of the Technical Assistance Program. OVW plans to complete final action on the recommendations in FY 2022.
Various	9 Grant Audit Reports	Various	129	61	The OIG has closed 61 of the 129 recommendations based on OVW completing final action. OVW continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$7,854.
TAX – Tax Division					
NFPR	1 FISMA Audit Report	3/04/2020	9	8	The OIG has closed 8 of the 9 recommendations based on TAX completing final action. TAX plans to complete final action on the remaining recommendation related to FISMA in FY 2022.
USMS – United States Marshals Service					
19-33	United States Marshals Service's Justice Prisoner and Alien Transportation System	7/24/2019	4	3	The OIG has closed 3 of the 4 recommendations based on USMS completing final action. In addition, USMS has completed various actions on the remaining recommendation related to JPATS's electronic movement packet system. USMS plans to complete final action on the recommendation in FY 2022.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
20-085	United States Marshals Service's Contract Awarded to The GEO Group, Inc., to Operate the Robert A. Deyton Detention Facility, Lovejoy, Georgia	7/22/2020	10	5	The OIG has closed 5 of the 10 recommendations based on USMS completing final action. In addition, USMS has completed various actions on the remaining recommendations to assist USMS in establishing controls to prevent and detect GEO's noncompliance with the terms and conditions of the contract. USMS plans to complete final action on the recommendations in FY 2022.
NFPR	2 FISMA Audit Reports	Various	5	3	The OIG has closed 3 of the 5 recommendations based on USMS completing final action. USMS plans to complete final action on the remaining recommendations related to FISMA in FY 2022.
USNCB – United States National Central Bureau (Interpol)					
NFPR	1 FISMA Audit Report	3/16/2020	14	10	The OIG has closed 10 of the 14 recommendations based on USNCB completing final action. USNCB plans to complete final action on the remaining recommendations related to FISMA in FY 2022.
Audit Reports Encompassing More Than One DOJ Component					
18-14	Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments	2/13/2018	15	13	The OIG has closed 13 of the 15 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to clarify procedures related to high-profile incidents and coordination with relevant U.S. Attorneys' Offices when technical assistance is provided by OJP, COPS, or CRS. The Department plans to complete final action on the recommendations in FY 2022.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
19-14	Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs	3/13/2019	6	5	The OIG has closed 5 of the 6 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendation related to ensuring that DOJ grantees have adequate controls in place to safeguard minors participating in DOJ-funded programs. The Department plans to complete final action on the recommendation in FY 2022.
19-23	Federal Bureau of Investigation's Cyber Victim Notification Process	3/29/2019	13	0	The Department has completed various actions on the recommendations to improve the efficiency and effectiveness of the FBI's cyber victim notification process. The OIG had resolution activity on hold for this report from August 2020 to July 2021 due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. The Department plans to complete final action on the recommendations in FY 2022.
19-32	Department of Justice's Use of Immigration Sponsorship Programs	6/11/2019	10	9	The OIG has closed 9 of the 10 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendation to improve the accuracy and completeness of sponsorship information. The Department plans to complete final action on the recommendation in FY 2022.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
20-042	Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	3/24/2020	19	4	The OIG has closed 4 of the 19 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to improve BOP's accounting for, monitoring of, and security over terrorist inmates. The Department plans to complete final action on the recommendations in FY 2022.
20-071	Drug Enforcement Administration's Income-Generating, Undercover Operations	6/16/2020	19	14	The OIG has closed 14 of the 19 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to improve management and oversight of income-generating, undercover operations. The Department plans to complete final action on the recommendations in FY 2022.
20-104	Department of Justice's Efforts to Protect Federal Bureau of Prisons Facilities Against Threats Posed by Unmanned Aircraft Systems	9/14/2020	7	5	The OIG has closed 5 of the 7 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to improve the BOP's efforts to protect its facilities against drone threats. The Department plans to complete final action on the recommendations in FY 2022.
20-108	Environment and Natural Resources Division's Procurement and Administration of Expert Witness Contracts	9/18/2020	9	3	The OIG has closed 3 of the 9 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to improve the procurement and administration of expert witness contracts. The Department plans to complete final action on the recommendations in FY 2022.