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**FILED**

**FEB 27 2009**

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CLERK, U.S. DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

7 Attorneys for the United States

8 UNITED STATES DISTRICT COURT  
9 NORTHERN DISTRICT OF CALIFORNIA  
10 SAN FRANCISCO DIVISION

11 UNITED STATES OF AMERICA

12 v.

13 CHIH-CHUN "C.C." LIU,

14 Defendant.

Case No. CR 09-0045 SI

15  
16 **PLEA AGREEMENT**

17 The United States of America and Chih-Chun "C.C." Liu ("defendant") hereby enter into  
18 the following Plea Agreement pursuant to Rule 11(c)(1)(C) of the Federal Rules of Criminal  
19 Procedure ("Fed. R. Crim. P."):

20 **RIGHTS OF DEFENDANT**

- 21 1. The defendant understands his rights:
- 22 (a) to be represented by an attorney;
  - 23 (b) to be charged by Indictment;
  - 24 (c) as a permanent resident of Taiwan, Republic of China and holder of a  
25 Taiwan passport, to decline to accept service of the Summons in this case, and to contest  
26 the jurisdiction of the United States to prosecute this case against him in the United States  
27 District Court for the Northern District of California;
  - 28 (d) to plead not guilty to any criminal charge brought against him;

1 (e) to have a trial by jury, at which he would be presumed not  
2 guilty of the charge and the United States would have to prove every essential element of  
3 the charged offense beyond a reasonable doubt for him to be found guilty;

4 (f) to confront and cross-examine witnesses against him and to  
5 subpoena witnesses in his defense at trial;

6 (g) not to be compelled to incriminate himself;

7 (h) to appeal his conviction, if he is found guilty; and

8 (i) to appeal the imposition of sentence against him.

9 **AGREEMENT TO PLEAD GUILTY**  
10 **AND WAIVE CERTAIN RIGHTS**

11 2. The defendant knowingly and voluntarily waives the rights set out in Paragraph  
12 1(b)-(h) above, including all jurisdictional defenses to the prosecution of this case, and agrees  
13 voluntarily to consent to the jurisdiction of the United States to prosecute this case against him in  
14 the United States District Court for the Northern District of California. The defendant also  
15 knowingly and voluntarily waives the right to file any appeal, any collateral attack, or any other  
16 writ or motion, including but not limited to an appeal under 18 U.S.C. § 3742 or a motion under  
17 28 U.S.C. § 2241 or 2255, that challenges the sentence imposed by the Court if that sentence is  
18 consistent with or below the recommended sentence in Paragraph 8 of this Plea Agreement,  
19 regardless of how the sentence is determined by the Court. This agreement does not affect the  
20 rights or obligations of the United States as set forth in 18 U.S.C. § 3742(b) and (c). Nothing in  
21 this paragraph, however, shall act as a bar to the defendant perfecting any legal remedies he may  
22 otherwise have on appeal or collateral attack respecting claims of ineffective assistance of  
23 counsel or prosecutorial misconduct. Pursuant to Fed. R. Crim. P. 7(b), the defendant will waive  
24 indictment and plead guilty at arraignment to a one-count Information to be filed in the United  
25 States District Court for the Northern District of California. The Information will charge the  
26 defendant with participating in a conspiracy to suppress and eliminate competition by fixing the  
27 prices of thin-film transistor liquid crystal display panels ("TFT-LCD") sold in the United States  
28 and elsewhere, from on or about September 14, 2001, to on or about July 8, 2005, in violation of

1 the Sherman Antitrust Act, 15 U.S.C. § 1.

2 3. The defendant, pursuant to the terms of this Plea Agreement, will  
3 plead guilty to the criminal charge described in Paragraph 2, above, and will make a factual  
4 admission of guilt to the Court in accordance with Fed. R. Crim. P. 11, as set forth in Paragraph  
5 4, below. The United States agrees that at the arraignment, it will stipulate to the release of the  
6 defendant on his personal recognizance, pursuant to 18 U.S.C. § 3142, pending the sentencing  
7 hearing in this case.

8 **FACTUAL BASIS FOR OFFENSE CHARGED**

9 4. Had this case gone to trial, the United States would have presented  
10 evidence sufficient to prove the following facts:

11 (a) For purposes of this Plea Agreement, the "relevant period" is  
12 that period from on or about September 14, 2001, to on or about July 8, 2005. During the  
13 relevant period, the defendant was Vice President of Sales of Chunghwa Picture Tubes,  
14 Ltd., an entity organized and existing under the laws of Taiwan, Republic of China, with  
15 its principal place of business in Taoyuan, Taiwan, Republic of China. During the  
16 relevant period, Chunghwa Picture Tubes, Ltd. was a producer of TFT-LCD and was  
17 engaged in the sale of TFT-LCD in the United States and elsewhere. TFT-LCD are glass  
18 panels composed of an array of tiny pixels that are electronically manipulated in order to  
19 display images. TFT-LCD are manufactured in a broad range of sizes and specifications  
20 for use in televisions, notebook computers, desktop monitors, mobile devices, and other  
21 applications.

22 (b) During the relevant period, the defendant participated in a conspiracy  
23 with other persons and entities engaged in the manufacture and sale of TFT-LCD, the  
24 primary purpose of which was to fix the price of TFT-LCD sold in the United States and  
25 elsewhere. In furtherance of the conspiracy, the defendant engaged in conversations and  
26 attended meetings, including group meetings commonly referred to by the participants as  
27 "crystal meetings," with representatives of other major TFT-LCD producing firms.

28 During such meetings and conversations, agreements were reached to fix the price of

1 TFT-LCD to be sold in the United States and elsewhere. The defendant was a manager or  
2 supervisor in the conspiracy, which involved at least five participants.

3 (c) During the relevant period, TFT-LCD sold by one or more of the  
4 conspirator firms, and equipment and supplies necessary to the production and  
5 distribution of TFT-LCD, as well as payments for TFT-LCD, traveled in interstate and  
6 foreign commerce. The business activities of Chunghwa Picture Tubes, Ltd. and co-  
7 conspirators in connection with the production and sale of TFT-LCD affected by this  
8 conspiracy were within the flow of, and substantially affected, interstate and foreign trade  
9 and commerce.

10 (d) Acts in furtherance of this conspiracy were carried out within the  
11 Northern District of California. TFT-LCD affected by this conspiracy were sold by one  
12 or more of the conspirators to customers in this District.

13 **POSSIBLE MAXIMUM SENTENCE**

14 5. The defendant understands that the statutory maximum penalty which may be  
15 imposed against him upon conviction for a violation of Section One of the Sherman Antitrust Act  
16 is:

17 (a) a term of imprisonment for ten (10) years (15 U.S.C. § 1);

18 (b) a fine in an amount equal to the greatest of (1) \$1 million, (2) twice the  
19 gross pecuniary gain the conspirators derived from the crime, or (3) twice the gross  
20 pecuniary loss caused to the victims of the crime by the conspirators (15 U.S.C. § 1; 18  
21 U.S.C. § 3571(b) and (d)); and

22 (c) a term of supervised release of three (3) years following any term of  
23 imprisonment. If the defendant violates any condition of supervised release, the  
24 defendant could be imprisoned for up to two (2) years (18 U.S.C. § 3559(a)(3); 18 U.S.C.  
25 § 3583(b)(2) and (e)(3); and United States Sentencing Guidelines ("U.S.S.G.,"  
26 "Sentencing Guidelines," or "Guidelines") § 5D1.2(a)(2)).

27 6. In addition, the defendant understands that:

28 (a) pursuant to U.S.S.G. § 5E1.1 or 18 U.S.C. § 3663(a)(3) or 3583(d), the

1 Court may order him to pay restitution to the victims of the offense; and

2 (b) pursuant to 18 U.S.C. § 3013(a)(2)(A), the Court is required to order the  
3 defendant to pay a \$100.00 special assessment upon conviction for the charged crime.

4 **SENTENCING GUIDELINES**

5 7. The defendant understands that the Sentencing Guidelines are advisory, not  
6 mandatory, but that the Court must consider the Guidelines in effect on the day of sentencing,  
7 along with the other factors set forth in 18 U.S.C. § 3553(a), in determining and imposing  
8 sentence. The defendant understands that the Guidelines determinations will be made by the  
9 Court by a preponderance-of-the-evidence standard. The defendant understands that although the  
10 Court is not ultimately bound to impose a sentence within the applicable Guidelines range, its  
11 sentence must be reasonable, based upon consideration of all relevant sentencing factors set forth  
12 in 18 U.S.C. § 3553(a).

13 **SENTENCING AGREEMENT**

14 8. Pursuant to Fed. R. Crim. P. 11(c)(1)(C), the United States and the defendant  
15 agree that the appropriate disposition of this case is, and agree to recommend jointly that the  
16 Court impose, a sentence requiring the defendant to pay to the United States a criminal fine of  
17 \$30,000 payable in full before the fifteenth (15<sup>th</sup>) day after the date of judgment; a period of  
18 imprisonment of 210 days; no order of restitution, and no period of supervised release ("the  
19 recommended sentence"). The defendant agrees that he will not request that he be allowed to  
20 serve any part of his sentence in home detention, intermittent confinement, or community  
21 confinement. The United States will not object to the defendant's request that the Court make a  
22 recommendation to the Bureau of Prisons that the Bureau of Prisons designate that the defendant  
23 be assigned to a Federal Minimum Security Camp (and specifically to the Lompoc Prison Camp  
24 in Lompoc, California) to serve his sentence and that the defendant be released following the  
25 imposition of sentence to allow him to self-surrender to the assigned prison facility on a specified  
26 date. The parties agree that there exists no aggravating or mitigating circumstance of a kind, or  
27 to a degree, not adequately taken into consideration by the U.S. Sentencing Commission in  
28 formulating the Sentencing Guidelines justifying a departure pursuant to U.S.S.G. § 5K2.0. The

1 parties agree not to seek or support any sentence other than the recommended sentence set forth  
2 in this Paragraph 8. The parties further agree that the recommended sentence set forth in this  
3 Plea Agreement is reasonable. The defendant understands that the Court will order him to pay a  
4 \$100 special assessment pursuant to 18 U.S.C. § 3013(a)(2)(A) in addition to any fine imposed.

5 9. The United States and the defendant agree that, pursuant to U.S.S.G. § 5E1.1(b),  
6 defendant should not be ordered to pay restitution in light of the civil cases filed against  
7 Chunghwa Picture Tubes, Ltd., defendant's current employer, including *In re TFT-LCD (Flat*  
8 *Panel) Antitrust Litigation, No. M-07-1827 SI*, consolidated in the United States District Court,  
9 Northern District of California, which potentially provide for a recovery of a multiple of actual  
10 damages.

11 10. The United States and the defendant agree that the applicable Guidelines fine and  
12 imprisonment ranges exceed the fine and term of imprisonment contained in the recommended  
13 sentence set out in Paragraph 8 above. Subject to the full and continuing cooperation of the  
14 defendant, as described in Paragraph 13 of this Plea Agreement, and prior to sentencing in this  
15 case, the United States agrees that it will make a motion, pursuant to U.S.S.G. § 5K1.1, for a  
16 downward departure from the Guidelines fine and imprisonment range(s) in this case and will  
17 request that the Court impose the fine and term of imprisonment contained in the recommended  
18 sentence set out in Paragraph 8 of this Plea Agreement because of the defendant's substantial  
19 assistance in the government's investigation and prosecutions of violations of federal criminal  
20 law in the TFT-LCD industry and other display industries.

21 11. Subject to the ongoing, full, and truthful cooperation of the defendant  
22 described in Paragraph 13 of this Plea Agreement, and before sentencing in the case, the United  
23 States will fully advise the Court and the Probation Office of the fact, manner, and extent of the  
24 defendant's cooperation and his commitment to prospective cooperation with the United States'  
25 investigation and prosecutions, all material facts relating to the defendant's involvement in the  
26 charged offense, and all other relevant conduct.

27 12. The United States and the defendant understand that the Court retains complete  
28 discretion to accept or reject the recommended sentence provided for in Paragraph 8 of this Plea

1 Agreement.

2 (a) If the Court does not accept the recommended sentence, the United States  
3 and the defendant agree that this Plea Agreement, except for Paragraph 12(b) below, shall  
4 be rendered void. Neither party may withdraw from this Plea Agreement, however, based  
5 on the type or location of the prison facility to which the defendant is assigned to serve  
6 his sentence or based on the Court-imposed surrender date.

7 (b) If the Court does not accept the recommended sentence, the defendant will  
8 be free to withdraw his guilty plea (Fed. R. Crim. P. 11(c)(5) and (d)). If the defendant  
9 withdraws his plea of guilty, this Plea Agreement, the guilty plea, and any statement made  
10 in the course of any proceedings under Fed. R. Crim. P. 11 regarding the guilty plea or  
11 this Plea Agreement or made in the course of plea discussions with an attorney for the  
12 government shall not be admissible against the defendant in any criminal or civil  
13 proceeding, except as otherwise provided in Fed. R. Evid. 410. In addition, the defendant  
14 agrees that, if he withdraws his guilty plea pursuant to this subparagraph of the Plea  
15 Agreement, the statute of limitations period for any Relevant Offense, as defined in  
16 Paragraph 14 below, will be tolled for the period between the date of the signing of the  
17 Plea Agreement and the date the defendant withdrew his guilty plea or for a period of  
18 sixty (60) days after the date of the signing of the Plea Agreement, whichever period is  
19 greater. For a period of three (3) consecutive days following such a withdrawal of the  
20 guilty plea under this subparagraph, the United States shall take no action, based upon  
21 either a Relevant Offense or any actual or alleged violation of the Plea Agreement, to  
22 revoke the defendant's release on his personal recognizance, to subject the defendant to  
23 service of process, arrest, or detention, or to prevent the defendant from departing the  
24 United States.

25 **DEFENDANT'S COOPERATION**

26 13. The defendant will cooperate fully and truthfully with the United  
27 States in the prosecution of this case, the conduct of the current federal investigation of violations  
28 of federal antitrust and related criminal laws involving the manufacture or sale of TFT-LCD in

1 the United States and elsewhere, any other federal investigation resulting therefrom, and any  
2 litigation or other proceedings arising or resulting from any such investigation to which the  
3 United States is a party ("Federal Proceeding"). The ongoing, full, and truthful cooperation of  
4 the defendant shall include, but not be limited to:

5 (a) producing in the United States and at other mutually agreed-upon  
6 locations all non-privileged documents, including claimed personal documents, and other  
7 materials, wherever located, in the possession, custody, or control of the defendant,  
8 requested by attorneys and agents of the United States;

9 (b) making himself available for interviews in the United States and at other  
10 mutually agreed-upon locations, not at the expense of the United States, upon the request  
11 of attorneys and agents of the United States;

12 (c) responding fully and truthfully to all inquiries of the United  
13 States in connection with any Federal Proceeding, without falsely implicating any person  
14 or intentionally withholding any information, subject to the penalties of making false  
15 statements (18 U.S.C. § 1001) and obstruction of justice (18 U.S.C. § 1503, *et seq.*);

16 (d) otherwise voluntarily providing the United States with any  
17 non-privileged material or information, not requested in (a) - (c) of this paragraph, that he  
18 may have that is related to any Federal Proceeding; and

19 (e) when called upon to do so by the United States in connection with any  
20 Federal Proceeding, testifying in grand jury, trial, and other judicial proceedings in the  
21 United States fully, truthfully, and under oath, subject to the penalties of perjury (18  
22 U.S.C. § 1621), making false statements or declarations in grand jury or court  
23 proceedings (18 U.S.C. § 1623), contempt (18 U.S.C. §§ 401 - 402), and obstruction of  
24 justice (18 U.S.C. § 1503, *et seq.*).

25 **GOVERNMENT'S AGREEMENT**

26 14. Subject to the full, truthful, and continuing cooperation of the  
27 defendant, as described in Paragraph 13 of this Plea Agreement, and upon the Court's acceptance  
28 of the guilty plea called for by this Plea Agreement and the imposition of the recommended



1 sentence, the United States will not bring further criminal charges against the defendant for any  
2 act or offense committed before the date of this Plea Agreement that was undertaken in  
3 furtherance of an antitrust conspiracy involving the manufacture or sale of TFT-LCD ("Relevant  
4 Offense"). The nonprosecution terms of this paragraph do not apply to civil matters of any kind,  
5 to any violation of the federal tax or securities laws, or to any crime of violence.

6 15. The United States agrees that when the defendant travels to the United States for  
7 interviews, grand jury appearances, or court appearances pursuant to this Plea Agreement, or for  
8 meetings with counsel in preparation therefor, the United States will take no action, based upon  
9 any Relevant Offense, to subject the defendant to arrest, detention, or service of process, or to  
10 prevent the defendant from departing the United States. This paragraph does not apply to the  
11 defendant's commission of perjury (18 U.S.C. § 1621), making false statements (18 U.S.C. §  
12 1001), making false statements or declarations in grand jury or court proceedings (18 U.S.C. §  
13 1623), obstruction of justice (18 U.S.C. § 1503, *et seq.*), or contempt (18 U.S.C. §§ 401 - 402) in  
14 connection with any testimony or information provided or requested in any Federal Proceeding.

15 16. (a) Subject to the full and continuing cooperation of the defendant,  
16 as described in Paragraph 13 of this Plea Agreement, and upon the Court's acceptance of  
17 the defendant's guilty plea and imposition of sentence in this case, the United States  
18 agrees not to seek to remove the defendant from the United States under Sections 238 and  
19 240 of the Immigration and Nationality Act, 8 U.S.C. §§ 1228 and 1229a, based upon the  
20 defendant's guilty plea and conviction in this case, should the defendant apply for or  
21 obtain admission to the United States as a nonimmigrant (hereinafter referred to as the  
22 "agreement not to seek to remove the defendant"). The agreement not to seek to remove  
23 the defendant is the equivalent of an agreement not to exclude the defendant from  
24 admission to the United States as a nonimmigrant or to deport the defendant from the  
25 United States. (Immigration and Nationality Act, § 240(e)(2), 8 U.S.C. § 1229a(e)(2)).

26 (b) The Antitrust Division of the United States Department of  
27 Justice has consulted with United States Immigration and Customs Enforcement ("ICE")  
28 on behalf of the United States Department of Homeland Security ("DHS"). ICE, on

1 behalf of DHS and in consultation with the United States Department of State, has agreed  
2 to the inclusion in this Plea Agreement of this agreement not to seek to remove the  
3 defendant. The Secretary of DHS has delegated to ICE the authority to enter this  
4 agreement on behalf of DHS.

5 (c) So that the defendant will be able to obtain any nonimmigrant  
6 visa that he may need to travel to the United States, DHS and the Visa Office, United  
7 States Department of State, have concurred in the granting of a nonimmigrant waiver of  
8 the defendant's inadmissibility. This waiver will remain in effect so long as this  
9 agreement not to seek to remove the defendant remains in effect. While the waiver  
10 remains in effect, the Department of State will not deny the defendant's application for a  
11 nonimmigrant visa on the basis of the defendant's guilty plea and conviction in this case,  
12 and DHS will not deny his application for admission as a nonimmigrant on the basis of  
13 his guilty plea and conviction in this case.

14 (d) This agreement not to seek to remove the defendant will remain  
15 in effect so long as the defendant:

16 (i) acts and has acted consistently with his cooperation  
17 obligations under this Plea Agreement;

18 (ii) is not convicted of any felony under the laws of the United  
19 States or any state, other than the conviction resulting from the defendant's guilty  
20 plea under this Plea Agreement or any conviction under the laws of any state  
21 resulting from conduct constituting an offense subject to this Plea Agreement; and

22 (iii) does not engage in any other conduct that would warrant  
23 his removal from the United States under the Immigration and Nationality Act.

24 The defendant understands that should the Antitrust Division become aware that the  
25 defendant has violated any of these conditions, the Antitrust Division will notify DHS.  
26 DHS will then determine, in consultation with the Antitrust Division, whether to rescind  
27 this agreement not to seek to remove the defendant.

28 (e) The defendant agrees to notify the Assistant Attorney General

1 of the Antitrust Division should the defendant be convicted of any other felony under the  
2 laws of the United States or of any state.

3 (f) Should the United States rescind this agreement not to seek to  
4 remove the defendant because of the defendant's violation of a condition of this Plea  
5 Agreement, the defendant irrevocably waives his right to contest his removal from the  
6 United States under the Immigration and Nationality Act on the basis of his guilty plea  
7 and conviction in this case, but retains his right to notice of removal proceedings.

8 17. The defendant understands that he may be subject to administrative  
9 action by federal or state agencies other than the United States Department of Justice, Antitrust  
10 Division, based upon the conviction resulting from this Plea Agreement, and that this Plea  
11 Agreement in no way controls whatever action, if any, other agencies may take. However, the  
12 United States agrees that, if requested, it will advise the appropriate officials of any governmental  
13 agency considering such administrative action of the fact, manner, and extent of the cooperation  
14 of the defendant as a matter for that agency to consider before determining what administrative  
15 action, if any, to take.

16 **REPRESENTATION BY COUNSEL**

17 18. The defendant has reviewed all legal and factual aspects of this case with his  
18 attorney and is fully satisfied with his attorney's legal representation. The defendant has  
19 thoroughly reviewed this Plea Agreement with his attorney and has received satisfactory  
20 explanations from his attorney concerning each paragraph of this Plea Agreement and  
21 alternatives available to the defendant other than entering into this Plea Agreement. After  
22 conferring with his attorney and considering all available alternatives, the defendant has made a  
23 knowing and voluntary decision to enter into this Plea Agreement.

24 **VOLUNTARY PLEA**

25 19. The defendant's decision to enter into this Plea Agreement and  
26 to tender a plea of guilty is freely and voluntarily made and is not the result of force, threats,  
27 assurances, promises, or representations other than the representations contained in this Plea  
28 Agreement. The United States has made no promises or representations to the defendant as to

1 whether the Court will accept or reject the recommendations contained within this Plea  
2 Agreement.

3 **VIOLATION OF PLEA AGREEMENT**

4 20. The defendant agrees that, should the United States determine in good  
5 faith, during the period that any Federal Proceeding is pending, that the defendant has failed to  
6 provide full and truthful cooperation, as described in Paragraph 13 of this Plea Agreement, or has  
7 otherwise violated any provision of this Plea Agreement, the United States will notify the  
8 defendant or his counsel in writing by personal or overnight delivery or facsimile transmission  
9 and may also notify his counsel by telephone of its intention to void any of its obligations under  
10 this Plea Agreement (except its obligations under this paragraph), and the defendant shall be  
11 subject to prosecution for any federal crime of which the United States has knowledge. The  
12 defendant may seek Court review of any determination made by the United States under this  
13 Paragraph to void any of its obligations under the Plea Agreement. The defendant agrees that, in  
14 the event that the United States is released from its obligations under this Plea Agreement and  
15 brings criminal charges against the defendant for any Relevant Offense, the statute of limitations  
16 period for such offense will be tolled for the period between the date of the signing of this Plea  
17 Agreement and six (6) months after the date the United States gave notice of its intent to void its  
18 obligations under this Plea Agreement.

19 21. The defendant understands and agrees that in any further prosecution  
20 of him resulting from the release of the United States from its obligations under this Plea  
21 Agreement based on the defendant's violation of the Plea Agreement, any documents,  
22 statements, information, testimony, or evidence provided by him to attorneys or agents of the  
23 United States, federal grand juries, or courts, and any leads derived therefrom, may be used  
24 against him in any such further prosecution. In addition, the defendant unconditionally waives  
25 his right to challenge the use of such evidence in any such further prosecution, notwithstanding  
26 the protections of Fed. R. Evid. 410.

27 22. The defendant agrees to and adopts as his own the factual statement contained in  
28 Paragraph 4, above. In the event that the defendant breaches the Plea Agreement, the defendant

1 agrees that the Plea Agreement, including the factual statement contained in Paragraph 4, above,  
2 provides a sufficient basis for any possible future extradition request that may be made for his  
3 return to the United States to face charges either in the Information referenced in Paragraph 2 of  
4 this Plea Agreement or in any related indictment. The defendant further agrees not to oppose or  
5 contest any request for extradition by the United States to face charges either in the Information  
6 referenced in Paragraph 2 of this Plea Agreement or in any related indictment.

7 **ENTIRETY OF AGREEMENT**

8 23. This Plea Agreement constitutes the entire agreement between the  
9 United States and the defendant concerning the disposition of the criminal charge in this case.  
10 This Plea Agreement cannot be modified except in writing, signed by the United States and the  
11 defendant.

12 24. The undersigned attorneys for the United States have been authorized  
13 by the Attorney General of the United States to enter this Plea Agreement on behalf of the United  
14 States.

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1 25. A facsimile signature shall be deemed an original signature for the  
2 purpose of executing this Plea Agreement. Multiple signature pages are authorized for the purpose  
3 of executing this Plea Agreement.

4  
5 DATED: *Feb. 18, 2009*

Respectfully submitted,

6 BY: *Chih-Chun Liu*  
7 Chih-Chun "C.C." Liu  
8 Defendant

BY: *Heather S. Tewksbury*  
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9 BY: *William S. Farmer*  
10 Counsel for Defendant  
11 William S. Farmer  
12 Collette Erickson Farmer & O'Neill  
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