

Duplicate Account Summary for August 1, 2001 - August 31, 2001

Your Financial Advisor :
ROCKY EMERY
EMERY FINANCIAL GROUP
OF FIRST UNION SECURITIES, INC
909 FANNIN STE 2100
HOUSTON, TX 77010
713-853-2401 / 866-753-9556

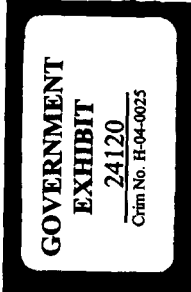
The original account summary was sent to:
KENNETH L LAY &
LINDA P LAY
TEN IN COMM
CAPROCK CAPITAL ADVISORS
[REDACTED]
HOUSTON TX 77019 [REDACTED]

At a Glance

If you have more than one account with us, why not get them linked? Your summary below will list them all.
Contact Your Financial Advisor today.

Accounts	Account number	Previous portfolio value	Current portfolio value
Investment Account	[REDACTED]-4330	\$0.00	\$695,708.96
Total		\$0.00	\$695,708.96

043483 02 3DG 266 ***AUTO**3-DIGIT 770
CAPROCK CAPITAL ADVISORS, INC.
3131 EASTSIDE, SUITE 120
HOUSTON TX 77098



First Union Securities, Inc., Member NYSE/SIPC. Brokerage accounts are carried by First Clearing Corporation (FCC), Member NYSE/SIPC.

063483 244235538244 YHNNNN NNNNNN NNNNNNNN 000001

000 06

GJ 04052
Confidential Treatment
Requested by K & L

Regulatory Disclosure

Estimated portfolio values

Your portfolio value is an estimate. The prices First Clearing Corporation uses to value certain securities are provided by outside services and do not always represent the exact market price.

Pricing method

Prices for listed and NASDAQ securities are as of the close of business on the last day of the period. Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded securities, by utilizing a yield-based matrix system to arrive at an estimated market value. For current prices, call Your Financial Advisor.

Effective September 30, 2001, long-term certificates of deposit will be priced using a market value pricing model. The price shown may be different than the price received if the CD is held to maturity.

SIPC protection

Coverage for cash and securities in protected client accounts is provided from two sources. The Securities Investor Protection Corporation (SIPC) protects up to \$500,000, of which \$100,000 may be cash. First Union has obtained additional protection, at no cost to you, for the remaining net equity balance, if any, of the cash and securities in your accounts. This coverage does not protect against losses from any change in market values of investments.

Margin account

If you have a margin account, this is a combined statement of your general account and the Special Memorandum Account maintained for you under Section 220.5 of Regulation T, issued by the Board of Governors of the Federal Reserve system. The permanent record of the separate account is available for your inspection.

Option information

Information on commissions and other charges associated with option transactions has been sent to you separately in transaction confirmations. Copies are available upon request.

Financial statements

Twice a year, First Clearing Corporation will update and have available, on-line, a statement of the firm's financial condition at www.firstclearingcorp.com. The most recent printed statement is available upon request.

Free credit balance

Free credit balances and fully-paid securities are available to clients upon request. Free credit balances are not segregated and may be used by the firm, but only to the extent permitted by federal regulations (Rule 15c3 on customer protection).

Tax reporting

If you are subject to 1099 reporting, we will provide you with any applicable 1099 forms. Cost basis and unrealized gains/loss are provided for informational purposes only and should not be used for tax preparation without assistance from your tax advisor. In addition, separate purchases of the same security may have been combined. To update your cost data or provide costs that are missing, contact Your Financial Advisor.

IRA Withholding Notice

Form W-4POMB No. 1545-0415

The withdrawals you receive from your IRA (except Education IRA) are subject to Federal income tax withholding unless you elect not to have withholding apply. If you have a periodic, or an "on demand" distribution, your election regarding our withholding of Federal income tax on your behalf stays in effect until you change it. You may change or revoke your election at any time and as often as you wish by completing a new election form. If you elect check-writing privileges you will also have previously elected to have no withholding on your withdrawals. If you decide to have taxes withheld you will not be eligible for check writing from your IRA. If you elect not to have taxes withheld you will be liable for payment of all taxes due on the taxable portion of your distribution and you may be responsible for payment of estimated tax. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

Trading Activity

The time of transaction herein, the securities market on which the transaction was made, or the name of the buyer or seller and the source and amount of any commission or fee receivable by the firm will be furnished to the Client upon written request. Clients may elect in writing not to receive confirmations for transactions entered by outside investment advisors on their behalf. Clients may receive, on request and at no additional cost, transaction confirmations for any prior transactions effected during a waiver period and if desired, immediate confirmations for all subsequent transactions including details of any transaction effected for their accounts between periodic statements.

Financial situation

You must inform Your Financial Advisor promptly of any material change in your investment objective or financial situation so that they can meet your needs.

Clearing services

First Clearing Corporation (FCC), a registered broker-dealer, a member of the New York Stock Exchange and a member of the National Association of Securities Dealers, Inc., carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your introducing firm as identified on the front of this statement, or as a result of transactions we process for your account. Unless and until we receive written notice from you to the contrary, FCC may accept from the introducing firm, without injury or investigation:

- orders for the purchase or sale of securities for your account on margin or otherwise, and
- any other instructions concerning your account.

Questions about your statement

If you do not object to the information reported on this statement within 10 days, we consider it conclusive. Inquiries concerning the positions and balances in your account may be directed to: FCC Client Services Department, P.O. Box 6500, Glen Allen, VA 23508. All other inquiries regarding your account or the activities therein should be directed to the Branch Manager for the office listed on the front of this statement.

GJ 04053

Confidential Treatment
Requested by K & L



Account Statement for KENNETH L LAY &
 LINDA P LAY
 Account No. ██████████ 4330
 August 2001

Portfolio Summary

	Value on June 30	Value on Aug 31	
Total portfolio value	\$0.00	\$695,708.96	
Change in value		This period \$695,708.96	
Portfolio assets			
	Value on June 30	Value on Aug 31	Percent of total assets
Cash and money market funds	\$0.00	\$198,006.88	28.17
Stocks and options	\$0.00	\$498,702.10	71.83
Total assets	\$0.00	\$695,708.96	100%
Total portfolio value	\$0.00	\$695,708.96	

**Investment Account
 for KENNETH L LAY &
 LINDA P LAY
 TEN IN COMM
 CAPROCK CAPITAL ADVISORS
 July 25 - August 31, 2001
 Current Investment Objective: Growth & Income + Moderate**

Message from First Union Securities

PLANNING FOR A CHILD'S OR GRANDCHILD'S EDUCATION? A 529 PLAN IS NOW A BETTER WAY TO FUND EDUCATION THAN EVER BEFORE. READ INVESTOR'S SPECTRUM TO LEARN MORE -- THEN CONTACT YOUR FINANCIAL ADVISOR TO IDENTIFY THE STRATEGIES THAT CAN WORK BEST FOR YOU.

GJ 04054
 Confidential Treatment
 Requested by K & L

Account Statement for KENNETH L LAY &
LINDA P LAY
Account No. ██████████-4330

August 2001

Income Summary

Use this summary to keep track of your income. If this account is subject to tax reporting, do not use this summary for tax reporting purposes; the Forms 1099 we send you during the tax season will provide tax reporting information.

	This period	Year to date
Money Market Income *		
Money market dividends	\$31.32	\$31.32
Total Money Market Income	\$31.32	\$31.32

* This income can be either taxable or tax-exempt.

Activity Summary

	This Period	Year to Date
Total cash and money market funds on June 30	\$0.00	
Additions to cash		
Deposits	\$136,404.79	\$136,404.79
Income and short term distributions	\$31.32	\$31.32
Proceeds from securities sold and redeemed	\$60,335.47	\$60,335.47
Total Additions to cash	\$196,771.58	\$196,771.58
Subtractions from cash		
Other subtractions	-\$764.72	-\$764.72
Total Subtractions from cash	-\$764.72	-\$764.72
Total cash and money market funds on Aug 31	\$196,006.86	

Money Market Summary

Total money market funds on June 30	\$0.00
Additions to money market	\$196,284.52
Subtractions from money market	-\$384.22
Total money market funds on Aug 31	\$195,900.30

GJ 04055
Confidential Treatment
Requested by K & L



Account Statement for KENNETH L LAY &
LINDA P LAY
Account No. ██████████-4330

August 2001

Portfolio Assets

Cash and money market funds

Description	Current market value	Est. ann. income	Est. current yield(%)
Cash	\$106.56		
EVERGREEN CRT MONEY MARKET PORTFOLIO Interest Period 07/15/01 - 08/15/01	\$195,900.30	\$5,622.33	2.87
Total cash and money market funds	\$196,006.86		

Stocks and options

Stocks	Description	Symbol	# of shares	Current share price	Current market value	Est. ann. income	Est. ann. yield (%)
	AMERICAN INTL GROUP INC	AIG	450	\$78.2000	\$35,190.00	\$75.60	0.21
	ANADARKO PETROLEUM CORP	APC	250	\$51.7500	\$12,937.50	\$50.00	0.38
	AOL TIME WARNER INC	AOL	700	\$37.3500	\$26,145.00	N/A	N/A
	AVOCENT CORPORATION	AVCT	400	\$20.5100	\$8,204.00	N/A	N/A
	CARDINAL HEALTH INC	CAH	195	\$72.9400	\$14,223.30	\$19.50	0.13
	CELESTICA INC SUBV'TG SHS	CLS	250	\$36.4000	\$9,100.00	N/A	N/A
	CHARTER COMMUNICATIONS INC	CHTR	500	\$20.2000	\$10,100.00	N/A	N/A
	CITIGROUP INC	C	366	\$45.8000	\$16,762.80	\$234.24	1.39
	COMCAST CORP CL A SPL	CMSK	1,300	\$36.6300	\$47,619.00	N/A	N/A
	COX COMMUNICATION INC CL A NEW	COX	600	\$39.7600	\$23,856.00	N/A	N/A
	E M C CORP MASS	EMC	300	\$15.4600	\$4,638.00	N/A	N/A
	EL PASO CORPORATION	EPG	350	\$48.5900	\$17,006.50	\$297.50	1.74

000.08 043483 244235536244 YNNNN NNNNN NNNNNNN 000003

GJ 04056
Confidential Treatment
Requested by K & L

Account Statement for KENNETH L LAY &

LINDA P LAY

Account No. [REDACTED]-4330

August 2001

Stocks and options

Description	Symbol	# of shares	Current share price	Current market value	Est. ann. income	Est. ann. yield (%)
FANNIE MAE ASSN	FNM	200	\$76.2100	\$15,242.00	\$240.00	1.57
FLEXTRONICS INTL	FLEX	350	\$21.9400	\$7,679.00	N/A	N/A
GENERAL ELECTRIC COMP	GE	600	\$40.9800	\$24,588.00	\$384.00	1.56
HILTON HOTELS CORP COM	HLT	1,000	\$12.7100	\$12,710.00	\$80.00	0.62
INGERSOLL-RAND COMPANY	IR	175	\$40.5700	\$7,099.75	\$119.00	1.67
J.P. MORGAN & CHASE CO	JPM	600	\$39.4000	\$23,640.00	\$816.00	3.45
JOHNSON & JOHNSON	JNJ	300	\$52.7100	\$15,813.00	\$216.00	1.36
KINDER MORGAN ENERGY PARTNERS LP UNIT LTD PARTNERSHIP INT	KMP	300	\$73.1600	\$21,948.00	\$1,260.00	5.74
MARSH AND MC LENNAN COMPANIES INC	MMC	100	\$92.9000	\$9,290.00	\$212.00	2.28
NEXTEL COMMUNICATIONS INC CLASS A	NXTL	2,300	\$12.0800	\$27,784.00	N/A	N/A
PARKER-HANNIFIN CORP	PH	200	\$44.0000	\$8,800.00	\$144.00	1.63
PFIZER INCORPORATED	PFE	375	\$38.3100	\$14,366.25	\$165.00	1.14
SKECHERS USA INC	SKX	250	\$21.0000	\$5,250.00	N/A	N/A
TEVA PHARMACEUTICAL ADR INDS LTD	TEVA	225	\$71.1000	\$15,997.50	\$42.07	0.26
TYCO INTL LTD NEW	TYC	950	\$51.9500	\$49,352.50	\$47.50	0.09
VION PHARMACEUTICALS	VION	1,000	\$5.0000	\$5,000.00	N/A	N/A
WASHINGTON MUT INC	WM	250	\$37.4400	\$9,360.00	\$230.00	2.45
Total Stocks				\$499,702.10	\$4,632.41	0.93
Total Stocks and options				\$499,702.10	\$4,632.41	0.93



GJ 04057
Confidential Treatment
Requested by K & L

Account Statement for KENNETH L LAY &
 LINDA P LAY
 Account No. [REDACTED] 4330

August 2001

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
08/10	Cash	ASSET TRF		TRANSFER CASH BALANCE		\$135,682.35
08/10	Cash	ASSET TRF	225.00000	TEVA PHARMACEUTICAL ADR INDS LTD		\$0.00
08/10	Cash	ASSET TRF	250.00000	WASHINGTON MUT INC		\$0.00
08/10	Margin	ASSET TRF	700.00000	AOL TIME WARNER INC		\$0.00
08/10	Margin	ASSET TRF	450.00000	AMERICAN INTL GROUP INC		\$0.00
08/10	Margin	ASSET TRF	250.00000	ANADARKO PETROLEUM CORP		\$0.00
08/10	Margin	ASSET TRF	400.00000	AVOCENT CORPORATION		\$0.00
08/10	Margin	ASSET TRF	195.00000	CARDINAL HEALTH INC		\$0.00
08/10	Margin	ASSET TRF	250.00000	CELESTICA INC SUB VTG SHS		\$0.00
08/10	Margin	ASSET TRF	500.00000	CHARTER COMMUNICATIONS INC		\$0.00
08/10	Margin	ASSET TRF	666.00000	CITIGROUP INC		\$0.00
08/10	Margin	ASSET TRF	1,300.00000	COMCAST CORP CL A SPL		\$0.00
08/10	Margin	ASSET TRF	600.00000	COX COMMUNICATION INC CL A NEW		\$0.00
08/10	Margin	ASSET TRF	300.00000	E M C CORP MASS		\$0.00
08/10	Margin	ASSET TRF	350.00000	EL PASO CORPORATION		\$0.00

Account Statement for KENNETH L LAY &
LINDA P LAY

Account No. [REDACTED] 4330

August 2001

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
08/10	Margin	ASSET TRF	200.00000	FANNIE MAE ASSN		\$0.00
08/10	Margin	ASSET TRF	350.00000	FLEXTRONICS INTL		\$0.00
08/10	Margin	ASSET TRF	600.00000	GENERAL ELECTRIC COMP		\$0.00
08/10	Margin	ASSET TRF	1,000.00000	HILTON HOTELS CORP COM		\$0.00
08/10	Margin	ASSET TRF	175.00000	INGERSOLL-RAND COMPANY		\$0.00
08/10	Margin	ASSET TRF	300.00000	JOHNSON & JOHNSON		\$0.00
08/10	Margin	ASSET TRF	250.00000	KINDER MORGAN INC		\$0.00
08/10	Margin	ASSET TRF	300.00000	KINDER MORGAN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT		\$0.00
08/10	Margin	ASSET TRF	100.00000	MARSH AND MC LENINAN COMPANIES INC		\$0.00
08/10	Margin	ASSET TRF	600.00000	J.P. MORGAN & CHASE CO		\$0.00
08/10	Margin	ASSET TRF	250.00000	MORGAN STANLEY DEAN WITTER & CO		\$0.00
08/10	Margin	ASSET TRF	2,300.00000	NEXTEL COMMUNICATIONS INC CLASS A		\$0.00
08/10	Margin	ASSET TRF	1,000.00000	NOKIA CORP SPONSORED ADR		\$0.00
08/10	Margin	ASSET TRF	200.00000	PARKER-HANNIFIN CORP		\$0.00



GJ 04059
Confidential Treatment
Requested by K & L

Account Statement for KENNETH L LAY &
LINDA P LAY
Account No. [REDACTED]-4330

August 2001

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
08/10	Margin	ASSET TRF	375.00000	PFIZER INCORPORATED		\$0.00
08/10	Margin	ASSET TRF	250.00000	SKECHERS USA INC		\$0.00
08/10	Margin	ASSET TRF	950.00000	TYCO INTL LTD NEW		\$0.00
08/10	Margin	ASSET TRF	1,000.00000	VION PHARMACEUTICALS		\$0.00
08/13	Cash	TRANSFER TO		EVERGREEN CRT MONEY MARKET PORTFOLIO	1.0000	-\$135,682.35
08/15	Cash	DIVIDEND		EVERGREEN CRT MONEY MARKET PORTFOLIO 081501 135,882		\$31.32
08/15	Cash	REINVEST DIV		EVERGREEN CRT MONEY MARKET PORTFOLIO		-\$31.32
08/16	Cash	ASSET TRF		TRANSFER CASH BALANCE		\$235.38
08/21	Margin	ASSET TRF		TRANSFER CASH BALANCE		\$380.50
08/21	Cash	TRANSFER TO		EVERGREEN CRT MONEY MARKET PORTFOLIO	1.0000	-\$235.38
08/24	Margin	SALE	- 300.00000	CITIGROUP INC	48.3500	\$14,504.51
08/24	Margin	SALE	- 250.00000	KINDERMORGAN INC	55.7400	\$13,934.53
08/24	Margin	SALE	- 250.00000	MORGAN STANLEY DEAN WITTER & CO	55.8700	\$13,967.03
08/24	Margin	SALE	- 1,000.00000	NOKIA CORP SPONSORED ADR	17.9300	\$17,929.40

000 06 043483 24423538244 YNNNN NNNNN NNNNNNN 000005

GJ 04060
Confidential Treatment
Requested by K & L

Account Statement for KENNETH L LAY &

LINDA P LAY

Account No [REDACTED]-4330

August 2001

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount
08/27	Cash	INV CONS FEE	.	NETWORK FEE		-\$764.72
08/28	Cash	TRANSFER FROM		EVERGREEN CRT MONEY MARKET PORTFOLIO	1.0000	\$384.22
08/29	Margin	ASSET TRF		TRANSFER CASH BALANCE		\$108.56
08/29	Cash	TRANSFER TO		EVERGREEN CRT MONEY MARKET PORTFOLIO	1.0000	-\$80,335.47

Thank you for allowing First Union Securities Incorporated to serve you. If you have any questions regarding your account or this statement, please contact your Financial Advisor.



GJ 04061
Confidential Treatment
Requested by K & L