

LRC:AW

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION

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I N F O R M A T I O N

UNITED STATES OF AMERICA,

-against

DAVID DUNCAN,

Defendant.

Crim. Action
(T.18, U.S.C., §§
1512(b)(2) and 3551
et seq.)

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THE UNITED STATES ATTORNEY CHARGES:

OBSTRUCTION OF JUSTICE

On or about and between October 23, 2001, and November 9, 2001, within the Southern District of Texas and elsewhere, the defendant DAVID DUNCAN, the global managing partner on the Enron engagement team for defendant Arthur Andersen LLP ("Andersen"), did knowingly, intentionally and corruptly persuade and attempt to persuade other persons, to wit: Andersen partners and employees, with intent to cause and induce such persons to (a) withhold records, documents and other objects from an official proceeding, namely: an investigation by the

Securities and Exchange Commission, and (b) alter, destroy, mutilate and conceal objects with intent to and availability for use in such an official proceeding.

(Title 18, United States Code, Sections 1512(b) (2) and 3551 et seq.)

JOSHUA R. HOCHBERG
ACTING UNITED STATES ATTORNEY
SOUTHERN DISTRICT OF TEXAS

LESLIE R. CALDWELL
DIRECTOR, ENRON TASK FORCE

By: /s/ _____
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