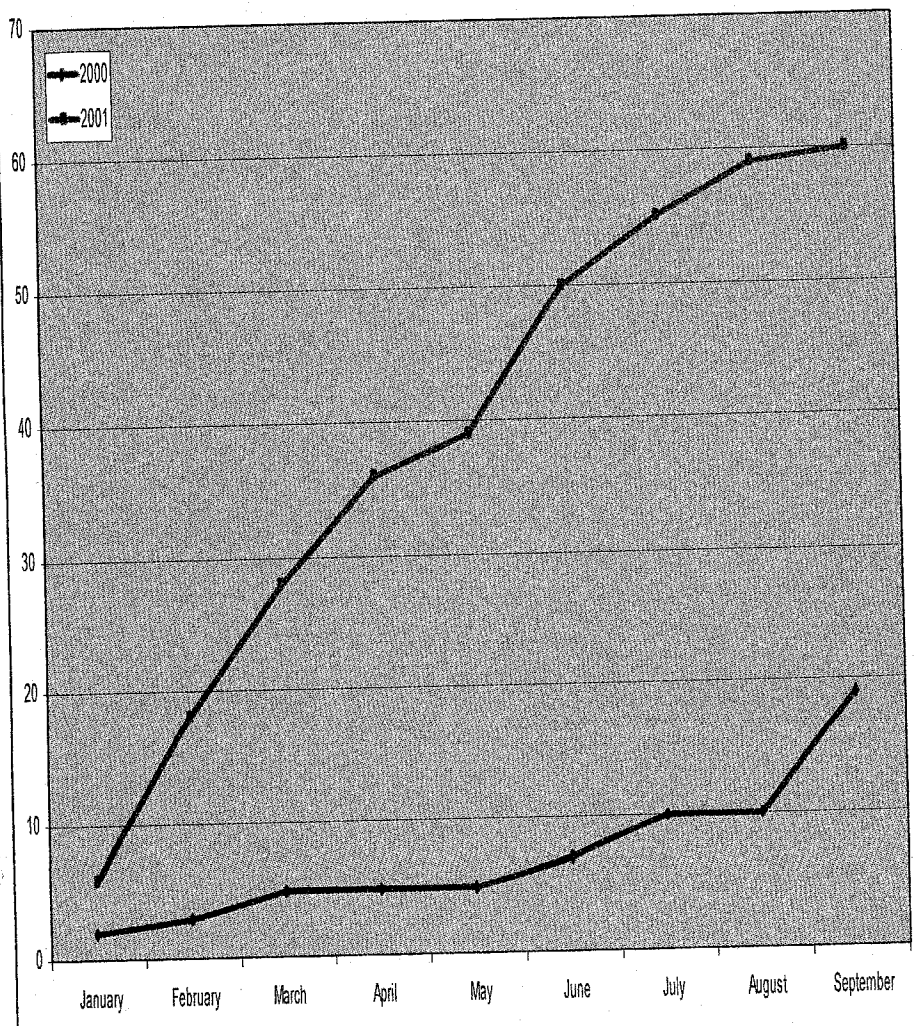
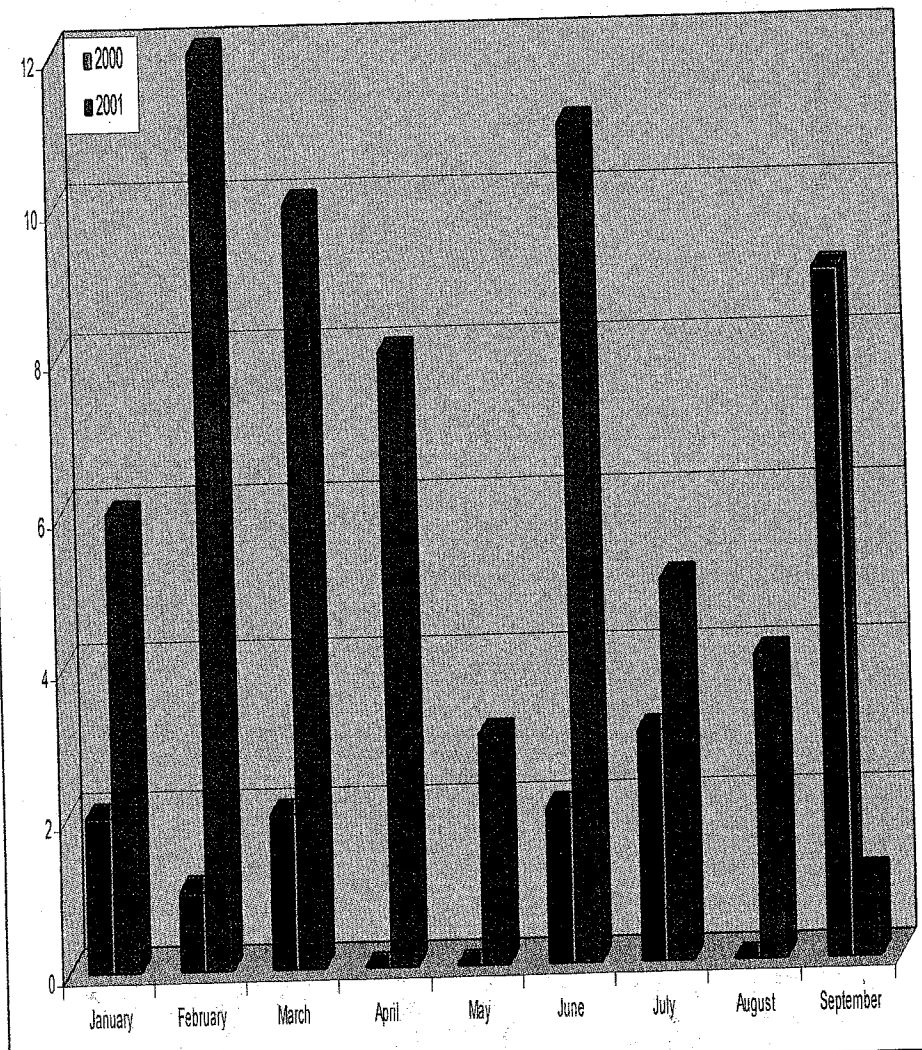


Yearly Comparison

Cumulative Reported Incidents

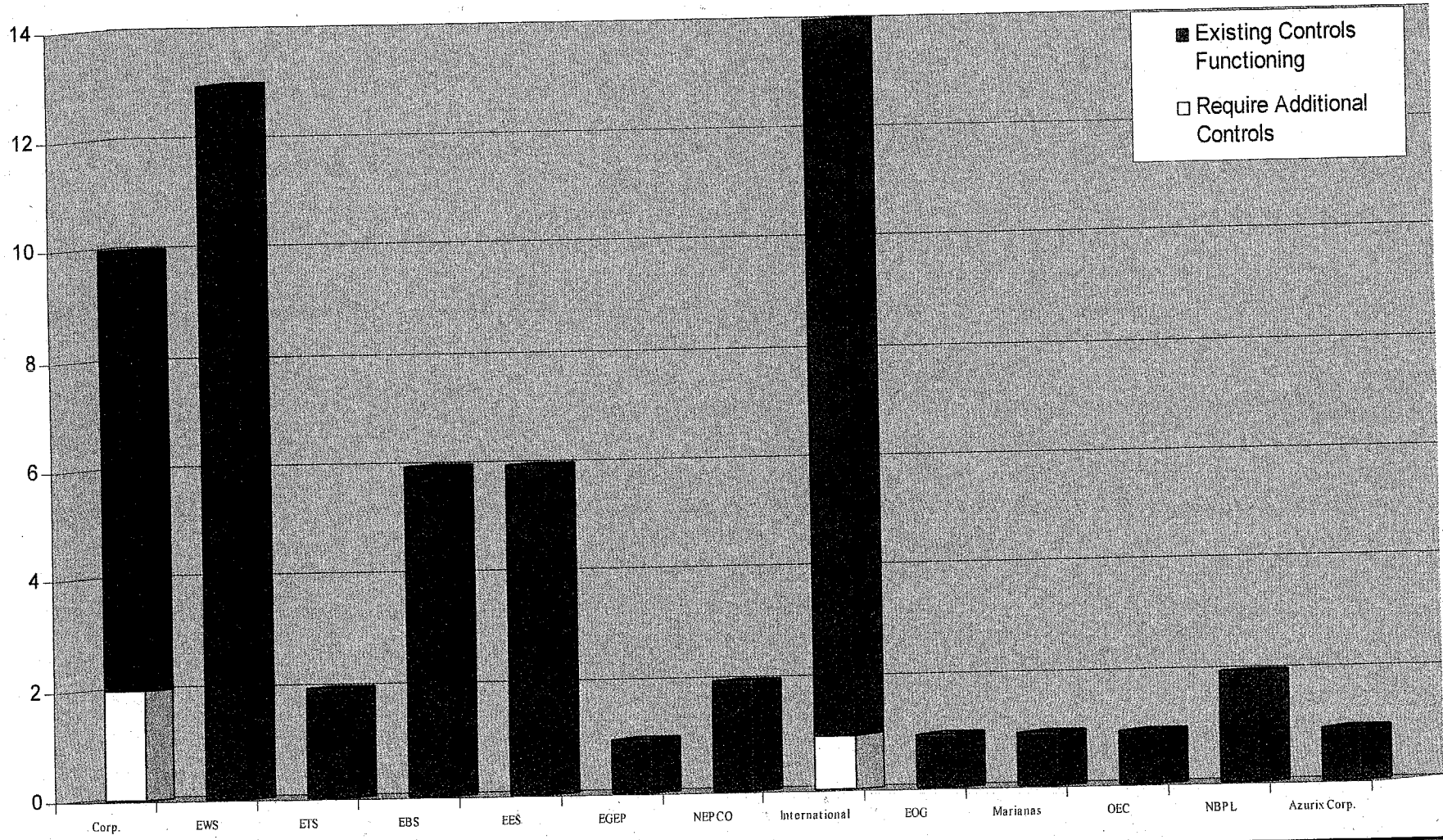


Reported Incidents By Month





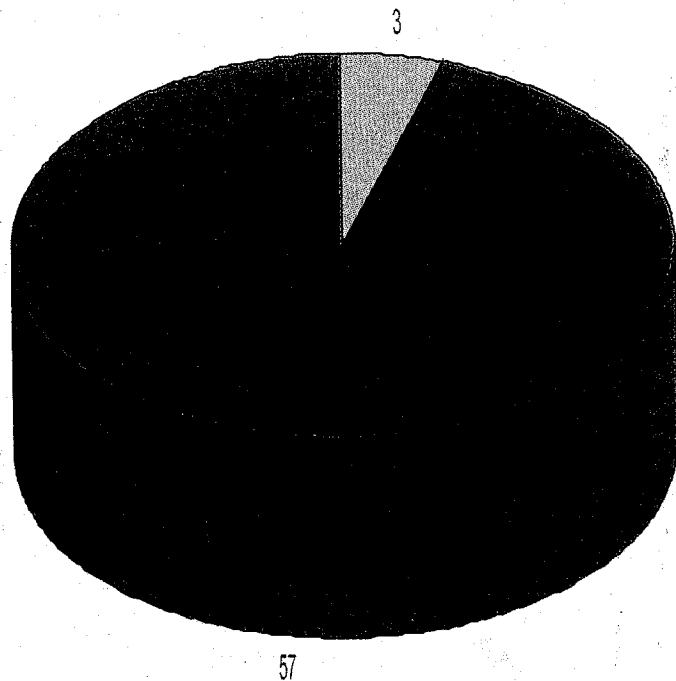
Reported Incidents By Business Unit





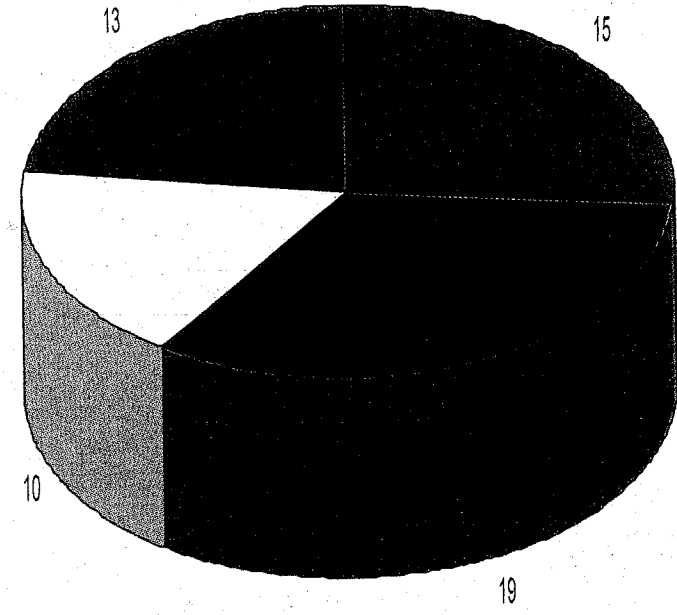
Adequacy of Existing Controls

Incidents Requiring Additional Controls



- Require Additional Controls
- Existing Controls Functioning

Reasons Additional Controls Unnecessary

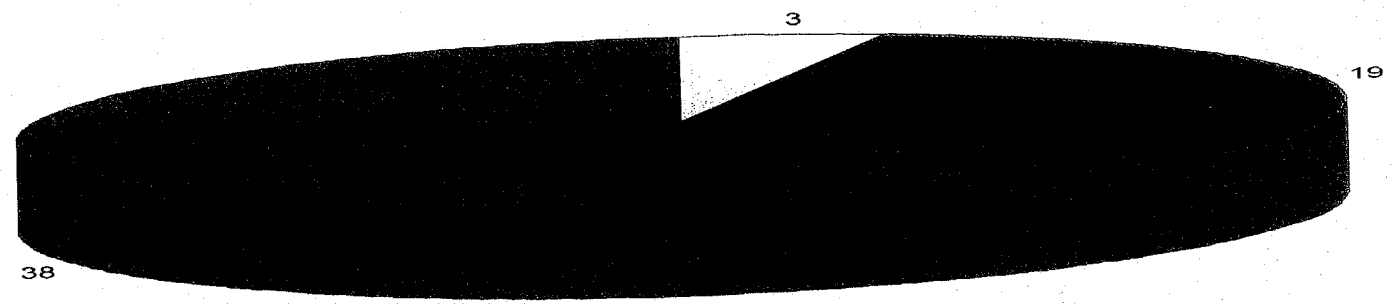


- Existing Control Functioning
- Not Applicable to Internal Control
- Unfounded Allegation
- TBD



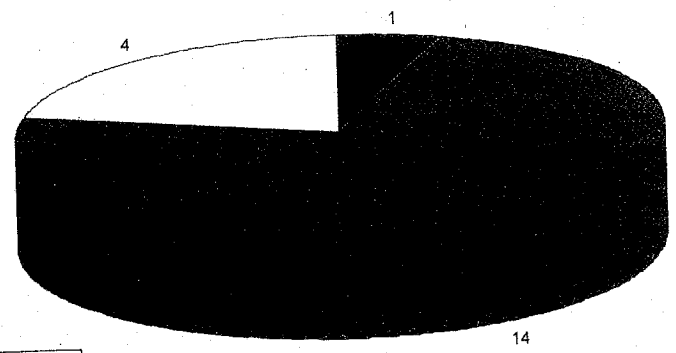
Classification of Reported Incident

Classification of Reported Incident



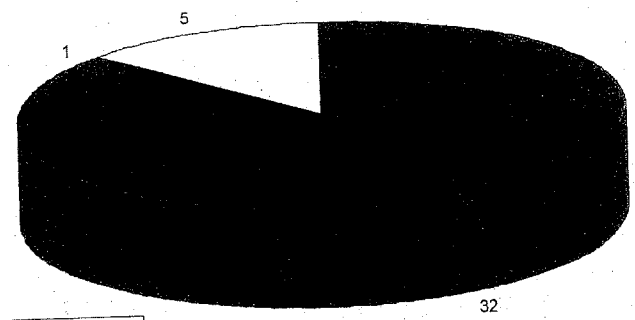
- Pending
- Alleged Criminal
- Alleged Non-Criminal

Alleged Criminal Classification



- Personal Threat
- Fraud
- Harassment

Alleged Non-Criminal Classification

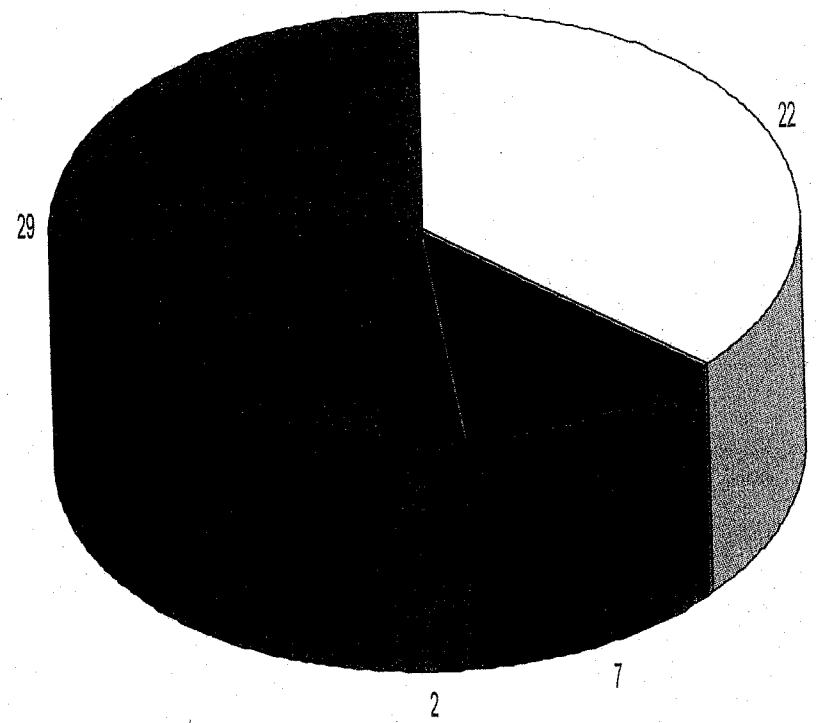


- Policy Violation
- Background Investigation
- Unfounded Allegation



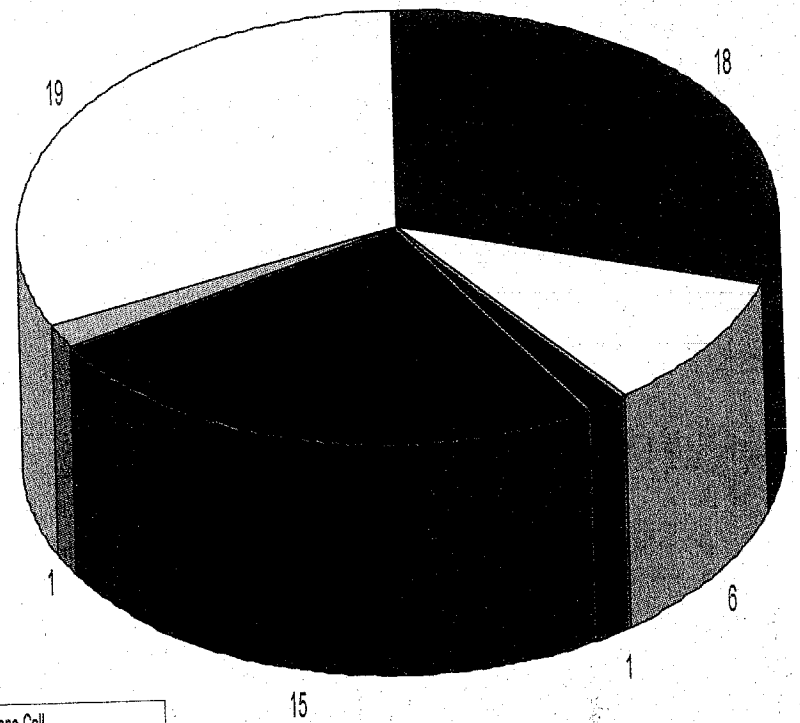
Incident Status and Method Reported

Status of Reported Incidents



- Pending
- Employee Terminated
- Employee Counseled
- Evaluated and Closed

Method Incident Was Reported



- Phone Call
- By Business Unit/Department
- Local Authorities
- Letter
- Orientation
- Email

LOG OF INCIDENTS

(September 28, 2001)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
Feb 23, 2001	Letter to Jeff Skilling	Corp.	Jim Derrick	Allegations of age discrimination during interview process.		Policy Violation and ADEA	Corp. Legal and Vinson & Elkins	Feb 28, 2001		Pending	Yes	No ¹
March 1, 2001	Enron Treasury	Corp.	Enron Treasury	Fraudulent check payable to Bank of America/Aimco Engineering and Consultant (\$85,000.00).	Fraud		Risk Assurance & Corp. Security	March 1, 2001		Pending	Yes	Yes - AP Vendor Set-up Procedures Improved.
March 7, 2001	Enron Treasury	Corp.	Enron Treasury	Fraudulent check to Fidelity Data Systems Forgery (\$33,977.00).	Fraud		Risk Assurance & Corp. Security	March 1, 2001		Pending	Yes	Yes - iPayIt Electronic Approval Required.
March 9, 2001	Telephone call	Corp.	Steve Kean	FBI investigating background of former Associate/Analyst for position with Bush Administration.		Other	Steve Kean & Corp. Legal	March 9, 2001	March 9, 2001	Information provided to FBI.	Yes	No ¹
March 14, 2001	Anonymous call to Office of Chairman	Corp.	Corp. Legal	Environmental Inquiry	Not ENE	Not ENE	Corp. Legal	March 14, 2001	March 31, 2001	Matter evaluated. No response from person making call.	Yes	No ³

Additional Controls are financial, operational, or compliance based controls. In the event, no additional controls are necessary, please see footnotes below for further explanation.

1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397477

Log of Incidents Privileged & Confidential Information

September 28, 2001

(Cont'd)

April 26, 2001	Treasury	Corp. Treasury	Corp. Security	Check Fraud	Fraud		Corp. Security	April 26, 2001		Pending	Yes	No ¹
June 1, 2001	Phone call and letter to Office of Chairman	Corp.	Corp. Legal	Allegations that Enron Supervisor accepted gifts in exchange for awarding contracts to Third Party Vendor.		Policy Violation	Corp. Legal	June 8, 2001	August 15, 2001	Matter investigated. Allegations unsubstantiated.	Yes	No ³
July 30, 2001	Email	Corp.	Corp. Legal	Letter requesting use of private bank account to help transfer \$20 million out of South Africa.	Fraud		Corp. Security	July 30, 2001	August 1, 2001	Message sent to all employees regarding scheme	Yes	No ¹
August 15, 2001	Memo to Office of Chairman	Corp.	Corp. Legal	Employee expressed concerns regarding accounting practices of Raptor & Condor transactions.			Corp. Legal & Outside Counsel	August 15, 2001		Pending	Yes	To be determined.
August 30, 2001	Letter to Jeff Skilling	Corp.	Corp. Legal	Allegations that benefits provided to Employee by Cigna have been disallowed in violation of Enron Corp. Medical Plan			Corp. Legal and Benefits	Sept 3, 2001		Pending	Yes	To be determined.

5829sab

Additional Controls are financial, operational, or compliance based controls. In the event, no additional controls are necessary, please see footnotes below for further explanation.

1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397478

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
March 30, 2001	Letter to John Garrison	Azurix Corp.	Azurix Legal	Allegations of impropriety in the Ontario sludge business.		Policy Violation	Azurix Chief Financial Officer	March 30, 2001	August 31, 2001	Matter evaluated by outside auditors. It was determined that while there were some small entertainment expenses which exceeded policy, no fraud involved.	Yes	Not

5829sab

Additional Controls are financial, operational, or compliance based controls. In the event, no additional controls are necessary, please see footnotes below for further explanation.

1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397479

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
Dec 31, 2000	EBS HR	EBS	Enron Corp. Legal	Employee stored guns on EBS premises in Colorado.		Policy Violation	Enron Corp. Legal & EBS HR	Dec 1, 2000	Dec 31, 2000	Employee terminated.	Yes	No ²
Jan 9, 2001	Corp. Security	EBS	Corp. Security	EBS VP threatened by voicemail.	Personal Threats		Corp Security	Jan 9, 2001	Jan 15, 2001		No	No ²
Feb 1, 2001	EBS & Enron Corp. Legal	EBS	EBS Legal	Two former EBS Employees allegedly tried to hire several other EBS Employees and take EBS trade secrets to a competitor.	Fraud	Policy Violation	EBS Legal	Feb 1, 2001	Feb 6, 2001	Matter investigated. Warnings given to current employees.	Yes	No ²
Feb 5, 2001	New Hire Orientation	EBS	Enron Corp. Legal	A new employee owns 52% interest in Int'l Telecom Co. This ownership may be conflict with EBS policy.		Policy Violation	EBS Legal	Feb 5, 2001		Pending	Yes	No ²
Feb 6, 2001	Anonymous Letter to Jim Derrick	EBS	Jim Derrick	Written complaint regarding EBS investigator's behavior during interviews of EBS employees.		Policy Violation	EBS Legal & Enron Corp. Legal	Feb 6, 2001	Feb 10, 2001	Matter investigated. Determination made that employee acted appropriately.	Yes	No ²

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397480

Log of Incidents Privileged & Confidential Information
September 28, 2001

(Cont'd)

February 11, 2001	Letter to Office of Chairman	EBS	Corp. Legal	Employee raised concerns about PRC; wanted his PRC rating changed.		Policy Violation	EBS	February 15, 2001	March 5, 2001	Matter evaluated. Management and HR determined that the PRC rating was correct.	Yes	No ¹
June 4, 2001	Email to Office of Chairman	EBS	Corp. Legal	Employee complained about ethnicity question on HR Questionnaire	Harassment		EBS	June 4, 2001	June 30, 2001	Employee advised that question was required by EEOC.	Yes	No ²

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397481

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
March 8, 2001	Harris County Constable	EES	Corp. Legal & Corp. IT	Harris County Constable investigating email sent from Enron to Galena Park High School Teacher in possible violation of Texas Hate Crime Statute.	Harassment		EES IT	March 8, 2001	March 31, 2001	Matter investigated. Email traced to employee of contractor and his use of Enron's systems. Information reported to contractor and Harris County Authorities.	Yes	No ²
March 10, 2001	Phone call to Corp. Security	EES	Corp. Security	Someone at EES poured Mercury on a supervisor's desk.	Harassment		Corp. Security	March 10, 2001	March 15, 2001	Matter investigated.	Yes	No ²
April 3, 2001	Anonymous email to Office of Chairman	EES	Corp. and EES Legal and EES Office of the Chairman	Allegation of insider trading by EES employees in violation of Enron Policy.		Policy Violation	EES Legal	April 3, 2001	April 4, 2001	Matter investigated. Allegation unsubstantiated. Appropriate warnings given to employees.	Yes	No ³
April 4, 2001	Phone call by Employee	EES	Pete van de Gohm	Employee was solicited by a Broker who alleged Enron sold him Employee data.		Policy Violation	EES IT	April 4, 2001	April 30, 2001	Unfounded allegation.	Yes	No ³

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397482

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

April 19, 2001	Office of Chairman	EES	Corp. Legal	Customer of HL&P and Reliant Energy requesting relief relating to his electricity bill.	N/A	N/A	Corp. Legal	April 24, 2001	May 11, 2001	Customer advised to contact Customer Service of HL&P and Reliant. No connection to Mr. Lay.	Yes	No ³
June 1, 2001	Anonymous letter to Office of Chairman	EES	Corp.	Letter regarding unfair treatment of an Enron Employee.		Policy Violation	Corp. Legal	June 1, 2001		Pending	Yes	No ²

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397483

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
Feb 7, 2001	Telephone call	EGEP	EGEP HR & Legal	EGEP Employee working with Consultant in violation of Conflict of Interest Policy to sell EGEP assets.		Policy Violation	EGEP Legal & HR	Feb 7, 2001	Feb 15, 2001	Employee terminated.	Yes	No ²

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397484

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
Feb 1, 2001	Phone call to Accounts Payable	ETS	Accounts Payable	Allegations of expense account fraud by Supervisor and possibly one of her employees.	Fraud		Corp Legal	Feb 1, 2001	Feb 28, 2001	Matter investigated. Supervisor and employee terminated.	Yes	No ^{1 & 2}
Feb 7, 2001	Anonymous call to Office of Chairman	ETS	Corp. Legal	Anonymous phone call regarding environmental concerns in Louisiana.	Not ENE	Not ENE	Corp. Legal	Feb 7, 2001	Feb 13, 2001	Matter investigated. Enron's Environmental Department determined that the pipeline involved was not an ETS pipeline.	Yes	No ³

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397485

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
4 th Qtr. 2000	Telephone call from BP Amoco to Corp. Security	ENA	Corp. Security	Allegations that ENA VP fraudulently used Amex card and expense account.		Policy Violation	ENA Legal	4 th Qtr. 2000	4 th Qtr. 2000	Management determined the allegations arise as result of issues between ENA employee and employee's ex-spouse.	Yes	No ¹
Jan 1, 2001	Telephone call	ENA	ENA Legal	Allegations of favorable treatment of employee by supervisor due to an affair between supervisor and employee.		Policy Violation	ENA Legal	Dec, 2000	Jan 2, 2001	Employee terminated.	Yes	No ²

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397486

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
Jan 9, 2001	Telephone call	EWS	ENA Legal	Allegation of interference with Confidentiality Agreement of potential new hire by two Enron Metals employees.		Policy Violation	Enron Europe Legal	Jan 9, 2001	Jan 31, 2001	Individual was not hired.	Yes	No ¹
Feb 1, 2001	Telephone call	EWS	ENA Legal	Anonymous report from ENA Sr. Management alleging contractor using Enron's communication systems in violation of Enron Policy.		Policy Violation	ENA Legal	Feb 1, 2001	Feb 1, 2001	Matter investigated. Findings unsubstantiated.	Yes	No ²
Feb 2, 2001	ENA Legal	EWS	ENA Legal	Allegations of violation of Enron insider trading policy by two ENA employees.		Policy Violation	ENA legal	Feb 2, 2001	Feb 15, 2001	Employees terminated.	Yes	No ²
Feb 5, 2001	Telephone call	EWS	ENA Legal & Corporate Security	FBI arrested ENA Employee for his participation in an alleged money-laundering scheme. FBI also confiscated Enron communications equipment.	Fraud		ENA Legal & Enron Corp. Security	Feb 5, 2001	Feb 2001	Pending	Yes	No ²

5829sab

Additional Controls are financial, operational, or compliance based controls. In the event, no additional controls are necessary, please see footnotes below for further explanation.

1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397487

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

Feb 9, 2001	Anonymous phone call	ENA	ENA Legal & Enron Corp. Security	Anonymous phone call alleging an ENA Employee had a gun in the Enron Building.		Policy Violation	ENA Legal & Corp. Security	Feb 9, 2001	Feb 10, 2001	Matter investigated. No weapon found.	Yes	No ³
March 11, 2001	Email to Office of Chairman	EWS	Corp. Legal	Former Employee requested payment under a consulting agreement which was never consummated.	N/A	N/A	Corp. Legal	March 11, 2001	May 1, 2001	No payments made since parties never reached agreement.	Yes	No ²
April 4, 2001	Memo-randum	EWS	EWS Legal	Allegations of wrongdoing on the Trakya Project in Turkey and violation of FCPA.	Fraud		EWS Legal & Outside Counsel	April 4, 2001	June 4, 2001	Allegations unsubstantiated. No charges filed against Enron.	Yes	No ^{1 & 3}
April 18, 2001	Email to Office of Chairman	Corp.	EWS Legal	Salesman marketing a Financial Luncheon Newsletter to Enron Employees.		Policy Violation	EWS Legal & IT	April 18, 2001	April 30, 2001	Letter sent and Email invitations blocked.	Yes	No ¹
May 25, 2001	Notification from Controller's Office in the Republic of Ecuador	EWS	EWS Legal	Investigation of proper procedures relating to contracts for crude oil.	Fraud		EWS Legal	May 29, 2001	June 10, 2001	Matter reviewed.	Yes	No ¹
June 30, 2001	Email	EWS - Cuiaba	EWS Legal	Allegations of ECPA violations.	Fraud		EWS Legal	June 1, 2001	June 30, 2001	Allegations unsubstantiated.	Yes	No ¹

5829sab

Additional Controls are financial, operational, or compliance based controls. In the event, no additional controls are necessary, please see footnotes below for further explanation.

1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397488

Log of Incidents Privileged & Confidential Information

September 28, 2001

(Cont'd)

July 30, 2001	Email message to HR	EWS	EWS Legal	A publication "Enron 2001: An Inside View" appears to have been written by two employees in violation of Code of Ethics.		Policy violations		July 30, 2001	Pending	Matter under review.	Yes	To be determined.
Sept 13, 2001	Email	EWS	EWS Legal	Allegation of violation of Enron's Expense Account Requirements relating to cell phone use, overtime, and travel expense.	Fraud		EWS Legal	Sept 13, 2001	Pending	Matter under review.	Yes	To be determined.

5829sab

13

Additional Controls are financial, operational, or compliance based controls. In the event, no additional controls are necessary, please see footnotes below for further explanation.

1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397489

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
Jan 22, 2001	ESA HR	ESA	ESA HR & Corp. IT	Employee made several attempts to access inappropriate sites in violation of Enron Policy.		Policy Violation	ESA HR and IT	Jan 22, 2001	Jan 31, 2001	Oral reprimand of employee.	Yes	No ¹
June 23, 2001	Email	ESA	EWS Legal	Allegations of fraud, bribery and other illicit activities.	Fraud		EWS Legal	June 23, 2001		Pending	Yes	To be determined.

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397490

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
June 1, 2001	Phone call to Jeff Skilling	Enron Brazil - Eletrobolt Project	Corp. Legal	Employee allegations of improprieties and accounting errors and unfair treatment regarding termination of employment.		Policy Violation	Corp. Legal, Outside Counsel & EWS Risk Assurance	June 1, 2001	July 2, 2001	All matters investigated. Tax payments collected and Employee terminated due to his failure to meet performance objectives.	Yes	Yes. Additional accounting procedures implemented and approval limits required for the project.

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397491

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
January 15, 2001	Email to Enron Europe Legal	Enron Europe	Enron Europe Legal	Letter requesting use of private bank account to help transfer \$20 million out of South Africa.	Fraud		EEL HR	January 15, 2001	January 31, 2001	Message sent to all employees regarding scheme.	Yes	No ¹

5829sab

Additional Controls are financial, operational, or compliance based controls. In the event, no additional controls are necessary, please see footnotes below for further explanation.

1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397492

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
July 28, 2001	Letter to Jeff Skilling	EEL - Metals Group	Corp. Legal	Allegations of improper conduct and violation of policy when senior employee was terminated.		Policy Violation	EEL Legal	July 31, 2001	August 26, 2001	Allegations unsubstantiated.	Yes	No ³
August 7, 2001	Phone call to EEL Legal and HR	EEL - Metals Group	EEL Legal	Allegations of breach of Code of Ethics policy regarding Conflict of Interests and Business Ethics.		Policy Violation	EEL Legal		August 15, 2001	Three senior employees terminated.	Yes	No ²

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397493

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
August 1, 2001	Fax to Office of Chairman	Batangas Power Plant	Corp. Legal	Allegations of inappropriate conduct by management.		Policy Violation	EWS Legal	August 3, 2001		Pending	Yes	No ²

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397494

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
May 15, 2001	Anonymous email to Office of Chairman	Enron India	Corp. Legal	Allegations of unprofessional conduct and corruption in New Delhi office and Mumbai office.		Violation of Enron's Values & Code of Ethics	Enron India Legal	May 15, 2001		Pending	Yes	To be determined.
June 3, 2001	Anonymous email	Enron India	Corp. Legal	Allegations of misbehavior. Self-dealing.		Policy Violation	Enron India Legal	June 3, 2001		Pending	Yes	To be determined.
June 14, 2001	Anonymous email to Office of Chairman	Enron India	Corp. Legal	Allegations of violation of Code of Ethics and payroll practices.		Policy Violation	Enron India	June 14, 2001		Pending	Yes	To be determined.
June 14, 2001	Email to Jeff Skilling, Rebecca McDonald, Wade Cline	India Dabhol Power Corp.	Enron India Legal	Allegations of malfunctioning at Dabhol Power Plant.		Policy Violation	Enron India	June 14, 2001		Pending	Yes	To be determined.
June 19, 2001	Anonymous letter to Ken Lay	Enron India	Corp. Legal	Alleged corruption at Dabhol Power Plant.	Fraud	Violation of Enron's Values	Enron India Legal	June 19, 2001		Pending	Yes	To be determined.
July 28, 2001	Email messages	Enron India	Enron India Legal	Allegations of criminal activity relating to quarry leases.	Fraud		Enron India Legal	July 28, 2001		Pending	Yes	To be determined.

5829sab

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1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397495

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
April 4, 2001	Email to Enron Corp. Legal & EWS Legal	Enron Japan	Enron Japan Legal	Unfriendly & sexually explicit postcards sent to Employees critical of Enron's handling of the proposed power plant projects.	Harassment		Enron Japan	April 4, 2001		Matter reported to Japanese authorities.	Yes	No!

5829sab

Additional Controls are financial, operational, or compliance based controls. In the event, no additional controls are necessary, please see footnotes below for further explanation.

1. Existing Control(s) functioning
2. Not Applicable to an Internal Control
3. Unfounded Allegation

EC004397496

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
April 6, 2001	Email to Ken Lay	EOG Resources	Corp. Legal	Landowner of well requested help to resolve issues.	N/A	N/A	Corp. Legal referred to EOG Resources	April 9, 2001	May 11, 2001	Landowner referred to EOG Resources.	Yes	No ²

5829sab

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EC004397497

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

DATE REPORTED	HOW REPORTED	BUSINESS UNIT	INITIAL CONTACT	SUMMARY	ALLEGATIONS		HANDLED BY	DATE ASSIGNED	DATE CLOSED	RESOLUTION	MGMT. BRIEFED	ADDITIONAL CONTROLS NECESSARY
					CRIMINAL	NON-CRIMINAL						
June 11, 2001	Letter to Enron Compliance Officer	Marianas Energy Co.	Enron Corp. Legal	Letter from attorney alleging unfair treatment of Employee.		Policy Violation	Human Resources	June 15, 2001		Pending.	Yes	To be determined.

5829sab

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EC004397498

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

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					Criminal	NON-CRIMINAL						
Jan 5, 2001	Phone call	NEPCO	OEC/NEPCO HR	Employee misuse of petty cash and Company Amex card.		Policy Violation	HR & Corp. Legal	Jan 5, 2001	Jan 15, 2001	Employee terminated.	Yes	No ¹
March 28, 2001	Phone call to HR	OEC	OEC HR	Former girlfriend of OEC Employee alleges telephone harassment by the Employee.		Policy Violation	HR	March 28, 2001	April 30, 2001	Oral reminder to Employee. Employee resigned.	Yes	No ²
May 7, 2001	Memo to HR	NEPCO	HR	Allegations of unethical behavior by VP, Procurement/Contracts.		Policy Violation	HR	May 7, 2001	June 14, 2001	Allegations unsubstantiated.	Yes	No ³

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3. Unfounded Allegation

EC004397499

Log of Incidents Privileged & Confidential Information
 September 28, 2001
 (Cont'd)

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					CRIMINAL	NON-CRIMINAL						
March 26, 2001	Letter to Enron Compliance Officer	Northern Border Pipeline	Corp. Legal	Allegation that Company policy requiring all employees to park Company vehicles at their respective worksites, lacks integrity, causes safety concerns, and unfairly impacts Employees' compensation and benefits.		Policy Violation	Northern Border Pipeline Legal	April 12, 2001	April 30, 2001	Safety and Transportation Rules changed May 15, 2001.	Yes	No ²
July 20, 2001	Email to Office of Chairman	Northern Border Pipeline	Corp. Legal	Allegations of mismanagement at Bear Paw Energy.		Policy Violation	Northern Border Pipeline Legal	July 25, 2001		Pending	Yes	Pending

5829sab

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EC004397500