

UNITED STATES DEPARTMENT
OF
JUSTICE



**THE ATTORNEY GENERAL'S
SEMIANNUAL MANAGEMENT REPORT
TO CONGRESS**

April 1, 2020 – September 30, 2020

Background

In accordance with the Inspector General Act of 1978, as amended, the Department of Justice (Department or DOJ) provides the following management report.¹ The report describes the Department's actions during the semiannual period ended September 30, 2020, to address recommendations from the OIG provided in audit, inspection, and evaluation reports. The first section provides information on the status of final action for OIG reports with recommendations that funds be put to better use. The second section provides information on the status of final action for OIG reports with disallowed costs. The third and final section of the report, which addresses a reporting requirement for OIG audit reports only, provides the status of final action for reports open longer than one year for which management decisions have been made but final action has not been completed. During the semiannual reporting period, DOJ components implemented policy and management changes to close 161 recommendations in audit reports that had been open longer than one year.

DOJ Management Report on Final Action

1. Status of Final Action for OIG Reports with Recommendations that Funds be Put to Better Use²

Status of Final Action on Funds to Better Use Recommendations (six-month period ended September 30, 2020)		
Category	FBU Recommendations	No. of OIG Reports
Value of FBU recommendations for which final action had not been completed by the beginning of the reporting period	\$243,839	1
Value of FBU recommendations agreed to by management in a final decision during the reporting period	\$0	
Value of FBU recommendations pending final action during the reporting period (sum of preceding two lines)	\$243,839	
Value of FBU recommendations for which final action was completed during the reporting period (sum of next two lines)	\$243,839	
FBU recommendations completed	\$243,839	
FBU recommendations that management subsequently concluded should not or could not be implemented or completed	\$0	
Value of FBU recommendations for which final action had not been completed by the end of the reporting period	\$0	

¹ Inspector General Act of 1978, as amended, 5 U.S.C. App.

² This section reflects the status of final action for OIG reports with recommendations that funds be put to better use (FBU) that management agreed to in a final decision, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *FBU recommendation* means a recommendation by the OIG that funds could be used more efficiently if management took actions to implement and complete the recommendation.

2. Status of Final Action for OIG Reports with Disallowed Costs³

Status of Final Action on Disallowed Costs (six-month period ended September 30, 2020)			
Category	Disallowed Costs	No. of OIG Reports	
Value of disallowed costs for which final action had not been completed by the beginning of the reporting period	\$302,173	7	
Value of disallowed costs included in the total above that management determined during the reporting period to be allowable costs based on the review of additional information	\$15,362		
Subtotal	\$286,811		
Value of disallowed costs agreed to by management in a final decision during the reporting period	\$3,695,857		
Value of disallowed costs pending final action during the reporting period (sum of preceding two lines)	\$3,982,668		
Value of disallowed costs for which final action was completed during the reporting period (sum of next three lines)	\$1,935,982		
Disallowed costs recovered by management through collection, offset, property in lieu of cash, or other means	\$1,777,979		
Disallowed costs referred to the U.S. Department of the Treasury for collection	\$80,656		
Disallowed costs written off by management	\$77,347		
Value of disallowed costs for which final action had not been completed by the end of the reporting period	\$2,046,686		10

³ This section reflects the status of final action for OIG reports with disallowed costs, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *disallowed cost* means an incurred cost questioned by the OIG that DOJ management, in a final decision, agreed should not have been charged to the Government. The term *final decision* means management has evaluated the finding and recommendation and made a decision concerning its response, including actions concluded to be necessary or that no action is necessary. The OIG can question costs for various findings such as, at the time of audit, costs were not supported by adequate documentation. Historically, the Department has been able to provide adequate support for the majority of OIG questioned costs, resulting in the questioned costs being deemed allowable.

3. Status of Final Action for OIG Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed⁴

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of March 31, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
ATF – Bureau of Alcohol, Tobacco, Firearms and Explosives					
18-21	Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives	3/30/2018	10	8	The OIG has closed 8 of the 10 recommendations based on ATF completing final action. In addition, ATF has completed various actions related to the remaining recommendations for tracking and securing less-lethal munitions and seized weapons and ammunition. ATF plans to complete final action on the recommendations in FY 2021.
BOP – Bureau of Prisons					
18-31	Federal Bureau of Prisons' Contract Awarded to Sealaska Constructors, LLC, to Build Facilities at Federal Correctional Institution Danbury, Connecticut	9/18/2018	8	5	The OIG has closed 5 of the 8 recommendations based on BOP completing final action. In addition, BOP has completed various actions on the remaining recommendations to improve contract administration and oversight of construction contracts. BOP plans to complete final action on the recommendations in FY 2021.

⁴ This section reflects the status of final action for OIG audit reports open longer than one year for which management decisions have been made but final action has not been completed. For purposes of this report, the term *final action* means the completion of all actions that management concluded in its final decision are necessary with respect to the findings and recommendations in the audit report.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
18-32	Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	9/21/2018	16	14	The OIG has closed 14 of the 16 recommendations based on BOP completing final action. In addition, BOP has completed various actions on the remaining recommendations to improve contract administration and assist Pacific Forensic in improving its contract management and administration. Further, BOP was able to support as allowable \$15,362 in questioned costs previously reported as disallowed costs. BOP plans to complete final action on the recommendations in FY 2021.
19-37	Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	9/03/2019	13	4	The OIG has closed 4 of the 13 recommendations based on BOP completing final action. In addition, BOP has completed various actions on the remaining recommendations to improve contract administration and oversight of construction contracts. BOP plans to complete final action on the recommendations in FY 2021.
COPS - Office of Community Oriented Policing Services					
Various	4 Grant Audit Reports	Various	41	22	The OIG has closed 22 of the 41 recommendations based on COPS completing final action. COPS continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$1,034,329.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
CRM – Criminal Division					
GR-70-17-003	Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	3/08/2017	15	10	The OIG has closed 10 of the 15 recommendations based on CRM completing final action. In March 2020, the OIG placed resolution activity for the open recommendations on hold until such time as the OIG completes its audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program. As of the end of the reporting period, resolution activity was still on hold.
DEA – Drug Enforcement Agency					
16-08	Drug Enforcement Administration's Controls Over Seized and Collected Drugs	2/17/2016	9	8	The OIG has closed 8 of the 9 recommendations based on DEA completing final action. In addition, DEA has completed various actions on the remaining recommendation related to documentation for drug seizures. DEA plans to complete final action on the recommendation in FY 2021.
NFPR ⁵	FISMA Audit Report	2/08/2017	11	7	The OIG has closed 7 of the 11 recommendations based on DEA completing final action. DEA plans to complete final action on the remaining recommendations related to FISMA in FY 2021.

⁵ Due to law enforcement sensitivity reasons, the OIG issues its Federal Information Security Management Act (FISMA) audit reports as Not For Public Release (NFPR).

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
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FBI – Federal Bureau of Investigation					
16-20	Federal Bureau of Investigation's Cyber Threat Prioritization	7/20/2016	2	0	FBI has completed various actions on the recommendations which involve the use of an algorithmic, data-driven tool to prioritize cyber threats and implementation of a record keeping system that tracks agent time utilization by threat set. FBI plans to complete final action on the recommendations in FY 2021.
17-35	Federal Bureau of Investigation's Insider Threat Program	9/22/2017	8	3	The OIG has closed 3 of the 8 recommendations based on FBI completing final action. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.
19-11	Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	3/11/2019	21	15	The OIG has closed 15 of the 21 recommendations based on FBI completing final action, including final action during the reporting period on disallowed costs valued at \$13,880. In addition, FBI has completed various actions on the remaining recommendations related to improving implementation of the National Vehicle Lease Program and contract administration, oversight, and monitoring. FBI plans to complete final action on the recommendations in FY 2021.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
GR-90-17-006	Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	9/29/2017	8	4	The OIG has closed 4 of the 8 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations related to the laboratory strengthening physical security and ensuring case files contain sufficient information to determine CODIS eligibility. FBI plans to complete final action on the recommendations in FY 2021.
NFPR	4 FISMA Audit Reports	Various	102	78	The OIG has closed 78 of the 102 recommendations based on FBI completing final action. FBI plans to complete final action on the remaining recommendations related to FISMA in FY 2021.
JMD – Justice Management Division					
16-26	Follow-Up of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements	9/12/2016	3	2	The OIG has closed 2 of the 3 recommendations based on JMD completing final action. In addition, JMD has completed various actions on the remaining recommendation to publish the updated Mandatory Declassification Review process in the Federal Register and coordinate with DEA to ensure its classification practices do not result in over-or under-classification. JMD plans to complete final action on the recommendation in FY 2021.
NFPR	3 FISMA Audit Reports	Various	18	9	The OIG has closed 9 of the 18 recommendations based on JMD completing final action. JMD plans to complete final action on the remaining recommendations related to FISMA in FY 2021.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
OJP – Office of Justice Programs					
17-10	Office of Justice Programs' Tribal Justice Systems Infrastructure Program	1/18/2017	12	11	The OIG has closed 11 of the 12 recommendations based on OJP completing final action. OJP continues to work with the grantee to remedy reported deficiencies and questioned costs. OJP plans to complete final action on the remaining recommendation in FY 2021.
17-31	Office of Juvenile Justice and Delinquency Prevention Title II Part B Formula Grant Program Related to Allegations of the OJJDP's Inappropriate Conduct	7/24/2017	4	3	The OIG closed 3 of the 4 recommendations upon issuance of the final report, based on OJP completing final action. OJP continues to work to remedy the final recommendation which is reliant upon OJP issuing an OJJDP guidance manual containing OJJDP's revised policies, procedures, and objective standards pertaining to updated Juvenile Justice and Delinquency Prevention Act regulations. OJP plans to complete final action on the recommendation in FY 2021.
Various	30 Grant and Cooperative Agreement Audit Reports	Various	280	151	The OIG has closed 151 of the 280 recommendations based on OJP completing final action, including final action during the reporting period on disallowed costs valued at \$434,433. OJP continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$213,577.
Various	12 Single Audit Act Reports	Various	40	15	The OIG has closed 15 of the 40 recommendations based on OJP completing final action. OJP continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at 207,211.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
OVW - Office on Violence Against Women					
19-08	Office on Violence Against Women Training and Technical Assistance Program	2/13/2019	13	10	The OIG closed 4 of the 13 recommendations upon issuance of the final report and 6 additional recommendations subsequent to that based on OVW completing final action. In addition, OVW has completed various actions on the remaining recommendations to improve administration and oversight of the Technical Assistance Program. OVW plans to complete final action on the recommendations in FY 2021.
Various	14 Grant Audit Reports	Various	218	124	The OIG has closed 124 of the 218 recommendations based on OVW completing final action, including final action during the reporting period on disallowed costs valued at \$19,526. OVW continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$13,309.
USMS - United States Marshals Service					
19-33	United States Marshals Service's Justice Prisoner and Alien Transportation System	7/24/2019	4	3	The OIG has closed 3 of the 4 recommendations based on USMS completing final action. In addition, USMS has completed various actions on the remaining recommendation related to JPATS's ongoing electronic movement packet system. USMS plans to complete final action on the recommendation in FY 2021.
NFPR	2 FISMA Audit Reports	Various	5	3	The OIG has closed 3 of the 5 recommendations based on USMS completing final action. USMS plans to complete final action on the remaining recommendations related to FISMA in FY 2021.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
Audit Reports Encompassing More Than One DOJ Component					
18-14	Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments	2/13/2018	15	13	The OIG has closed 13 of the 15 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to clarify procedures related to high-profile incidents and coordination with relevant U.S. Attorneys' Offices when technical assistance is provided by OJP, COPS, or CRS. The Department plans to complete final action on the recommendations in FY 2021.
18-18	Department of Justice Grant Award Closeout Process	3/12/2018	61	60	The OIG has closed 60 of the 61 recommendations based on the Department completing final action, including final action during the reporting period on funds to better use valued at \$243,839. In addition, the Department has completed various actions on the remaining recommendation for the grant award closeout process and continues to work with the grantee to remedy reported deficiencies and questioned costs.
19-14	Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs	3/13/2019	6	4	The OIG has closed 4 of the 6 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to ensure that DOJ grantees have adequate controls in place to safeguard minors participating in DOJ-funded programs. The Department plans to complete final action on the recommendations in FY 2021.

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2020)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
19-23	Federal Bureau of Investigation's Cyber Victim Notification Process	3/29/2019	13	0	The Department has completed various actions on the recommendations to improve the efficiency and effectiveness of the FBI's cyber victim notification process. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.
19-32	Department of Justice's Use of Immigration Sponsorship Programs	6/11/2019	10	4	The OIG has closed 4 of the 10 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to assist the DOJ's oversight of sponsored foreign nationals. The Department plans to complete final action on the recommendations in FY 2021.