

1 **UNITED STATES DISTRICT COURT**
2 **FOR THE DISTRICT OF COLUMBIA**

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6 UNITED STATES OF AMERICA,) Civil Action No. 99-CV-02496 (GK)
7 Plaintiff,) Next Scheduled Court Appearance:
8 v.) Trial (ongoing)
9 PHILIP MORRIS USA INC.,)
10 f/k/a PHILIP MORRIS INC, et al.,)
11 Defendants.)
12 _____)

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15 **UNITED STATES' WRITTEN DIRECT EXAMINATION OF**
16 **LORRAINE POLLICE**
17 **SUBMITTED PURSUANT TO ORDER #471**
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1 **Q: Can you please state your full name for the record?**

2 A: Yes. Lorraine Pollice.

3 **Q: What is your business address?**

4 A. The Council for Tobacco Research-U.S.A., Inc., 122 East 42nd Street, New
5 York, New York 10168.

6 **Q: I am going to refer to your employer, The Council for Tobacco Research-**
7 **U.S.A., Inc., as "CTR." Is that acceptable to you?**

8 A: Yes.

9 **Q: Ms. Pollice, what is your current position with CTR?**

10 A: Currently, I am corporate secretary and treasurer.

11 **Q: What are your daily duties you perform in your capacity as corporate**
12 **secretary and treasurer?**

13 A: I draw and sign all checks covering payments and I maintain all financial
14 records. I also do some administrative work on the company's trust, which includes medical
15 benefits for CTR's retirees. I assist our accountants who visit our office yearly. I assist the
16 attorneys by providing them information needed in litigation related matters as requested. I also
17 answer phones and do general secretarial work.

18 **Q: Ms. Pollice, when did you first begin your employment with CTR?**

19 A: 1975.

20 **Q: What position did you hold in 1975 when you started with CTR?**

21 A: I was secretary to Dr. Hockett and Dr. Stone.

22 **Q: And what position did Dr. Hockett hold at that time?**

23 A: Dr. Hockett was research director of CTR.

24 **Q: What position did Dr. Stone hold at that time?**

25 A: Dr. Stone was associate research director of CTR.

1 **Q: What were your major responsibilities in that position at that time?**

2 A: I sent out research applications to the CTR Scientific Advisory Board (SAB)
3 for review and did general secretarial work.

4 **Q: What was your next position with CTR?**

5 A: In 1981, I was secretary to Mr. Hoyt and Mr. Gertenbach and assistant
6 treasurer of CTR.

7 **Q: What was Mr. Hoyt's position at that time?**

8 A: Mr. Hoyt was executive vice president.

9 **Q: What was Mr. Gertenbach's position at that time?**

10 A: He was vice president.

11 **Q: What were your major responsibilities at that time?**

12 A: I drew and signed all checks for CTR and approved all payments made by
13 CTR. I also performed secretarial duties.

14 **Q: What was your next position with CTR?**

15 A: In 1984, I became corporate secretary of CTR.

16 **Q: What were your major job responsibilities in that position?**

17 A: I was responsible for attending CTR Board of Directors Meetings and CTR
18 annual meetings of members and taking the minutes of those meetings.

19 **Q: What was your next position with CTR?**

20 A: In 1991, I became assistant treasurer and secretary of CTR.

21 **Q: What were your major job responsibilities in that position?**

22 A: In addition to my duties as corporate secretary, I was responsible for preparing
23 the annual budget for Dr. Glenn.

24 **Q: In 1975, when you first began your employment with CTR, approximately**
25 **how many employees worked at CTR?**

1 A: Including CTR's Literature Retrieval Division (LRD), approximately between ten
2 and fifteen employees.

3 **Q: You just referenced CTR's Literature Retrieval Division. How did you**
4 **interact with the literature retrieval division in your work for CTR?**

5 A: CTR maintained the literature retrieval division's checking account, which was
6 separate from CTR's general fund account. I drew and signed all checks for LRD for all
7 approved vouchers and invoices at Mr. Gertenbach's direction. Also, at Mr. Hoyt and Mr.
8 Gertenbach's request, I would type up and send out requests for funds to cover LRD.

9 **Q: During what time period did you conduct these duties related to the LRD?**

10 A: From approximately 1981 until early 1983 when LRD was spun off.

11 **Q: Can you explain the process by which you dealt with invoices from LRD and**
12 **wrote checks for those invoices?**

13 A: We received the invoices from LRD for us to draw the checks. The invoices
14 would go to Mr. Gertenbach and then to me. And then we would return the checks to LRD for
15 mailing. I was responsible for requesting the funds and drawing the checks.

16 **Q: From whom did you request the funds?**

17 A: From the sponsoring companies of CTR.

18 **Q: Can you name the sponsoring companies of CTR at that time?**

19 A: I think Brown & Williamson Tobacco Corporation, Lorillard, Inc., Philip Morris
20 Companies, Inc. and R.J. Reynolds Tobacco Company. There could be others that I can't
21 presently recall.

22 **Q: Did you request funds from the sponsoring companies on a regular basis?**

23 A: Yes. Approximately quarterly or every three months.

24 **Q: Who did you communicate with in LRD about your duties associated with**
25 **LRD?**

1 A: I dealt with Marilyn Cato and Ms. Rodriguez.

2 **Q: Were the LRD employees also considered employees of CTR?**

3 A: Yes. They were on the payroll and received benefits. They were employees
4 just like all of us were.

5 **Q: You said earlier that The Literature Retrieval Division (LRD) was spun off**
6 **from CTR in 1983, correct?**

7 A: Yes.

8 **Q: How were you informed about LRD's separation from CTR?**

9 A: I found out about LRD's separation because I assisted Mr. Gertenbach with
10 the spinning off of LRD.

11 **Q: And what did the spinning off of LRD entail?**

12 A: We had to separate LRD's payroll, retirement plan and medical plans from
13 CTR. We also had to close LRD's checking account.

14 **Q: Did you personally close the LRD checking account?**

15 A: I do not recall. I know the account was closed but I don't remember if I
16 personally was assigned to close the account.

17 **Q: Do you recall how the LRD checking account was set up or named?**

18 A: The Council For Tobacco Research- U.S.A., Inc.– literature retrieval division,
19 special account number 1; I believe that is the way the account was referenced on the checks.

20 **Q: In your role as secretary and later as secretary and treasurer of CTR, besides**
21 **attending CTR Board of Directors Meetings like you already mentioned, did you have any**
22 **additional responsibilities with respect to CTR Board of Directors Meetings?**

23 A: Yes.

24 **Q: What were those responsibilities?**

25 A: I sent out the notice of the CTR Board of Directors meetings. I also took

1 attendance at the CTR Board of Directors Meetings.

2 **Q: When you sent out the notice of the Board of Directors meeting, did you also**
3 **send out an agenda as to what would be discussed at the meeting?**

4 A: Yes, I did.

5 **Q: Can you describe the procedure you used for taking the minutes for the**
6 **Board of Directors meetings?**

7 A: Yes. During the meetings, I would make hand-written notations on the agenda
8 for that meeting about what was discussed.

9 **Q: Once you recorded those notations on the agenda, did you formally type up**
10 **minutes for those meetings?**

11 A: Yes. Sometimes I would run right back to my office and write them up and then
12 I would type them up. That's correct.

13 **Q: Were the minutes formally approved by anyone?**

14 A: Yes.

15 **Q: By whom were they approved?**

16 A: From 1984 through the 1980's, Mr. Gertenbach would review the minutes.

17 **Q: Do you recall the minutes approval process for the 1990's?**

18 A: Yes. In the 1990's, I would prepare the minutes for Dr. Glenn's review. After
19 Dr. Glenn's review, the minutes were sent to Debevoise & Plimpton for review.

20 **Q: Were any changes made to the minutes once they were reviewed first by Dr.**
21 **Glenn and then by the attorneys?**

22 A: Other than grammatical changes, none that I can recall.

23 **Q: If there were changes to be made, would you make those changes and then**
24 **re-circulate them for a second review?**

25 A: No, I would just put the changes into the final form after advising Dr. Glenn of

1 the attorneys' minor changes.

2 **Q: Once the minutes for the CTR Board of Directors Meetings were put in final**
3 **form, what was done with them?**

4 A: The minutes were sent to the CTR board members at the various companies.

5 **Q: How did you send the minutes to the board members?**

6 A: The minutes were sent through the U.S. mail.

7 **Q: Ms. Pollice, I am handing you the following exhibits: U.S. Exhibit 32597,**
8 **Joint Defendant Exhibit 093208, U.S. Exhibit 32600, U.S. Exhibit 32601, U.S. Exhibit**
9 **32602, U.S. Exhibit 32603, U.S. Exhibit 32604, U.S. Exhibit 32605, U.S. Exhibit 32606, U.S.**
10 **Exhibit 32607, U.S. Exhibit 32608, U.S. Exhibit 32609, U.S. Exhibit 32610, U.S. Exhibit**
11 **32611, U.S. Exhibit 32612, U.S. Exhibit 32613, U.S. Exhibit 32614, and U.S. Exhibit 32615.**
12 **These exhibits all purport to be minutes of CTR Board of Director Meetings and all have**
13 **your name and signature contained within them. Are you generally familiar with these**
14 **minutes?**

15 A: Yes.

16 **Q: Was it the regular practice of CTR to make a record of the board of directors**
17 **meetings in the form of meeting minutes?**

18 A: Yes.

19 **Q: Were these minutes habitually prepared shortly after board of director**
20 **meetings were held as you earlier indicated was the practice for preparing CTR Board of**
21 **Directors Meeting minutes?**

22 A: Yes.

23 **Q: Who wrote these minutes?**

24 A: I did.

25 **Q: Is that your signature that appears at the end of each set of minutes**

1 **contained in these exhibits?**

2 A: Yes it is.

3 **Q: Do you have any reason to doubt that you in fact signed your name to these**
4 **minutes?**

5 A: No, I do not.

6 **Q: Did you attend the CTR Board of Director Meetings referenced in the**
7 **minutes I just handed you?**

8 A: Yes, it was my responsibility as corporate treasurer and secretary to both
9 attend the board of directors meetings and prepare the minutes and the minutes, themselves,
10 reflect that I was in fact present for the meetings.

11 **Q: Were CTR Board of Director Meetings regularly held?**

12 A: Yes. The board of directors meetings were routinely held twice a year.

13 **Q: When were the meetings typically held?**

14 A: The board of directors meetings were typically held in June and December.

15 **Q: Generally, was there a format for the December meeting?**

16 A: Yes.

17 **Q: What was that format?**

18 A: At the December meeting, the budget for the following year was usually up for
19 approval as well as approval of expenditures from the previous year. Approval of the minutes of
20 the previous meeting was always on the agenda.

21 **Q: Was there a format for the June meeting?**

22 A: Yes.

23 **Q: What was that format?**

24 A: At the June meeting, Mr. Gertenbach would advise the board of how we were
25 coming along with the budget. If we felt we were going a little over budget, we might have to

1 ask for a supplement for additional funds at the June meeting.

2 **Q: Ms. Pollice, the first page of U.S. Exhibit 32597 (bates number CTR MIN-BD**
3 **000187), for example, notes, "Also present by invitation of the Board..." Were invitations**
4 **sent out for Board of Directors meetings?**

5 A: Yes.

6 **Q: Did you send those invitations?**

7 A: Yes.

8 **Q: To whom did you send invitations to the CTR Board of Directors Meetings?**

9 A: I would, as secretary, send out the notice of board of directors meetings to the
10 members of the board. I also routinely sent a notice to the lawyers representing CTR at the time
11 of the meeting.

12 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32597. Please take a**
13 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
14 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 13,**
15 **1985." You noted earlier that members of the Board of Directors from CTR's member**
16 **companies were typically present at these meetings. Can you look at this document and tell**
17 **me which members of the Board of Directors attended this specific meeting?**

18 A: Yes. Thomas C. Hays, Ernest Pepples, Raymond J. Prtichard, J. Robert Ave,
19 Thomas F. Ahrensfeld, Hugh Cullman, G. Robert Di Marco and Richard A. Manning attended
20 the meeting referenced in this exhibit.

21 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
22 **meeting?**

23 A: Yes. Thomas C. Hays attended on behalf of The American Tobacco Company,
24 Ernest Pepples attended on behalf of Brown & Williamson Tobacco Corporation, Raymond J.
25 Pritchard also attended on behalf of Brown & Williamson Tobacco Corporation, J. Robert Ave

1 attended on behalf of Lorillard, Inc., Thomas F. Ahrensfield attended on behalf of Philip Morris
2 Companies, Inc., Hugh Cullman also attended on behalf of Philip Morris Companies, Inc., G.
3 Robert Di Marco attended on behalf of R.J. Reynolds Tobacco Company, and Richard A
4 Manning attended on behalf of United States Tobacco Company.

5 **Q: You mentioned previously that you typically sent an invitation to the CTR**
6 **Board of Directors Meeting to the lawyers representing CTR at the time of the meeting.**
7 **Did any lawyers affiliated with CTR, attend the December 13, 1985 CTR Board of**
8 **Directors meeting referenced in U.S. Exhibit 32597?**

9 A: Yes. Janet C. Brown attended on behalf of Chadbourne & Parke and was a
10 member of the Board of Directors. Also present by invitation of the Board was Janet S.
11 McClendon of Jacob, Medinger & Finnegan.

12 **Q: Was anyone else, besides the members of the board of directors and legal**
13 **counsel affiliated with CTR, present at the December 13, 1985 CTR Board of Directors**
14 **meeting?**

15 A: Yes, I was present as Secretary and Assistant Treasurer and, according to the
16 meeting minutes, William D. Hobbs, Chairman of the Board of Directors, and Robert F.
17 Gertenbach, President of CTR, were also present.

18 **Q: You also mentioned earlier that the December meeting typically involved**
19 **approving the next year's budget. Was the CTR budget in fact approved at the December**
20 **13, 1985 meeting?**

21 A: Yes. The 1986 budget was approved at this meeting.

22 **Q: According to the meeting minutes you prepared, was anything else discussed**
23 **at the December 13, 1985 CTR Board of Directors meeting with respect to the CTR budget**
24 **or CTR yearly expenditures?**

25 A: Yes.

1 **Q: What specifically was discussed?**

2 A: According to the minutes, It was noted that dues assessed against Class A
3 members for 1985 would be increased on page three of the exhibit (bates CTR MIN-BD
4 000189).

5 **Q: Ms. Pollice, I now direct your attention to Joint Defendant Exhibit 093208 at**
6 **bates CTR MIN-BD 000183-186. Please take a moment to read over the exhibit. This**
7 **document is entitled, "The Council for Tobacco Research-U.S.A., Inc., Minutes of the**
8 **Special Meeting of the Board of Directors, June 27, 1985." Are you familiar with this**
9 **document, Ms. Pollice?**

10 A: Yes.

11 **Q: You noted earlier that members of the Board of Directors from CTR's**
12 **member companies were typically present at these meetings. Can you look at this**
13 **document and tell me which members of the Board of Directors attended this specific**
14 **meeting?**

15 A: Yes. V.B. Lougee III, Ernest Pepples, Thomas F. Ahrensfeld, Hugh Cullman,
16 and Richard Manning attended the meeting referenced in this exhibit.

17 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
18 **meeting?**

19 A: Yes. V.B. Lougee III attended on behalf of American Brands, Inc., Ernest
20 Pepples attended on behalf of Brown & Williamson Tobacco Corporation, Thomas F. Ahrensfeld
21 attended on behalf of Philip Morris Incorporated, Hugh Cullman also attended on behalf of
22 Philip Morris Incorporated, and Richard A. Manning attended on behalf of United States
23 Tobacco Company.

24 **Q: You mentioned previously that you typically sent an invitation to the CTR**
25 **Board of Directors Meeting to the lawyers representing CTR at the time of the meeting.**

1 **Did any lawyers affiliated with CTR, attend this June 27, 1985 CTR Board of Directors**
2 **meeting?**

3 A: Yes. Thomas Bezanson attended on behalf of Chadbourne, Parke, Whiteside &
4 Wolff. Max Crohn attended on behalf of Jacob, Medinger & Finnegan, Frank Decker attended
5 on behalf of Webster & Sheffield and Patrick Sirridge attended on behalf of Shook, Hardy &
6 Bacon.

7 **Q: In addition to members of the Board of Directors and attorneys affiliated**
8 **with CTR, did anyone else attend the June 27, 1985 Board of Directors meeting?**

9 A: Yes, I was present as Secretary and Assistant Treasurer, and William D.
10 Hobbs, Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.
11 Also, according to the minutes of the meeting, "also present by invitation of the Board" were:
12 Thomas C. Hays of American Tobacco Company, Raymond J. Pritchard of Brown & Williamson
13 Tobacco Corporation, J. Robert Ave of Lorillard, A.W. Spears also of Lorillard, Alexander
14 Holtzman of Philip Morris Incorporated, Thomas Osdene also of Philip Morris Incorporated,
15 Robert Suber of R.J. Reynolds Tobacco Company, J.R. Hoff of United States Tobacco Company,
16 Leonard Zahn of Leonard Zahn & Associates, Inc., Joseph D. Feldman of the S.A.B. of CTR,
17 Donald H. Ford of CTR, Peter Howley of the S.A.B. of CTR, and Sheldon C. Sommers of CTR.

18 **Q: Ms. Pollice, you had earlier stated that at the June meeting, Mr. Gertenbach**
19 **would often advise the board of how you were coming along with the budget and if you**
20 **were going over budget, you might request additional funds at the meeting. According to**
21 **the minutes you prepared, was anything discussed at the June 27, 1985 CTR Board of**
22 **Directors meeting with regard to going over budget in any respect?**

23 A: Yes.

24 **Q: What specifically was discussed?**

25 A: It was noted on the third page of the minutes from this meeting (bates CTR

1 MIN-BD 000185) that legal fees for the fiscal year 1985 would be considerably in excess of the
2 amount originally budgeted and it was recommended by the President that the 1985 dues of Class
3 A members be increased by \$500,000 to provide for such legal fees.

4 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32600. Please take a**
5 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
6 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, June 18, 1987."**
7 **You noted earlier that members of the Board of Directors from CTR's member companies**
8 **were typically present at these meetings. Can you tell me which members of the Board of**
9 **directors attended this specific meeting?**

10 A: Yes. According to the minutes I prepared, Thomas C. Hays, Ernest Pepples,
11 J. Robert Ave, Arthur J. Stevens, Thomas F. Ahrensfield, Hugh Cullman, F. Hudnall Christopher,
12 Jr., G. Robert Di Marco, and Richard A. Manning attended the meeting referenced in this exhibit.

13 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
14 **meeting?**

15 A: Yes. Thomas C. Hays attended on behalf of The American Tobacco Company.
16 Ernest Pepples attended on behalf of Brown & Williamson Tobacco Corporation and Raymond J.
17 Pritchard also attended on behalf of Brown & Williamson Tobacco Corporation. J. Robert Ave
18 attended on behalf of Lorillard, Inc. and Arthur J. Stevens also attended on behalf of Lorillard,
19 Inc. Thomas F. Ahrensfield attended on behalf of Philip Morris Companies, Inc. and Hugh
20 Cullman also attended on behalf of Philip Morris Companies, Inc. F. Hudnall Christopher
21 attended on behalf of R.J. Reynolds Tobacco Company and G. Robert Di Marco also attended on
22 behalf of R.J. Reynolds Tobacco Company. Richard A Manning attended on behalf of United
23 States Tobacco Company.

24 **Q: Were there any other representatives from the member companies present at**
25 **the June 18, 1987 Board of Directors Meeting who were not necessarily Board members?**

1 A: Yes.

2 **Q: Who else was present?**

3 A: A.W. Spears, Alexander Holtzman, and Robert Lawrence.

4 **Q: And which companies did they represent?**

5 A: A.W. Spears was present on behalf of Lorillard, Inc., Alexander Holtzman was
6 present on behalf of Philip Morris Companies, Inc., and Robert Lawrence was present on behalf
7 of United States Tobacco Company.

8 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
9 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
10 **time of the meeting. Did any lawyers representing CTR, attend this June 18, 1987 CTR**
11 **Board of Directors meeting?**

12 A: Yes.

13 **Q: Can you name them and the firm they represent?**

14 A: Yes. Andrew C. Hartzell, Jr., was present on behalf of Debevoise & Plimpton
15 and John G. Koetl was also present on behalf of Debevoise & Plimpton.

16 **Q: Were there any other people—in addition to Board members, other invited**
17 **representatives of CTR member companies, and CTR lawyers—that were present at the**
18 **June 18, 1987 Board of Directors meeting?**

19 A: Yes.

20 **Q: Can you again name the individuals and whose behalf they were attending**
21 **the meeting?**

22 A: Yes, I was present as Secretary and Assistant Treasurer, and William D. Hobbs,
23 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present. Leonard
24 Zahn was also present on behalf of Leonard Zahn & Associates, Inc.,
25 and Harmon C. McAllister and Sheldon C. Sommers were both present on behalf of CTR.

1 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32601. Please take a**
2 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
3 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 11,**
4 **1987." You noted earlier that members of the Board of Directors from CTR's member**
5 **companies were typically present at these meetings. Can you tell me which members of the**
6 **Board of Directors attended this specific meeting?**

7 A: Yes. Ernest Pepples, J. Robert Ave, Arthur J. Stevens, Thomas F. Ahrensfield, R.
8 William Murray, F. Hudnall Christoper, and G. Robert Di Marco attended the meeting referenced
9 in this exhibit.

10 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
11 **meeting?**

12 A: Yes. According to the minutes I prepared, Ernest Pepples attended on behalf of
13 Brown & Williamson Tobacco Corporation, J. Robert Ave attended on behalf of Lorillard, Inc.,
14 Arthur J. Stevens also attended on behalf of Lorillard, Inc., Thomas F. Ahrensfield attended on
15 behalf of Philip Morris Companies, Inc., R. William Murray also attended on behalf of Philip
16 Morris Companies, Inc., F. Hudnall Christopher attended on behalf of R.J. Reynolds Tobacco
17 Company, and G. Robert Di Marco also attended on behalf of R.J. Reynolds Tobacco Company.

18 **Q: Were there any other representatives from the member companies present at**
19 **the December 11, 1987 Board of Directors Meeting who were not necessarily Board**
20 **members?**

21 A: Yes.

22 **Q: Who else was present?**

23 A: Thomas C. Hays, Hugh Cullman, and Alexander Holtzman.

24 **Q: And which companies did they represent?**

25 A: Thomas C. Hays was present on behalf of The American Tobacco Company,

1 Hugh Cullman was present on behalf of Philip Morris Companies, Inc., and Alexander Holtzman
2 was also present on behalf of Philip Morris Companies, Inc.

3 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
4 **invitation to the CTR Board of Directors Meeting to the lawyers representing CTR at the**
5 **time of the meeting. Did any lawyers affiliated with CTR, attend this December 11, 1987**
6 **CTR Board of Directors meeting?**

7 A: Yes.

8 **Q: Can you name them and the firm they represent?**

9 A: Yes. Janet C. Brown was present on behalf of Chadbourne & Parke and John
10 G. Koeltl was also present on behalf of Debevoise & Plimpton.

11 **Q: Were there any other people—in addition to Board members, other invited**
12 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
13 **present at the December 11, 1987 Board of Directors meeting?**

14 A: Yes.

15 **Q: Can you again name the individuals and whose behalf they were attending**
16 **the meeting?**

17 A: Yes, I was present as Secretary and Assistant Treasurer, and William D. Hobbs,
18 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

19 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32602. Please take a**
20 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
21 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, June 16, 1988."**
22 **You noted earlier that members of the Board of Directors from CTR's member companies**
23 **were typically present at these meetings. Can you look at this document and tell me which**
24 **members of the Board of directors attended this specific meeting?**

25 A: Yes. Charles H. Mullen, Ernest Pepples, J. Robert Ave, Arthur J. Stevens,

1 Thomas F. Ahrensfeld, F. Hudnall Christopher, and G. Robert Di Marco attended the meeting
2 referenced in this exhibit.

3 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
4 **meeting?**

5 A: Yes. Charles H. Mullen was present on behalf of The American Tobacco
6 Company, Ernest Pepples was present on behalf of Brown & Williamson Tobacco Corporation,
7 J. Robert Ave was present on behalf of Lorillard, Inc., Arthur J. Stevens was also present on
8 behalf of Lorillard, Inc., Thomas F. Ahrensfeld was present on behalf of Philip Morris
9 Companies, Inc., F. Hudnall Christopher was present on behalf of R.J. Reynolds Tobacco
10 Company, and G. Robert Di Marco was also present on behalf of R.J. Reynolds Tobacco
11 Company.

12 **Q: Were there any other representatives from the member companies present at**
13 **the June 16, 1988 Board of Directors Meeting who were not necessarily Board members?**

14 A: Yes.

15 **Q: Who else was present?**

16 A: A.W. Spears, James F. Glenn, V.F. Lisanti, and Harmon McAllister.

17 **Q: And which companies did they represent?**

18 A: A.W. Spears was present on behalf of Lorillard, Inc., and James F. Glenn, V.F.
19 Lisanti and Harmon McAllister were all employees of CTR.

20 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
21 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
22 **time of the meeting. Did any lawyers affiliated with CTR, attend this June 16, 1988 CTR**
23 **Board of Directors meeting?**

24 A: Yes.

25 **Q: Can you name them and the firm they represent?**

1 A: Yes. Janet C. Brown was present on behalf of Chadbourne & Parke and John
2 G. Koeltl was also present on behalf of Debevoise & Plimpton.

3 **Q: Were there any other people—in addition to Board members, other invited**
4 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
5 **present at the June 16, 1988 Board of Directors meeting?**

6 A: Yes.

7 **Q: Can you again name the individuals and on whose behalf they were attending**
8 **the meeting?**

9 A: Yes, I was present as Secretary and Assistant Treasurer, and William D. Hobbs,
10 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

11 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32603. Please take a**
12 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
13 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 9,**
14 **1988." You noted earlier that members of the Board of Directors from CTR's member**
15 **companies were typically present at these meetings. Can you look at this document and tell**
16 **me which members of the Board of Directors attended this specific meeting?**

17 A: Yes. Charles H. Mullen, Ernest Pepples, Murray H. Bring, R. William
18 Murray, F. Hudnall Christopher, and G. Robert Di Marco attended the meeting referenced in this
19 exhibit.

20 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
21 **meeting?**

22 A: Yes. Charles H. Mullen was present on behalf of The American Tobacco
23 Company. Ernest Pepples was present on behalf of Brown & Williamson Tobacco Corporation.
24 Murray H. Bring was present on behalf of Philip Morris Companies, Inc. and R. William Murray
25 was also present on behalf of Philip Morris Companies, Inc. F. Hudnall Christopher was present

1 on behalf of R.J. Reynolds Tobacco Company, and G. Robert Di Marco was also present on
2 behalf of R.J. Reynolds Tobacco Company.

3 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
4 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
5 **time of the meeting. Did any lawyers affiliated with CTR, attend this December 9, 1988**
6 **CTR Board of Directors meeting?**

7 A: Yes.

8 **Q: Can you name them and the firm they represent?**

9 A: Yes. According to the minutes I prepared, Janet C. Brown was present on
10 behalf of Chadbourne & Parke and John G. Koeltl was also present on behalf of Debevoise &
11 Plimpton.

12 **Q: Were there any other people—in addition to Board members and lawyers**
13 **affiliated with CTR—that were present at the December 9, 1988 Board of Directors**
14 **meeting?**

15 A: Yes.

16 **Q: Can you again name the individuals and whose behalf they were attending**
17 **the meeting?**

18 A: Yes, I was present as Secretary and Assistant Treasurer, and William D. Hobbs,
19 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

20 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32604. Please take a**
21 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
22 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, June 15, 1989."**
23 **You noted earlier that members of the Board of Directors from CTR's member companies**
24 **were typically present at these meetings. Can you look at this document and tell me which**
25 **members of the Board of Directors attended this specific meeting?**

1 A: Yes. Charles H. Mullen, J. Robert Ave, Arthur J. Stevens, Murray H. Bring,
2 F. Hudnall Christopher, Jr., and G. Robert Di Marco attended the meeting referenced in this
3 exhibit.

4 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
5 **meeting?**

6 A: Yes. Charles H. Mullen was present on behalf of The American Tobacco
7 Company. J. Robert Ave was present on behalf of Lorillard, Inc. and Arthur J. Stevens was also
8 present on behalf of Lorillard, Inc. Murray H. Bring was present on behalf of Philip Morris
9 Companies, Inc., F. Hudnall Christopher, Jr., was present on behalf of R.J. Reynolds Tobacco
10 Company, and G. Robert Di Marco was also present on behalf of R.J. Reynolds Tobacco
11 Company.

12 **Q: Were there any other representatives from the member companies present at**
13 **the June 15, 1989 Board of Directors Meeting who were not necessarily Board members?**

14 A: Yes.

15 **Q: Who else was present?**

16 A: A.W. Spears, Leonard Zahn, Donald H. Ford, James F. Glenn, V.F. Lisanti, and
17 Harmon McAllister.

18 **Q: And which companies did they represent?**

19 A: A.W. Spears was present on behalf of Lorillard, Inc., Leonard Zahn was
20 present on behalf of Leonard Zahn & Associates Inc., and Donald H. Ford, James F. Glenn, V.F.
21 Lisanti and Harmon McAllister were all employees of CTR.

22 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
23 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
24 **time of the meeting. Did any lawyers affiliated with CTR, attend this June 15, 1989 CTR**
25 **Board of Directors meeting?**

1 A: Yes.

2 **Q: Can you name them and the firm they represent?**

3 A: Yes. Janet C. Brown was present on behalf of Chadbourne & Parke and John
4 G. Koeltl was also present on behalf of Debevoise & Plimpton.

5 **Q: Were there any other people—in addition to Board members, other invited**
6 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
7 **present at the June 15, 1989 Board of Directors meeting?**

8 A: Yes.

9 **Q: Can you again name the individuals and whose behalf they were attending**
10 **the meeting?**

11 A: Yes, I was present as Secretary and Assistant Treasurer, and William D. Hobbs,
12 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

13 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32605. Please take a**
14 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
15 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 15,**
16 **1989." You noted earlier that members of the Board of Directors from CTR's member**
17 **companies were typically present at these meetings. Can you look at this document and tell**
18 **me which members of the Board of directors attended this specific meeting?**

19 A: Yes. Charles H. Mullen, Ernest Pepples, Alexander W. Spears, Arthur J.
20 Stevens, Murray H. Bring, R. William Murray, and G. Robert Di Marco attended the meeting
21 referenced in this exhibit.

22 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
23 **meeting?**

24 A: Yes. Charles H. Mullen was present on behalf of The American Tobacco
25 Company and Ernest Pepples was present on behalf of Brown & Williamson Tobacco

1 Corporation. Alexander W. Spears was present on behalf of Lorillard, Inc., Arthur J. Stevens
2 was also present on behalf of Lorillard, Inc. and Murray H. Bring was present on behalf of Philip
3 Morris Companies, Inc. R. William Murray was also present on behalf of Philip Morris
4 Companies, Inc. and G. Robert Di Marco was also present on behalf of R.J. Reynolds Tobacco
5 Company.

6 **Q: Were there any other representatives from the member companies present at**
7 **the December 15, 1989 Board of Directors Meeting who were not necessarily Board**
8 **members?**

9 A: Yes.

10 **Q: Who else was present?**

11 A: Alexander Holtzman and F. Hudnall Christopher.

12 **Q: And which companies did they represent?**

13 A: Alexander Holtzman was present on behalf of Philip Morris Companies Inc.
14 and F. Hudnall Christopher was present on behalf of R.J. Reynolds Tobacco Company.

15 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
16 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
17 **time of the meeting. Did any lawyers affiliated with CTR, attend this December 15, 1989**
18 **CTR Board of Directors meeting?**

19 A: Yes.

20 **Q: Can you name them and the firm they represent?**

21 A: Yes. Janet C. Brown was present on behalf of Chadbourne & Parke and
22 John G. Koeltl was also present on behalf of Debevoise & Plimpton.

23 **Q: Were there any other people—in addition to Board members, other invited**
24 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
25 **present at the December 15, 1989 Board of Directors meeting?**

1 A: Yes.

2 **Q: Can you again name the individuals and whose behalf they were attending**
3 **the meeting?**

4 A: Yes, I was present as Secretary and Assistant Treasurer, and William D. Hobbs,
5 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

6 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32606. Please take a**
7 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
8 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, June 7, 1990."**
9 **You noted earlier that members of the Board of Directors from CTR's member companies**
10 **were typically present at these meetings. Can you look at this document and tell me which**
11 **members of the Board of directors attended this specific meeting?**

12 A: Yes. Charles H. Mullen, Ernest Pepples, Alexander W. Spears, Arthur J.
13 Stevens, Murray H. Bring, R. William Murray, G. Robert Di Marco and James W. Johnston
14 attended the meeting referenced in this exhibit.

15 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
16 **meeting?**

17 A: Yes. Charles H. Mullen was present on behalf of The American Tobacco
18 Company and Ernest Pepples was present on behalf of Brown & Williamson Tobacco
19 Corporation. Alexander W. Spears was present on behalf of Lorillard, Inc. and Arthur J. Stevens
20 was also present on behalf of Lorillard, Inc. Murray H. Bring was present on behalf of Philip
21 Morris Companies, Inc. and R. William Murray was also present on behalf of Philip Morris
22 Companies, Inc. G. Robert Di Marco was present on behalf of R.J. Reynolds Tobacco Company
23 and James W. Johnston was also present on behalf of R.J. Reynolds Tobacco Company.

24 **Q: Were there any other representatives from the member companies present at**
25 **the June 7, 1990 Board of Directors Meeting who were not necessarily Board members?**

1 A: Yes.

2 **Q: Who else was present?**

3 A: Alexander Holtzman, Leonard Zahn, and James F. Glenn.

4 **Q: And which companies did they represent?**

5 A: Alexander Holtzman was present on behalf of Philip Morris Companies Inc.,
6 Leonard Zahn was present on behalf of Leonard Zahn & Associates Inc., and James F. Glenn,
7 was an employee of CTR.

8 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
9 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
10 **time of the meeting. Did any lawyers affiliated with CTR, attend this June 7, 1990 CTR**
11 **Board of Directors meeting?**

12 A: Yes.

13 **Q: Can you name them and the firm they represent?**

14 A: Yes. Janet C. Brown was present on behalf of Chadbourne & Parke and John
15 G. Koeltl was also present on behalf of Debevoise & Plimpton.

16 **Q: Were there any other people—in addition to Board members, other invited**
17 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
18 **present at the June 16, 1988 Board of Directors meeting?**

19 A: Yes.

20 **Q: Can you again name the individuals and whose behalf they were attending**
21 **the meeting?**

22 A: Yes, I was present as Secretary and Assistant Treasurer, and William D. Hobbs,
23 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

24 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32607. Please take a**
25 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**

1 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 14,**
2 **1990." You noted earlier that members of the Board of Directors from CTR's member**
3 **companies were typically present at these meetings. Can you look at this document and tell**
4 **me which members of the Board of Directors attended this specific meeting?**

5 A: Yes. Charles H. Mullen, Ernest Pepples, Alexander W. Spears, Arthur J.
6 Stevens, Murray H. Bring, G. Robert Di Marco, and James W. Johnston attended the meeting
7 referenced in this exhibit.

8 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
9 **meeting?**

10 A: Yes. Charles H. Mullen was present on behalf of The American Tobacco
11 Company and Ernest Pepples was present on behalf of Brown & Williamson Tobacco
12 Corporation. Alexander W. Spears was present on behalf of Lorillard, Inc. and Arthur J. Stevens
13 was also present on behalf of Lorillard, Inc. Murray H. Bring was present on behalf of Philip
14 Morris Companies, Inc., G. Robert Di Marco was present on behalf of R.J. Reynolds Tobacco
15 Company, and James W. Johnston was also present on behalf of R.J. Reynolds Tobacco
16 Company.

17 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
18 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
19 **time of the meeting. Did any lawyers affiliated with CTR, attend this December 14, 1990**
20 **CTR Board of Directors meeting?**

21 A: Yes.

22 **Q: Can you name them and the firm they represent?**

23 A: Yes. Thomas E. Bezanson was present on behalf of Chadbourne & Parke and
24 Andrew C. Hartzell was also present on behalf of Debevoise & Plimpton.

25 **Q: Were there any other people—in addition to Board members and lawyers**

1 **affiliated with CTR—that were present at the June 16, 1988 Board of Directors meeting?**

2 A: Yes.

3 **Q: Can you again name the individuals and whose behalf they were attending**
4 **the meeting?**

5 A: Yes, I was present as Secretary and Assistant Treasurer, and William D. Hobbs,
6 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

7 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32608. Please take a**
8 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
9 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, June 6, 1991."**
10 **You noted earlier that members of the Board of Directors from CTR's member companies**
11 **were typically present at these meetings. Can you look at this document and tell me which**
12 **members of the Board of Directors attended this specific meeting?**

13 A: Yes. Charles H. Mullen, Ernest Pepples, Alexander W. Spears, Arthur J.
14 Stevens, Murray H. Bring, G. Robert Di Marco and James W. Johnston attended the meeting
15 referenced in this exhibit.

16 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
17 **meeting?**

18 A: Yes. Charles H. Mullen was present on behalf of The American Tobacco
19 Company and Ernest Pepples was present on behalf of Brown & Williamson Tobacco
20 Corporation. Alexander W. Spears was present on behalf of Lorillard Tobacco Company and
21 Arthur J. Stevens was also present on behalf of Lorillard Tobacco Company. Murray H. Bring
22 was present on behalf of Philip Morris Companies, Inc., G. Robert Di Marco was present on
23 behalf of R.J. Reynolds Tobacco Company, and James W. Johnston was also present on behalf of
24 R.J. Reynolds Tobacco Company.

25 **Q: Were there any other representatives from the member companies present at**

1 **the June 6, 1991 Board of Directors Meeting who were not necessarily Board members?**

2 A: Yes.

3 **Q: Who else was present?**

4 A: William I. Campbell, Wayne W. Juchatz, Leonard Zahn and Harmon C.
5 McAllister.

6 **Q: And which companies did they represent?**

7 A: William I. Campbell was present on behalf of Philip Morris U.S.A., Wayne W.
8 Juchatz was present on behalf of R.J. Reynolds Tobacco Company, Leonard Zahn was present on
9 behalf of Leonard Zahn and Associates Inc., and Harmon C. McAllister was present on behalf of
10 CTR.

11 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
12 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
13 **time of the meeting. Did any lawyers affiliated with CTR, attend this June 6, 1991 CTR**
14 **Board of Directors meeting?**

15 A: Yes.

16 **Q: Can you name them and the firm they represent?**

17 A: Yes. Thomas E. Bezanson was present on behalf of Chadbourne & Parke and
18 John G. Koeltl was also present on behalf of Debevoise & Plimpton.

19 **Q: Were there any other people—in addition to Board members, other invited**
20 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
21 **present at the June 16, 1988 Board of Directors meeting?**

22 A: Yes.

23 **Q: Can you again name the individuals and whose behalf they were attending**
24 **the meeting?**

25 A: Yes, I was present as Secretary and Assistant Treasurer, and James F. Glenn,

1 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

2 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32609. Please take a**
3 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
4 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 12,**
5 **1991." You noted earlier that members of the Board of Directors from CTR's member**
6 **companies were typically present at these meetings. Can you look at this document and tell**
7 **me which members of the Board of directors attended this specific meeting?**

8 A: Yes. Charles H. Mullen, Ernest Pepples, Alexander W. Spears, Arthur J.
9 Stevens, Murray H. Bring, William I. Campbell and G. Robert Di Marco attended the meeting
10 referenced in this exhibit.

11 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
12 **meeting?**

13 A: Yes. Charles H. Mullen was present on behalf of The American Tobacco
14 Company and Ernest Pepples was present on behalf of Brown & Williamson Tobacco
15 Corporation. Alexander W. Spears was present on behalf of Lorillard Tobacco Company and
16 Arthur J. Stevens was also present on behalf of Lorillard Tobacco Company. Murray H. Bring
17 was present on behalf of Philip Morris Companies, Inc. and G. Robert Di Marco was also present
18 on behalf of R.J. Reynolds Tobacco Company.

19 **Q: Were there any other representatives from the member companies present at**
20 **the December 12, 1991 Board of Directors Meeting who were not necessarily Board**
21 **members?**

22 A: Yes.

23 **Q: Who else was present?**

24 A: Wayne W. Juchatz.

25 **Q: And which company did he represent?**

1 A: R. J. Reynolds Tobacco Company.

2 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
3 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
4 **time of the meeting. Did any lawyers affiliated with CTR, attend this December 12, 1991**
5 **CTR Board of Directors meeting?**

6 A: Yes.

7 **Q: Can you name them and the firm they represent?**

8 A: Yes. Thomas E. Bezanson was present on behalf of Chadbourne & Parke, John
9 G. Koeltl was present on behalf of Debevoise & Plimpton and Patrick Sirridge was present on
10 behalf of Shook, Hardy & Bacon.

11 **Q: Were there any other people—in addition to Board members, other invited**
12 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
13 **present at the December 12, 1991 Board of Directors meeting?**

14 A: Yes.

15 **Q: Can you again name the individuals and whose behalf they were attending**
16 **the meeting?**

17 A: Yes, I was present as Secretary and Assistant Treasurer, and James F. Glenn,
18 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

19 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32610. Please take a**
20 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
21 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, June 11, 1992."**
22 **You noted earlier that members of the Board of Directors from CTR's member companies**
23 **were typically present at these meetings. Can you look at this document and tell me which**
24 **members of the Board of directors attended this specific meeting?**

25 A: Yes. Donald S. Johnston, Ernest Pepples, Alexander W. Spears, Arthur J.

1 Stevens, Murray H. Bring, William I. Campbell, G. Robert Di Marco and James W. Johnston
2 attended the meeting referenced in this exhibit.

3 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
4 **meeting?**

5 A: Yes. Donald S. Johnston was present on behalf of The American Tobacco
6 Company and Ernest Pepples was present on behalf of Brown & Williamson Tobacco
7 Corporation. Alexander W. Spears was present on behalf of Lorillard Tobacco Company and
8 Arthur J. Stevens was also present on behalf of Lorillard Tobacco Company. Murray H. Bring
9 was present on behalf of Philip Morris Companies, Inc., William I. Campbell was present on
10 behalf of Philip Morris U.S.A., G. Robert Di Marco was present on behalf of R.J. Reynolds
11 Tobacco Company, and James W. Johnston was also present on behalf of R.J. Reynolds Tobacco
12 Company.

13 **Q: Were there any other representatives from the member companies present at**
14 **the June 11, 1992 Board of Directors Meeting who were not necessarily Board members?**

15 A: Yes.

16 **Q: Who else was present?**

17 A: Marilyn Getchell, Thomas V. Getchell, Wayne W. Juchatz, Leonard Zahn,
18 Arthur D. Eisenberg, Donald H. Ford, George A. Hashim, Vincent F. Lisanti, Harmon C.
19 McAllister, and David Stone were present.

20 **Q: And which entities did they represent?**

21 A: Marilyn Getchell and Thomas V. Getchell represented the University of
22 Kentucky. Wayne W. Juchatz was present on behalf of R.J. Reynolds Tobacco Company.
23 Leonard Zahn was present on behalf of Leonard Zahn and Associates Inc. George A. Hashim
24 was a consultant to CTR and Arthur D. Eisenberg, Donald H. Ford, Vincent F. Lisanti, Harmon
25 C. McAllister were all employees of CTR.

1 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
2 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
3 **time of the meeting. Did any lawyers affiliated with CTR, attend this June 11, 1992 CTR**
4 **Board of Directors meeting?**

5 A: Yes.

6 **Q: Can you name them and the firm they represent?**

7 A: Yes. Thomas E. Bezanson was present on behalf of Chadbourne & Parke and
8 John G. Koeltl was also present on behalf of Debevoise & Plimpton.

9 **Q: Were there any other people—in addition to Board members, other invited**
10 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
11 **present at the June 16, 1988 Board of Directors meeting?**

12 A: Yes.

13 **Q: Can you again name the individuals and whose behalf they were attending**
14 **the meeting?**

15 A: Yes, I was present as Secretary and Assistant Treasurer, and James F. Glenn,
16 Chairman of the Board, and Robert F. Gertenbach, President of CTR, were also present.

17 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32611. Please take a**
18 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
19 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 10,**
20 **1992." You noted earlier that members of the Board of Directors from CTR's member**
21 **companies were typically present at these meetings. Can you look at this document and tell**
22 **me which members of the Board of Directors attended this specific meeting?**

23 A: Yes. Donald S. Johnston, Ernest Pepples, Raymond J. Pritchard, Alexander W.
24 Spears, Arthur J. Stevens, William I. Campbell, Steven Parrish, Carl W. Ehmann, and James W.
25 Johnston attended the meeting referenced in this exhibit.

1 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
2 **meeting?**

3 A: Yes. Donald S. Johnston was present on behalf of The American Tobacco
4 Company. Ernest Pepples was present on behalf of Brown & Williamson Tobacco Corporation
5 and Raymond J. Pritchard was also present on behalf of Brown & Williamson Tobacco
6 Corporation, Alexander W. Spears was present on behalf of Lorillard Tobacco Company and
7 Arthur J. Stevens was also present on behalf of Lorillard Tobacco Company. William I.
8 Campbell was present on behalf of Philip Morris U.S.A. and Steven Parrish was also present on
9 behalf of Philip Morris U.S.A. Carl W. Ehmann was present on behalf of R.J. Reynolds Tobacco
10 Company and James W. Johnston was also present on behalf of R.J. Reynolds Tobacco
11 Company.

12 **Q: Were there any other representatives from the member companies present at**
13 **the December 10, 1992 Board of Directors Meeting who were not necessarily Board**
14 **members?**

15 A: Yes.

16 **Q: Who else was present?**

17 A: Murray H. Bring and Wayne W. Juchatz.

18 **Q: And which companies did they represent?**

19 A: Murray H. Bring was present on behalf of Philip Morris Companies Inc. and
20 Wayne W. Juchatz was present on behalf of R.J. Reynolds Tobacco Company.

21 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
22 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
23 **time of the meeting. Did any lawyers affiliated with CTR, attend this December 10, 1992**
24 **CTR Board of Directors meeting?**

25 A: Yes.

1 **Q: Can you name them and the firm they represent?**

2 A: Yes. Thomas E. Bezanson was present on behalf of Chadbourne & Parke and
3 John G. Koeltl was also present on behalf of Debevoise & Plimpton.

4 **Q: Were there any other people—in addition to Board members, other invited**
5 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
6 **present at the December 10, 1992 Board of Directors meeting?**

7 A: Yes.

8 **Q: Can you again name the individuals and on whose behalf they were attending**
9 **the meeting?**

10 A: Yes, I was present as Secretary and Assistant Treasurer of CTR, and James F.
11 Glenn, Chairman of the Board of CTR, and Robert F. Gertenbach, President of CTR, were also
12 present.

13 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32612. Please take a**
14 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
15 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, June 17, 1993."**
16 **You noted earlier that members of the Board of Directors from CTR's member companies**
17 **were typically present at these meetings. Can you look at this document and tell me which**
18 **members of the Board of directors attended this specific meeting?**

19 A: Yes. Ernest Pepples, Alexander W. Spears, Arthur J. Stevens, Steven C. Parrish,
20 and James W. Johnston attended the meeting referenced in this exhibit.

21 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
22 **meeting?**

23 A: Yes. Ernest Pepples was present on behalf of Brown & Williamson Tobacco
24 Corporation. Alexander W. Spears was present on behalf of Lorillard Tobacco Company and
25 Arthur J. Stevens was also present on behalf of Lorillard Tobacco Company. Steven C. Parrish

1 was present on behalf of Philip Morris U.S.A. and James W. Johnston was present on behalf of
2 R.J. Reynolds Tobacco Company.

3 **Q: Were there any other representatives from the member companies present at**
4 **the June 17, 1993 Board of Directors Meeting who were not necessarily Board members?**

5 A: Yes.

6 **Q: Who else was present?**

7 A: Leonard Zahn, Donald H. Ford, George A. Hashim, and Vincent F. Lisanti.

8 **Q: And on whose behalf did they attend the meeting?**

9 A: Leonard Zahn was present on behalf of Leonard Zahn and Associates Inc.
10 Donald H. Ford and Vincent F. Lisanti were both employees of CTR. George A. Hashim was a
11 consultant to CTR.

12 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
13 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
14 **time of the meeting. Did any lawyers affiliated with CTR, attend this June 17, 1993 CTR**
15 **Board of Directors meeting?**

16 A: Yes.

17 **Q: Can you name them and the firm they represent?**

18 A: John G. Koeltl was also present on behalf of Debevoise & Plimpton.

19 **Q: Were there any other people—in addition to Board members, other invited**
20 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
21 **present at the June 17, 1993 Board of Directors meeting?**

22 A: Yes.

23 **Q: Can you again name the individuals and on whose behalf they were attending**
24 **the meeting?**

25 A: Yes, I was present as Secretary and Assistant Treasurer of CTR, James F. Glenn

1 was present as Chairman of the Board of CTR, and Harmon C. McAllister was present as Vice
2 President-Research and Scientific Director of CTR.

3 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32613. Please take a**
4 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
5 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 3,**
6 **1993." You noted earlier that members of the Board of Directors from CTR's member**
7 **companies were typically present at these meetings. Can you look at this document and tell**
8 **me which members of the Board of directors attended this specific meeting?**

9 A: Yes. Donald S. Johnston, Ernest Pepples, Alexander W. Spears, William I.
10 Campbell, and Steven Parrish attended the meeting referenced in this exhibit.

11 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
12 **meeting?**

13 A: Yes. Donald S. Johnston was present on behalf of The American Tobacco
14 Company. Ernest Pepples was present on behalf of Brown & Williamson Tobacco Corporation.
15 Alexander W. Spears was present on behalf of Lorillard Tobacco Company. William I. Campbell
16 was present on behalf of Philip Morris U.S.A. and Steven Parrish was also present on behalf of
17 Philip Morris U.S.A.

18 **Q: Were there any other representatives from the member companies present at**
19 **the December 3, 1993 Board of Directors Meeting who were not necessarily Board**
20 **members?**

21 A: Yes.

22 **Q: Who else was present?**

23 A: Wayne W. Juchatz was also present.

24 **Q: And which companies did Mr. Juchatz represent?**

25 A: R.J. Reynolds Tobacco Corporation.

1 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
2 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
3 **time of the meeting. Did any lawyers affiliated with CTR, attend this December 3, 1993**
4 **CTR Board of Directors meeting?**

5 A: Yes.

6 **Q: Can you name them and the firm they represent?**

7 A: Yes. Thomas E. Bezanson was present on behalf of Chadbourne & Parke and
8 Steven Klugman was also present on behalf of Debevoise & Plimpton.

9 **Q: Were there any other people—in addition to Board members, other invited**
10 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
11 **present at the December 3, 1993 Board of Directors meeting?**

12 A: Yes.

13 **Q: Can you again name the individuals and whose behalf they were attending**
14 **the meeting?**

15 A: Yes, I was present as Secretary and Assistant Treasurer, James F. Glenn was
16 present as Chairman of the Board of CTR, and Harmon C. McAllister was present as Vice
17 President-Research of CTR.

18 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32614. Please take a**
19 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
20 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, June 16, 1994."**
21 **You noted earlier that members of the Board of Directors from CTR's member companies**
22 **were typically present at these meetings. Can you look at this document and tell me which**
23 **members of the Board of directors attended this specific meeting?**

24 A: Yes. Ernest Pepples, Arthur J. Stevens, William I. Campbell, Steven C. Parrish,
25 Carl W. Ehmann, and James W. Johnston attended the meeting referenced in this exhibit.

1 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
2 **meeting?**

3 A: Ernest Pepples was present on behalf of Brown & Williamson Tobacco
4 Corporation. Arthur J. Stevens was present on behalf of Lorillard Tobacco Company. William I.
5 Campbell was present on behalf of Philip Morris U.S.A. and Steven C. Parrish was also present
6 on behalf of Philip Morris U.S.A. Carl W. Ehmann was present on behalf of R.J. Reynolds
7 Tobacco Company and James W. Johnston was also present on behalf of R.J. Reynolds Tobacco
8 Company.

9 **Q: Were there any other representatives from the member companies present at**
10 **the June 16, 1994 Board of Directors Meeting who were not necessarily Board members?**

11 A: Yes.

12 **Q: Who else was present?**

13 A: Heinz Kohler, Donald H. Ford, George A. Hashim, and Vincent F. Lisanti were
14 also present.

15 **Q: And which companies did they represent?**

16 A: Heinz Kohler was present on behalf of the University of Kentucky. Donald H.
17 Ford and Vincent F. Lisanti were employees of CTR and George A. Hashim was a consultant to
18 CTR.

19 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
20 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
21 **time of the meeting. Did any lawyers affiliated with CTR, attend this June 16, 1994 CTR**
22 **Board of Directors meeting?**

23 A: Yes.

24 **Q: Can you name them and the firm they represent?**

25 A: Yes. John G. Koeltl and Standish F. Medina were present on behalf of Debevoise

1 & Plimpton.

2 **Q: Were there any other people—in addition to Board members, other invited**
3 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
4 **present at the June 16, 1994 Board of Directors meeting?**

5 A: Yes.

6 **Q: Can you again name the individuals and whose behalf they were attending**
7 **the meeting?**

8 A: Yes, I was present as Secretary and Assistant Treasurer. James F. Glenn was
9 present as Chairman of the Board of CTR, Harmon C. McAllister was present as Vice President-
10 Research and Scientific Director of CTR, and Arthur D. Eisenberg was present as Assistant
11 Secretary and Associate Research Director of CTR.

12 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32615. Please take a**
13 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
14 **Research-U.S.A., Inc., Minutes of the Meeting of the Board of Directors, December 8,**
15 **1994." You noted earlier that members of the Board of Directors from CTR's member**
16 **companies were typically present at these meetings. Can you look at this document and tell**
17 **me which members of the Board of directors attended this specific meeting?**

18 A: Yes. Ernest Pepples, Alexander W. Spears, Arthur J. Stevens, William I.
19 Campbell, Carl W. Ehmann, and James W. Johnston attended the meeting referenced in this
20 exhibit.

21 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
22 **meeting?**

23 A: Ernest Pepples was present on behalf of Brown & Williamson Tobacco
24 Corporation. Alexander W. Spears was present on behalf of Lorillard Tobacco Company and
25 Arthur J. Stevens was also present on behalf of Lorillard Tobacco Company. William I.

1 Campbell was present on behalf of Philip Morris U.S.A. Carl W. Ehmann was present on behalf
2 of R.J. Reynolds Tobacco Company and James W. Johnston was also present on behalf of R.J.
3 Reynolds Tobacco Company.

4 **Q: Were there any other representatives from the member companies present at**
5 **the December 8, 1994 Board of Directors Meeting who were not necessarily Board**
6 **members?**

7 A: Yes.

8 **Q: Who else was present?**

9 A: Thomas P. Kriz was also present.

10 **Q: And which companies did Mr. Kriz represent?**

11 A: Mr. Kriz was present on behalf of The American Tobacco Company.

12 **Q: Ms. Pollice, again, you mentioned previously that you typically sent an**
13 **invitation to the CTR Board of Directors Meeting to the lawyers affiliated with CTR at the**
14 **time of the meeting. Did any lawyers affiliated with CTR, attend this December 8, 1994**
15 **CTR Board of Directors meeting?**

16 A: Yes.

17 **Q: Can you name them and the firm they represent?**

18 A: Yes. Thomas Riley was present on behalf of Chadbourne & Parke and Steven
19 Klugman and Standish F. Medina were also present on behalf of Debevoise & Plimpton.

20 **Q: Were there any other people—in addition to Board members, other invited**
21 **representatives of CTR member companies, and lawyers affiliated with CTR—that were**
22 **present at the December 8, 1994 Board of Directors meeting?**

23 A: Yes.

24 **Q: Can you again name the individuals and whose behalf they were attending the**
25 **meeting?**

1 A: Yes, I was present as Secretary and Assistant Treasurer of CTR. James F. Glenn
2 was present as Chairman of the Board of CTR and Harmon C. McAllister was present as Vice
3 President-Research of CTR.

4 **Q: Ms. Pollice, I believe you testified earlier that you became Corporate**
5 **Secretary of CTR in 1984, correct?**

6 A: Yes, that's correct.

7 **Q: You also testified earlier that one of your duties as Corporate Secretary was**
8 **to attend CTR Board of Directors meetings and CTR Annual Meetings, correct?**

9 A: That's correct.

10 **Q: With respect to CTR Annual Meetings, besides attending those meetings, did**
11 **you have any additional responsibilities with respect to those meetings in your role of**
12 **corporate secretary?**

13 A: Yes.

14 **Q: What were those responsibilities?**

15 A: I sent out the notice of the CTR annual meeting. For the Annual Meeting, I had to
16 send a notarized notice of the meeting.

17 **Q: When you sent out the notice of the annual meeting, did you also send out an**
18 **agenda as to what would be discussed at the meeting?**

19 A: Yes, I did.

20 **Q: Can you describe the procedure you used for taking the minutes for the CTR**
21 **Annual Meetings?**

22 A: Yes. It was the same process I used for taking the minutes for the Board of
23 Directors meetings. During the Annual Meetings, I would make hand-written notations on the
24 agenda for that meeting, noting what was discussed.

25 **Q: Once you recorded those notations on the agenda, did you formally type up**

1 **minutes for those meetings?**

2 A: Yes. Sometimes I would run right back to my office and write them up and then
3 I would type them up. That's correct.

4 **Q: Were the minutes formally approved by anyone?**

5 A: Yes.

6 **Q: By whom were they approved?**

7 A: From 1984 through the 1980's, Mr. Gertenbach would review the minutes.

8 **Q: Do you recall the minutes approval process for the 1990's?**

9 A: Yes. In the 1990's, I would prepare the minutes for Dr. Glenn's review. After
10 Dr. Glenn's review, the minutes of both the Board of Directors meetings and the Annual Meeting
11 of members were sent to Debevoise & Plimpton for review.

12 **Q: Were any changes made to the minutes once they were reviewed first by
13 Dr. Glenn and then by the attorneys?**

14 A: Other than grammatical changes, none that I can recall.

15 **Q: If there were changes to be made, would you make those changes and then
16 recirculate them for a second review?**

17 A: No, I would just put the changes into the final form after advising Dr. Glenn of the
18 attorneys' minor changes.

19 **Q: Once, the minutes for the CTR Annual Meetings were put in final form, what
20 was done with them?**

21 A: The minutes for the Annual Meeting were sent to the CTR board members at
22 the various companies and the minutes of the Annual Meeting of the members were also sent out
23 to the class B members of CTR.

24 **Q: How did you send the minutes to the board members and the Class B
25 members?**

1 A: The minutes were sent through the U.S. mail.

2 **Q: Ms. Pollice, I am handing you the following exhibits: U.S. Exhibit 32629, U.S.**
3 **Exhibit 32630, U.S. Exhibit 32631, U.S. Exhibit 32632, U.S. Exhibit 32633, U.S. Exhibit**
4 **32634, U.S. Exhibit 32635, U.S. Exhibit 32636, U.S. Exhibit 32637, U.S. Exhibit 32638, and**
5 **U.S. Exhibit 32639. These exhibits all purport to be minutes of CTR Annual Meetings of**
6 **Members and all have your name and signature contained within them. Are you generally**
7 **familiar with these minutes?**

8 A: Yes.

9 **Q: Was it the regular practice of CTR to make a record of the annual meetings in**
10 **the form of meeting minutes?**

11 A: Yes.

12 **Q: Were these minutes habitually prepared shortly after annual meetings were**
13 **held as you earlier indicated was the practice for preparing CTR board of directors meeting**
14 **minutes?**

15 A: Yes.

16 **Q: Who wrote these minutes?**

17 A: I did.

18 **Q: Is that your signature that appears at the end of each of the Minutes?**

19 A: Yes it is.

20 **Q: Do you have any reason to doubt that you in fact signed your name to these**
21 **Minutes?**

22 A: No, I do not.

23 **Q: Did you attend the annual meetings referenced in the minutes I just handed**
24 **you?**

25 A: Yes, it was my responsibility as secretary and corporate treasurer to both attend the

1 board of directors meetings and prepare the minutes and the minutes themselves reflect that I was
2 in fact present for the meetings.

3 **Q: Were CTR annual meetings of the members regularly held?**

4 A: Yes. The annual meetings were routinely held once a year.

5 **Q: When were the meetings typically held?**

6 A: The annual meeting was routinely held in December.

7 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32629. Please take a**
8 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
9 **Research-U.S.A., Inc., Minutes of the Fifteenth Annual Meeting of Members, December 14,**
10 **1984." You noted earlier that members of the Board of Directors from CTR's member**
11 **companies and other individuals representing CTR's member companies were typically**
12 **present at these annual members meetings. Can you look at this document and tell me**
13 **which individuals from CTR's member companies were present at this meeting?**

14 A: Yes. According the meeting minutes, V.B. Lougee, III, J.G. Esterle, I.W. Hughs,
15 Ernest Pepples, Arthur J. Stevens, Curtis H. Judge, Thomas Ahrensfeld, Hugh Cullman,
16 Alexander Holtzman, Jetson Lincoln, Hamish Maxwell, Gary T. Berger, A. Wallace Hayes, S.B.
17 Witt, John Hoff, Edward Jurczenia, and Richard Manning attended the meeting.

18 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
19 **meeting?**

20 A: Yes. According to the meeting minutes I wrote, V.B. Lougee, III attended on
21 behalf of American Brands, Inc. J.G. Esterle, I.W. Hughs, and Ernest Pepples attended on behalf
22 of Brown & Williamson Tobacco Corporation. Arthur J. Stevens and Curtis H. Judge attended on
23 behalf of Lorillard, Inc. Thomas Ahrensfeld, Hugh Cullman, Alexander Holtzman, Jetson
24 Lincoln, Hamish Maxwell, and Thomas Osdene attended on behalf of Philip Morris Incorporated.
25 Gary T. Berger, A. Wallace Hayes, and S.B. Witt attended on behalf of R.J. Reynolds Tobacco

1 Company. John Hoff, Edward Jurczenia, and Richard Manning attended on behalf of United
2 States Tobacco Company.

3 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
4 **Class B members. According to the minutes you wrote, which Class B members were**
5 **present for the December 14, 1984 Annual Meeting referenced in U.S. Exhibit 32629?**

6 A: Burley Stabilization Corporation, Burley Tobacco Growers Cooperative
7 Association, Inc., and Tobacco Associates.

8 **Q: You testified earlier that you sent invitations to the annual meetings to**
9 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
10 **you wrote, were there lawyers affiliated with CTR present for the December 14, 1984**
11 **Annual Meeting referenced in U.S. Exhibit 32629?**

12 A: Yes.

13 **Q: Who?**

14 A: Janet C. Brown of Chadbourne, Parke, Whiteside & Wolff was present. Also, Max
15 Crohn and Edwin J. Jacob were present from Jacob, Medinger & Finnegan. Also, Donald Hoel of
16 Shook, Hardy & Bacon was present.

17 **Q: Ms. Pollice, you mentioned that you, as a corporate officer of CTR attended**
18 **the annual meetings. Did any other corporate officers habitually attend the CTR annual**
19 **meetings?**

20 A: Yes. The Chairman of the Board and the President typically attended CTR annual
21 meetings.

22 **Q: According to the minutes you prepared, were there other corporate officers**
23 **present at the annual meeting referenced in U.S. exhibit 32629?**

24 A: Yes. William D. Hobbs, Chairman, and Robert F. Gertenbach, President of CTR.

25 **Q: You have earlier testified that CTR employees have attended CTR Annual**

1 **Meetings. Do the minutes you prepared reflect that CTR employees attended this Annual**
2 **Meeting?**

3 A: Yes. According to the minutes, Harmon C. McAllister and Sheldon C. Sommers
4 attended on behalf of CTR. Also, Gordon H. Sato of the Scientific Advisory Board of CTR also
5 attended.

6 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
7 **annual members meetings, you recalled other representatives from the member companies**
8 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**
9 **members) being present at the annual meetings. According to the minutes you wrote for**
10 **this meeting, what additional individuals were present?**

11 A: Yes. Leonard Zahn of Zahn and Associates and Horace R. Kornegay of the
12 Tobacco Institute were also present.

13 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32630. Please take a**
14 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
15 **Research-U.S.A., Inc., Minutes of the Sixteenth Annual Meeting of Members, December 13,**
16 **1985." You noted earlier that members of the Board of Directors from CTR's member**
17 **companies and other individuals representing CTR's member companies were typically**
18 **present at these annual members meetings. Can you look at this document and tell me**
19 **which individuals from CTR member companies were present at this meeting?**

20 A: Yes. According to the minutes that I wrote, Thomas C. Hays, J.G. Esterle,
21 Ernest Pepples, Raymond J. Pritchard, John S. Campbell, J. Robert Ave, Alex W. Spears, Thomas
22 F. Ahrensfield, Hugh Cullman, Alexander Holtzman, Jetson Lincoln, John A. Murphy, Frank E.
23 Resnick, G. Robert Di Marco, John Hoff and Richard Manning were present at the meeting
24 referred to in U.S. Exhibit 32630.

25 **Q: Can you tell me on behalf of which company each gentleman appeared at the**

1 **meeting?**

2 A: Yes. According to the meeting minutes I wrote, Thomas C. Hays attended on
3 behalf of American Tobacco Company. J.G. Esterle attended on behalf of Brown & Williamson
4 Tobacco Corporation, Ernest Pepples also attended on behalf of Brown & Williamson, and
5 Raymond J. Pritchard also attended on behalf of Brown & Williamson. John S. Campbell
6 attended on behalf of Imperial Tobacco Limited, J. Robert Ave attended on behalf of Lorillard,
7 Inc., and Alex W. Spears also attended on behalf of Lorillard, Inc. Thomas F. Ahrensfield, Hugh
8 Cullman, Alexander Holtzman, and John A. Murphy all attended on behalf of Philip Morris
9 Companies, Inc. Jetson Lincoln attended on behalf of Philip Morris, Inc. and Frank E. Resnick
10 attended on behalf of Philip Morris U.S.A. G. Robert Di Marco attended on behalf of R.J.
11 Reynolds Tobacco Company. John Hoff and Richard Manning both attended on behalf of United
12 States Tobacco Company.

13 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
14 **Class B members. According to the minutes you wrote, which Class B members were**
15 **present for the December 13, 1985 Annual Meeting referenced in U.S. Exhibit 32630?**

16 A: Bright Belt Warehouse Association, Inc., Burley Tobacco Growers Cooperative
17 Association, Inc., Imperial Tobacco Limited and Tobacco Associates.

18 **Q: You testified earlier that you sent invitations to the annual meetings to**
19 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
20 **you wrote, were there lawyers affiliated with CTR present for the December 13, 1985**
21 **Annual Meeting referenced in U.S. Exhibit 32630?**

22 A: Yes.

23 **Q: Who?**

24 A: Janet C. Brown of Chadbourne, Parke, Whiteside & Wolff and Janet S.
25 McClendon of Jacob, Medinger & Finnegan.

1 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
2 **reference in U.S. exhibit 32630, were there other corporate officers present at the annual**
3 **meeting?**

4 A: Yes.

5 **Q: Who?**

6 A: According to the minutes, William D. Hobbs, Chairman, and Robert F. Gertenbach,
7 President of CTR, were also present.

8 **Q: You have earlier testified that CTR employees have attended annual**
9 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
10 **meeting?**

11 A: Yes. According to the minutes, Harmon C. McAllister and Sheldon C. Sommers
12 attended on behalf of CTR. Also, Gordon H. Sato of the Scientific Advisory Board of CTR also
13 attended.

14 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
15 **annual members meetings, you recalled other representatives from the member companies**
16 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**
17 **members) being present at the annual meetings. According to the minutes you wrote for**
18 **this meeting, were additional individuals were present?**

19 A: Yes. Horace R. Kornegay of the Tobacco Institute and Leonard S. Zahn of
20 Leonard Zahn and Associates were also present.

21 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32631. Please take a**
22 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
23 **Research-U.S.A., Inc., Minutes of the Seventeenth Annual Meeting of Members, December**
24 **12, 1986." You noted earlier that members of the Board of Directors from CTR's member**
25 **companies and other individuals representing CTR's member companies were typically**

1 **present at these annual members meetings. Can you look at this document and tell me**
2 **which individuals from CTR member companies were present at this meeting?**

3 A: Yes. According to the minutes that I wrote, Thomas C. Hays, J.G. Esterle, Ernest
4 Pepples, Raymond J. Pritchard, John S. Campbell, J. Robert Ave, Alex W. Spears, Arthur J.
5 Stevens, Thomas F. Ahrensfeld, Hugh Cullman, Alexander Holtzman, Jetson Lincoln, Frank E.
6 Resnick, F. Hudnall Christopher, Jr., G. Robert Di Marco, Edward A. Horrigan, John Hoff and
7 Richard Manning were present at the meeting referred to in U.S. Exhibit 32631.

8 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
9 **meeting?**

10 A: Yes. According to the meeting minutes I wrote, Thomas C. Hays attended on
11 behalf of The American Tobacco Company, J.G. Esterle attended on behalf of Brown &
12 Williamson Tobacco Corporation, Ernest Pepples also attended on behalf of Brown &
13 Williamson, and Raymond J. Pritchard also attended on behalf of Brown & Williamson. John S.
14 Campbell attended on behalf of Imperial Tobacco Limited, J. Robert Ave attended on behalf of
15 Lorillard, Inc., and Alex W. Spears also attended on behalf of Lorillard, Inc. Thomas F.
16 Ahrensfeld, Hugh Cullman, and Alexander Holtzman all attended on behalf of Philip Morris
17 Companies, Inc. Jetson Lincoln attended on behalf of Philip Morris, Inc. and Frank E. Resnick
18 attended on behalf of Philip Morris U.S.A. G. Robert Di Marco attended on behalf of R.J.
19 Reynolds Tobacco Company. Edward A. Horrigan, Jr. attended on behalf of RJR Nabisco, Inc.
20 John Hoff and Richard Manning both attended on behalf of United States Tobacco Company.

21 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
22 **Class B members. According to the minutes you wrote, which Class B members were**
23 **present for the December 12, 1986 Annual Meeting referenced in U.S. Exhibit 32631?**

24 A: Bright Belt Warehouse Association, Inc., Burley Auction Warehouse Association,
25 Burley Tobacco Growers Cooperative Association, Inc., Imperial Tobacco Limited and Tobacco

1 Associates.

2 **Q: You testified earlier that you sent invitations to the annual meetings to**
3 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
4 **you wrote, were there lawyers affiliated with CTR present for the December 12, 1986**
5 **Annual Meeting referenced in U.S. Exhibit 32631?**

6 A: Yes.

7 **Q: Who?**

8 A: Janet C. Brown of Chadbourne, Parke, Whiteside & Wolff and John G. Koeltl
9 of Debevoise & Plimpton attended the meeting according to the minutes.

10 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
11 **referenced in U.S. exhibit 32631, were there other corporate officers besides yourself**
12 **present at the annual meeting?**

13 A: Yes.

14 **Q: Who?**

15 A: According to the minutes, William D. Hobbs, Chairman, and Robert F.
16 Gertenbach, President of CTR, were also present.

17 **Q: You have earlier testified that CTR employees have attended annual**
18 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
19 **meeting?**

20 A: Yes. According to the minutes, Sheldon C. Sommers attended on behalf of CTR.
21 Also, Manfred L. Karnovsky of the Scientific Advisory Board of CTR attended.

22 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
23 **annual members meetings, you recalled other representatives from the member companies**
24 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**
25 **members) being present at the annual meetings. According to the minutes you wrote for**

1 **this meeting, were additional individuals were present?**

2 A: Yes. According to the minutes, Horace R. Kornegay and Samuel D. Chilcote of
3 the Tobacco Institute and Leonard S. Zahn of Leonard Zahn and Associates were also present.

4 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32632. Please take a**
5 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
6 **Research-U.S.A., Inc., Minutes of the Twentieth Annual Meeting of Members, December**
7 **11, 1987." You noted earlier that members of the Board of Directors from CTR's member**
8 **companies and other individuals representing CTR's member companies were typically**
9 **present at these annual members meetings. Can you look at this document and tell me which**
10 **individuals from CTR member companies were present at this meeting?**

11 A: Yes. According to the minutes that I wrote, Thomas C. Hays, J.G. Esterle,
12 Ernest Pepples, Raymond J. Pritchard, John S. Campbell, J. Robert Ave, Alexander W. Spears,
13 Arthur J. Stevens, Thomas F. Ahrensfield, Hugh Cullman, Alexander Holtzman, Jetson Lincoln,
14 R. William Murray, Thomas Osdene, Frank E. Resnick, F. Hudnall Christopher, Jr., G. Robert
15 Di Marco, Robert Lawrence, Richard Manning and Richard H. Verheij were present at the
16 meeting referred to in U.S. Exhibit 32632.

17 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
18 **meeting?**

19 A: Yes. According to the meeting minutes I wrote, Thomas C. Hays attended on
20 behalf of The American Tobacco Company, J.G. Esterle attended on behalf of Brown &
21 Williamson Tobacco Corporation, and Ernest Pepples also attended on behalf of Brown &
22 Williamson Tobacco Corporation. John S. Campbell attended on behalf of Imperial Tobacco
23 Limited. J. Robert Ave, Alexander W. Spears, and Arthur J. Stevens all attended on behalf of
24 Lorillard, Inc. Thomas F. Ahrensfield, Hugh Cullman, R. William Murray and Alexander
25 Holtzman all attended on behalf of Philip Morris Companies, Inc. Jetson Lincoln and Thomas

1 Osdene attended on behalf of Philip Morris, Incorporated and Frank E. Resnick attended on behalf
2 of Philip Morris U.S.A. G. Robert Di Marco and F. Hudnall Christopher, Jr. attended on behalf of
3 R.J. Reynolds Tobacco Company. Robert Lawrence, Richard Manning, and Richard H. Verheij
4 all attended on behalf of United States Tobacco Company.

5 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
6 **Class B members. According to the minutes you wrote, which Class B members were**
7 **present for the December 11, 1987 Annual Meeting referenced in U.S. Exhibit 32632?**

8 A: Bright Belt Warehouse Association, Inc., Burley Auction Warehouse Association,
9 Burley Tobacco Growers Cooperative Association, Inc., Imperial Tobacco Limited, Tobacco
10 Associates and United States Tobacco Company.

11 **Q: You testified earlier that you sent invitations to the annual meetings to**
12 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
13 **you wrote, were there lawyers affiliated with CTR present for the December 11, 1987**
14 **Annual Meeting referenced in U.S. Exhibit 32632?**

15 A: Yes.

16 **Q: Who?**

17 A: Janet C. Brown of Chadbourne & Parke and John G. Koeltl of Debevoise &
18 Plimpton attended the meeting according to the minutes.

19 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
20 **referenced in U.S. exhibit 32632, were there other corporate officers besides yourself**
21 **present at the annual meeting?**

22 A: Yes.

23 **Q: Who?**

24 A: According to the minutes, William D. Hobbs, Chairman, and Robert F.
25 Gertenbach, President of CTR, were also present.

1 **Q: You have earlier testified that CTR employees have attended annual**
2 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
3 **meeting?**

4 A: Yes. According to the minutes, Donald H. Ford, James F. Glenn, Vincent F.
5 Lisanti, and Sheldon C. Sommers attended on behalf of CTR. Also, Jeffrey R. Idle of the
6 Scientific Advisory Board of CTR also attended.

7 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
8 **annual members meetings, you recalled other representatives from the member companies**
9 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**
10 **members) being present at the annual meetings. According to the minutes you wrote for**
11 **this meeting, were additional individuals were present?**

12 A: Yes. According to the minutes, Leonard Zahn of Leonard Zahn and Associates,
13 Inc. was also present.

14 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32633. Please take a**
15 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
16 **Research-U.S.A., Inc., Minutes of the Nineteenth Annual Meeting of Members, December 9,**
17 **1988." You noted earlier that members of the Board of Directors from CTR's member**
18 **companies and other individuals representing CTR's member companies were typically**
19 **present at these annual members meetings. Can you look at this document and tell me which**
20 **individuals from CTR member companies were present at this meeting?**

21 A: Yes. According to the minutes that I wrote, Charles H. Mullen, William Deines,
22 J.G. Esterle, Ernest Pepples, Raymond J. Pritchard, John S. Campbell, J. Robert Ave, Alex W.
23 Spears, Arthur J. Stevens, John S. Campbell, Murray H. Bring, R. William Murray, F. Hudnall
24 Christopher, Jr., G. Robert Di Marco, James W. Chapin, and Joseph Todeleo were present at the
25 meeting referred to in U.S. Exhibit 32633.

1 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
2 **meeting?**

3 A: Yes. According to the meeting minutes I wrote, Charles H. Mullen attended on
4 behalf of The American Tobacco Company. J.G. Esterle, William Deines, and Ernest Pepples all
5 attended on behalf of Brown & Williamson Tobacco Corporation. John S. Campbell attended on
6 behalf of Imperial Tobacco Limited. Murray H. Bring and R. William Murray attended on behalf
7 of Philip Morris Companies Inc. F. Hudnall Christopher, Jr. and G. Robert Di Marco attended on
8 behalf of R.J. Reynolds Tobacco Company. James W. Chapin and Joseph Todeleo attended on
9 behalf of United States Tobacco Company.

10 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
11 **Class B members. According to the minutes you wrote, which Class B members were**
12 **present for the December 9, 1988 Annual Meeting referenced in U.S. Exhibit 32633?**

13 A: Bright Belt Warehouse Association, Inc., Burley Auction Warehouse Association,
14 Burley Tobacco Growers Cooperative Association, Inc., Imperial Tobacco Limited, Tobacco
15 Associates and United States Tobacco Company.

16 **Q: You testified earlier that you sent invitations to the annual meetings to**
17 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
18 **you wrote, were there lawyers affiliated with CTR present for the December 9, 1988 Annual**
19 **Meeting referenced in U.S. Exhibit 32633?**

20 A: Yes.

21 **Q: Who?**

22 A: Janet C. Brown of Chadbourne & Parke and John G. Koeltl of Debevoise &
23 Plimpton attended the meeting according to the minutes.

24 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
25 **referenced in U.S. exhibit 32633, were there other corporate officers besides yourself**

1 **present at the annual meeting?**

2 A: Yes.

3 **Q: Who?**

4 A: According to the minutes, William D. Hobbs, Chairman, and Robert F.

5 Gertenbach, President of CTR, were also present.

6 **Q: You have earlier testified that CTR employees have attended annual**
7 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
8 **meeting?**

9 A: Yes. According to the minutes, Donald H. Ford, James F. Glenn, and Vincent F.
10 Lisanti attended on behalf of CTR. Also, Richard J. Bing of the Scientific Advisory Board of
11 CTR also attended the meeting.

12 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
13 **annual members meetings, you recalled other representatives from the member companies**
14 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**
15 **members) being present at the annual meetings. According to the minutes you wrote for**
16 **this meeting, were additional individuals were present?**

17 A: Yes. According to the minutes, Leonard S. Zahn of Leonard Zahn and
18 Associates, Inc. was also present.

19 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32634. Please take a**
20 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
21 **Research-U.S.A., Inc., Minutes of the Twentieth Annual Meeting of Members, December**
22 **15, 1989." You noted earlier that members of the Board of Directors from CTR's member**
23 **companies and other individuals representing CTR's member companies were typically**
24 **present at these annual members meetings. Can you look at this document and tell me which**
25 **individuals from CTR member companies were present at this meeting?**

1 A: Yes. According to the minutes that I wrote, Charles H. Mullen, Ernest Pepples,
2 Patrick L. Stone, Jeffrey Wigand, Alexander W. Spears, Arthur J. Stevens, Andrew H. Tisch,
3 Murray H. Bring, R. William Murray, Alexander Holtzman, F. Hudnall Christopher, Jr., G.
4 Robert Di Marco, James W. Chapin, and Robert H. Lawrence were present at the meeting referred
5 to in U.S. Exhibit 32634.

6 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
7 **meeting?**

8 A: Yes. According to the meeting minutes I wrote, Charles H. Mullen attended on
9 behalf of The American Tobacco Company. Patrick L. Stone, Jeffrey Wigand, and Ernest Pepples
10 all attended on behalf of Brown & Williamson Tobacco Corporation. Alexander W. Spears,
11 Arthur J. Stevens and Andrew H. Tisch attended on behalf of Lorillard, Inc. Murray H. Bring,
12 R. William Murray and Alexander Holtzman attended on behalf of Philip Morris Companies Inc.
13 F. Hudnall Christopher, Jr. and G. Robert Di Marco attended on behalf of R.J. Reynolds Tobacco
14 Company. James W. Chapin and Robert H. Lawrence attended on behalf of United States
15 Tobacco Company.

16 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
17 **Class B members. According to the minutes you wrote, which Class B members were**
18 **present for the December 15, 1989 Annual Meeting referenced in U.S. Exhibit 32634?**

19 A: Burley Auction Warehouse Association, Tobacco Associates, and United States
20 Tobacco Company.

21 **Q: You testified earlier that you sent invitations to the annual meetings to**
22 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
23 **you wrote, were there lawyers affiliated with CTR present for the December 15, 1989**
24 **Annual Meeting referenced in U.S. Exhibit 32634?**

25 A: Yes.

1 **Q: Who?**

2 A: Janet C. Brown of Chadbourne & Parke and John G. Koeltl of Debevoise &
3 Plimpton attended the meeting according to the minutes.

4 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
5 **referenced in U.S. exhibit 32634, were there other corporate officers besides yourself**
6 **present at the annual meeting?**

7 A: Yes.

8 **Q: Who?**

9 A: According to the minutes, William D. Hobbs, Chairman, and Robert F.
10 Gertenbach, President of CTR, were also present.

11 **Q: You have earlier testified that CTR employees have attended annual**
12 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
13 **meeting?**

14 A: Yes. According to the minutes, Donald H. Ford, James F. Glenn and Harmon C.
15 McAllister attended on behalf of CTR. Also, Alfred G. Knudson of the Scientific Advisory Board
16 of CTR also attended.

17 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
18 **annual members meetings, you recalled other representatives from the member companies**
19 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**
20 **members) being present at the annual meetings. According to the minutes you wrote for**
21 **this meeting, were additional individuals were present?**

22 A: Yes. According to the minutes, Leonard Zahn of Leonard Zahn and Associates,
23 Inc. was also present.

24 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32635. Please take a**
25 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**

1 **Research-U.S.A., Inc., Minutes of the Twenty-First Annual Meeting of Members, December**
2 **14, 1990." You noted earlier that members of the Board of Directors from CTR's member**
3 **companies and other individuals representing CTR's member companies were typically**
4 **present at these annual members meetings. Can you look at this document and tell me which**
5 **individuals from CTR member companies were present at this meeting?**

6 A: Yes. According to the minutes that I wrote, Charles H. Mullen, Ernest Pepples,
7 Alexander W. Spears, Arthur J. Stevens, Andrew H. Tisch, Murray H. Bring, R. William Murray,
8 James W. Johnson, G. Robert Di Marco, James W. Chapin, and Robert H. Lawrence were present
9 at the meeting referred to in U.S. Exhibit 32635.

10 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
11 **meeting?**

12 A: Yes. According to the meeting minutes I wrote, Charles H. Mullen attended on
13 behalf of The American Tobacco Company. Ernest Pepples attended on behalf of Brown &
14 Williamson Tobacco Corporation. Alexander W. Spears and Arthur J. Stevens attended on behalf
15 of Lorillard Tobacco Company. Murray H. Bring attended on behalf of Philip Morris Companies
16 Inc. James W. Johnston and G. Robert Di Marco attended on behalf of R.J. Reynolds Tobacco
17 Company. James W. Chapin and Robert H. Lawrence attended on behalf of United States
18 Tobacco Company.

19 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
20 **Class B members. According to the minutes you wrote, which Class B members were**
21 **present for the December 14, 1990 Annual Meeting referenced in U.S. Exhibit 32635?**

22 A: Bright Belt Warehouse Association, Inc., Burley Auction Warehouse Association,
23 Tobacco Associates, Inc., and United States Tobacco Company.

24 **Q: You testified earlier that you sent invitations to the annual meetings to**
25 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**

1 **you wrote, were there lawyers affiliated with CTR present for the December 14, 1990**
2 **Annual Meeting referenced in U.S. Exhibit 32635?**

3 A: Yes.

4 **Q: Who?**

5 A: Thomas E. Bezanson of Chadbourne & Parke and Andrew C. Hartzell, Jr. of
6 Debevoise & Plimpton attended the meeting, according to the minutes.

7 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
8 **referenced in U.S. exhibit 32635, were there other corporate officers besides yourself**
9 **present at the annual meeting?**

10 A: Yes.

11 **Q: Who?**

12 A: According to the minutes, William D. Hobbs, Chairman, and Robert F.
13 Gertenbach, President of CTR, were also present.

14 **Q: You have earlier testified that CTR employees have attended annual**
15 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
16 **meeting?**

17 A: Yes. According to the minutes, Donald H. Ford, James F. Glenn, and Harmon
18 C. McAllister attended on behalf of CTR. Also, Peter K. Vogt of the Scientific Advisory Board
19 of CTR also attended.

20 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
21 **annual members meetings, you recalled other representatives from the member companies**
22 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**
23 **members) being present at the annual meetings. According to the minutes you wrote for**
24 **this meeting, were additional individuals were present?**

25 A: Yes. According to the minutes, Leonard Zahn of Leonard Zahn and Associates

1 was also present.

2 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32636. Please take a**
3 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
4 **Research-U.S.A., Inc., Minutes of the Twenty-Second Annual Meeting of Members,**
5 **December 12, 1991." You noted earlier that members of the Board of Directors from CTR's**
6 **member companies and other individuals representing CTR's member companies were**
7 **typically present at these annual members meetings. Can you look at this document and tell**
8 **me which individuals from CTR member companies were present at this meeting?**

9 A: Yes. According to the minutes that I wrote, Charles H. Mullen, Ernest Pepples,
10 Alexander W. Spears, Arthur J. Stevens, Andrew H. Tisch, Murray H. Bring, William I.
11 Campbell, G. Robert Di Marco, Wayne W. Juchatz, Robert Barrett, James W. Chapin, and Robert
12 H. Lawrence were present at the meeting referred to in U.S. Exhibit 32636.

13 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
14 **meeting?**

15 A: Yes. According to the meeting minutes I wrote, Charles H. Mullen attended on
16 behalf of The American Tobacco Company. Ernest Pepples attended on behalf of Brown &
17 Williamson Tobacco Corporation. Alexander W. Spears and Arthur J. Stevens attended on behalf
18 of Lorillard Tobacco Company. Murray H. Bring attended on behalf of Philip Morris Companies
19 Inc. and William I. Campbell attended on behalf of Philip Morris U.S.A. G. Robert Di Marco
20 and Wayne W. Juchatz attended on behalf of R.J. Reynolds Tobacco Company. James W. Chapin
21 and Robert H. Lawrence attended on behalf of United States Tobacco Company.

22 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
23 **Class B members. According to the minutes you wrote, which Class B members were**
24 **present for the December 12, 1991 Annual Meeting referenced in U.S. Exhibit 32636?**

25 A: Bright Belt Warehouse Association, Inc., Tobacco Associates, Inc., and United

1 States Tobacco Company.

2 **Q: You testified earlier that you sent invitations to the annual meetings to**
3 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
4 **you wrote, were there lawyers affiliated with CTR present for the December 12, 1991**
5 **Annual Meeting referenced in U.S. Exhibit 32636?**

6 A: Yes.

7 **Q: Who?**

8 A: Thomas E. Bezanson of Chadbourne & Parke and John G. Koeltl of Debevoise
9 & Plimpton attended the meeting according to the minutes.

10 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
11 **referenced in U.S. exhibit 32636, were there other corporate officers besides yourself**
12 **present at the annual meeting?**

13 A: Yes.

14 **Q: Who?**

15 A: According to the minutes, James F. Glenn, Chairman, and Robert F. Gertenbach,
16 President of CTR, were also present.

17 **Q: You have earlier testified that CTR employees have attended annual**
18 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
19 **meeting?**

20 A: Yes. According to the minutes, Donald H. Ford, Vincent F. Lisanti, Harmon C.
21 McAllister, and David Stone attended on behalf of CTR. Also, Joseph D. Feldman of the
22 Scientific Advisory Board of CTR also attended.

23 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
24 **annual members meetings, you recalled other representatives from the member companies**
25 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**

1 **members) being present at the annual meetings. According to the minutes you wrote for**
2 **this meeting, were additional individuals were present?**

3 A: Yes. According to the minutes, Leonard Zahn of Leonard Zahn and Associates,
4 Inc. was also present.

5 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32637. Please take a**
6 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
7 **Research-U.S.A., Inc., Minutes of the Twenty-Third Annual Meeting of Members,**
8 **December 10, 1992." You noted earlier that members of the Board of Directors from CTR's**
9 **member companies and other individuals representing CTR's member companies were**
10 **typically present at these annual members meetings. Can you look at this document and tell**
11 **me which individuals from CTR member companies were present at this meeting?**

12 A: Yes. According to the minutes that I wrote, Donald S. Johnston, Ernest Pepples,
13 Raymond J. Pritchard, Jeffrey S. Wigand, Alexander W. Spears, Arthur J. Stevens, Murray H.
14 Bring, William I. Campbell, Steven Parrish, Carl W. Ehmann, James W. Johnston, Wayne W.
15 Juchatz, James W. Chapin, and Robert H. Lawrence were present at the meeting referred to in
16 U.S. Exhibit 32637.

17 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
18 **meeting?**

19 A: Yes. According to the meeting minutes I wrote, Donald S. Johnston attended
20 on behalf of The American Tobacco Company. Ernest Pepples, Raymond J. Prtitchard, and
21 Jeffrey S. Wigand attended on behalf of Brown & Williamson Tobacco Corporation. Alexander
22 W. Spears and Arthur J. Stevens attended on behalf of Lorillard Tobacco Company. Murray H.
23 Bring attended on behalf of Philip Morris Companies Inc. and William I. Campbell and Steven
24 Parrish attended on behalf of Philip Morris U.S.A. Carl W. Ehmann, James W. Johnston, and
25 Wayne W. Juchatz attended on behalf of R.J. Reynolds Tobacco Company. James W. Chapin and

1 Robert H. Lawrence attended on behalf of United States Tobacco Company.

2 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
3 **Class B members. According to the minutes you wrote, which Class B members were**
4 **present for the December 10, 1992 Annual Meeting referenced in U.S. Exhibit 32637?**

5 A: Bright Belt Warehouse Association, Inc., Burley Auction Warehouse Association,
6 Tobacco Associates, Inc., and United States Tobacco Company.

7 **Q: You testified earlier that you sent invitations to the annual meetings to**
8 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
9 **you wrote, were there lawyers affiliated with CTR present for the December 10, 1992**
10 **Annual Meeting referenced in U.S. Exhibit 32637?**

11 A: Yes.

12 **Q: Who?**

13 A: Thomas E. Bezanson of Chadbourne & Parke and John G. Koeltl of Debevoise
14 & Plimpton attended the meeting according to the minutes.

15 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
16 **referenced in U.S. exhibit 32637, were there other corporate officers besides yourself**
17 **present at the annual meeting?**

18 A: Yes.

19 **Q: Who?**

20 A: According to the minutes, James F. Glenn, Chairman, and Robert F. Gertenbach,
21 President of CTR, and Harmon C. McAllister, Vice President-Research, were also present.

22 **Q: You have earlier testified that CTR employees have attended annual**
23 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
24 **meeting?**

25 A: Yes. According to the minutes, Arthur D. Eisenberg, Donald H. Ford, George A.

1 Hashim, and Vincent F. Lisanti attended on behalf of CTR. Also, Raymond L. Erikson of the
2 Scientific Advisory Board of CTR also attended.

3 **Q: Ms. Pollice, you earlier testified that, over the years that you attended the**
4 **annual members meetings, you recalled other representatives from the member companies**
5 **(besides lawyers affiliated with CTR, CTR employees, Class B members and CTR board**
6 **members) being present at the annual meetings. According to the minutes you wrote for**
7 **this meeting, were additional individuals were present?**

8 A: Yes. According to the minutes, Leonard Zahn of Leonard Zahn and Associates,
9 Inc. was also present.

10 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32638. Please take a**
11 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
12 **Research-U.S.A., Inc., Minutes of the Twenty-Fourth Annual Meeting of Members,**
13 **December 3, 1993." You noted earlier that members of the Board of Directors from CTR's**
14 **member companies and other individuals representing CTR's member companies were**
15 **typically present at these annual members meetings. Can you look at this document and tell**
16 **me which individuals from CTR member companies were present at this meeting?**

17 A: Yes. According to the minutes that I wrote, Donald S. Johnston, Ernest Pepples,
18 Alexander W. Spears, William I. Campbell, Steven Parrish, Carl W. Wayne W. Juchatz, James
19 W. Chapin, and Robert H. Lawrence were present at the meeting referred to in U.S. Exhibit
20 32638.

21 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
22 **meeting?**

23 A: Yes. According to the meeting minutes I wrote, Donald S. Johnston attended on
24 behalf of The American Tobacco Company. Ernest Pepples attended on behalf of Brown &
25 Williamson Tobacco Corporation. Alexander W. Spears attended on behalf of Lorillard Tobacco

1 Company. William I. Campbell and Steven Parrish attended on behalf of Philip Morris U.S.A.
2 Wayne W. Juchatz attended on behalf of R.J. Reynolds Tobacco Company. James W. Chapin and
3 Robert H. Lawrence attended on behalf of United States Tobacco Company.

4 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**
5 **Class B members. According to the minutes you wrote, which Class B members were**
6 **present for the December 3, 1993 Annual Meeting referenced in U.S. Exhibit 32638?**

7 A: Bright Belt Warehouse Association, Inc., Burley Auction Warehouse Association,
8 Tobacco Associates, Inc. and United States Tobacco Company.

9 **Q: You testified earlier that you sent invitations to the annual meetings to**
10 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
11 **you wrote, were there lawyers affiliated with CTR present for the December 3, 1993 Annual**
12 **Meeting referenced in U.S. Exhibit 32638?**

13 A: Yes.

14 **Q: Who?**

15 A: Thomas E. Bezanson of Chadbourne & Parke and Steven Klugman of Debevoise
16 & Plimpton attended the meeting according to the minutes.

17 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
18 **referenced in U.S. exhibit 32638, were there other corporate officers besides yourself**
19 **present at the annual meeting?**

20 A: Yes.

21 **Q: Who?**

22 A: According to the minutes, James F. Glenn, Chairman and President of CTR,
23 Harmon C. McAllister, Vice President-Research of CTR, and Arthur D. Eisenberg, Assistant
24 Secretary of CTR, were also present.

25 **Q: You have earlier testified that CTR employees have attended annual**

1 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
2 **meeting?**

3 A: Yes. According to the minutes, Donald H. Ford, George A. Hashim, and Vincent F.
4 Lisanti attended on behalf of CTR. Also, Henry T. Lynch of the Scientific Advisory Board of
5 CTR also attended.

6 **Q: Ms. Pollice, I now direct your attention to U.S. Exhibit 32639. Please take a**
7 **moment to read over the exhibit. This document is entitled, "The Council for Tobacco**
8 **Research-U.S.A., Inc., Minutes of the Twenty-Fifth Annual Meeting of Members, December**
9 **8, 1994." You noted earlier that members of the Board of Directors from CTR's member**
10 **companies and other individuals representing CTR's member companies were typically**
11 **present at these annual members meetings. Can you look at this document and tell me**
12 **which individuals from CTR member companies were present at this meeting?**

13 A: Yes. According to the minutes that I wrote, Thomas P. Kriz, Ernest Pepples,
14 Alexander W. Spears, Arthur J. Stevens, William I. Campbell, Carl W. Ehmann, James W.
15 Johnston, Robert H. Lawrence, and Elizabeth T. Marren were present at the meeting referred to in
16 U.S. Exhibit 32639.

17 **Q: Can you tell me on behalf of which company each gentleman appeared at the**
18 **meeting?**

19 A: Yes. According to the meeting minutes I wrote, Thomas P. Kriz attended on
20 behalf of The American Tobacco Company. Ernest Pepples attended on behalf of Brown &
21 Williamson Tobacco Corporation. Alexander W. Spears and Arthur J. Stevens attended on behalf
22 of Lorillard Tobacco Company. Carl W. Ehmann and James W. Johnston attended on behalf of
23 R.J. Reynolds Tobacco Company. Robert H. Lawrence and Elizabeth T. Marren attended on
24 behalf of United States Tobacco Company.

25 **Q: You mentioned earlier that you sent invitations for the annual meetings to the**

1 **Class B members. According to the minutes you wrote, which Class B members were**
2 **present for the December 8, 1994 Annual Meeting referenced in U.S. Exhibit 32639?**

3 A: Bright Belt Warehouse Association, Inc., Burley Auction Warehouse Association,
4 Tobacco Associates, Inc. and United States Tobacco Company.

5 **Q: You testified earlier that you sent invitations to the annual meetings to**
6 **attorneys representing CTR at the time of the meeting. According to the meeting minutes**
7 **you wrote, were there lawyers affiliated with CTR present for the December 8, 1994 Annual**
8 **Meeting referenced in U.S. Exhibit 32639?**

9 A: Yes.

10 **Q: Who?**

11 A: Thomas Riley of Chadbourne & Parke attended the meeting. Also, Steven
12 Klugman and Standish F. Medina, Jr., of Debevoise & Plimpton attended the meeting according
13 to the minutes.

14 **Q: Ms. Pollice, according to the minutes you prepared for the annual meeting**
15 **reference in U.S. exhibit 32639, were there other corporate officers besides yourself present**
16 **at the annual meeting?**

17 A: Yes.

18 **Q: Who?**

19 A: According to the minutes, James F. Glenn, Chairman and President, Harmon C.
20 McAllister, Vice President-Research, and Arthur D. Eisenberg, Assistant Secretary, were also
21 present.

22 **Q: You have earlier testified that CTR employees have attended annual**
23 **Meetings. Do the minutes you prepared reflect that CTR employees attended this annual**
24 **meeting?**

25 A: Yes. According to the minutes, Donald H. Ford, George A. Hashim, Vincent F.

1 Lisanti (retired) and David Stone (retired) attended on behalf of CTR. Also, Leo G. Abood of the
2 Scientific Advisory Board of CTR also attended.

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