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Lindsey_DOJ_024588

LIST OF BIDDING EXCEPTIONS FOR LINDSEY MANUFACTURING COMPANY

No.	Contract Number	Contract Date	Contracting Area	Amount in National Tender	Main Object of the Contract	Type of Procedure	CFE Authorized by	Signature as the Legal Representative of the Company	Nonconformities	
									YES	NO
1	96100100RD4	12/24/1996	Southeast Transmission Regional Management	\$90,500.00	Emergency Structures Spare Parts	Tender Exception for Brands	Eng. Miguel Angel Sol Trujillo	No evidence in file		X
2	00200013DJ4	10/04/2000	East Distribution Division	\$971,187.00	Emergency Structures	Tender Exception for Exclusive Rights (§ 41, Sub sect. I, There is no evidence of the case of exception in the file)	Eng. Ignacio Torres Magaña	There is no evidence in the file; however, Lindsey's representative was Eng. Manuel Gutierrez Ruiz from the company Aisla Tensión		X
3	2-2-00034-PT8	06/28/2002	West Transmission Regional Management	\$11,576,994.00	Emergency Structures Spare Parts	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Eng. Lizardo Corona Guerrero	Steve Lee		X
4	700086567	07/17/2003	East Transmission Regional Management	\$10,909,725.00	Emergency Structures Spare Parts	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Eng. José Mario Esquivel Hernández	Mario Pineda Mora		X
5	700086828	07/25/2003	Central Transmission Regional Management	\$5,964,946.00	Emergency Structures	Tender Exception for Exclusive rights and Brands (Dir. Ad. § 41, Sub sect. I and VIII)	Eng. Ezequiel Huerta Martínez	Mario Pineda Mora		X
6	700087330	08/05/2003	North Transmission Regional Management	\$6,652,810.00	Emergency Structures Spare Parts	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Eng. Pedro Rivera Rodríguez	Mario Pineda Mora		X
7	700088205	08/06/2003	North-west Transmission Regional Management	\$3,634,554.00	Emergency Structures Spare Parts	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Eng. Leonardo A. Berumen García	Mario Pineda Mora		X
8	700090629	09/04/2003	Baja California Transmission Regional Management	\$8,815,462.00	Emergency Structures Spare Parts	Tender Exception for Exclusive rights and Brands (Dir. Ad. § 41, Sub sect. I and VIII)	Eng. Roberto Amaro Pedroza	Mario Pineda Mora		X
9	700089011	09/05/2003	North-east Transmission Regional Management	\$4,910,001.00	Emergency Structures Spare Parts	Tender Exception for Exclusive rights and Brands (Dir. Ad. § 41, Sub sect. I and VIII)	Eng. José Leonardo Ocaña Ramírez	Mario Pineda Mora		X
10	700197867	08/03/2006	Baja California Transmission Regional Management	\$3,285,777.00	Emergency Structures Spare Parts	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Chartered Accountant, Heriberto Corral Romo	Mario Pineda Mora		X

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LIST OF BIDDING EXCEPTIONS FOR LINDSEY MANUFACTURING COMPANY

No.	Contract Number	Contract Date	Contracting Area	Amount in National Tender	Main Object of the Contract	Type of Procedure	CFE Authorized by	Signature as the Legal Representative of the Company	Nonconformities	
									YES	NO
11	700209242	01/11/2007	Peninsular General Construction Supervision	\$3,365,892.00	Emergency Structures	Tender Exception for Exclusive Rights (Dir. Ad. § 41, Sub sect. I)	Eng. Juan Carlos López Barbosa	Mario Pineda Mora		X
12	700201189	01/11/2007	Peninsular General Construction Supervision	\$5,720,645.00	Emergency Structures	International Public Tender	Eng. Juan Carlos López Barbosa	Mario Pineda Mora		X
13	700236623	09/05/2007	West Transmission Regional Management	\$1,540,111.00	Maneuvers Equipment for Emergency Structures	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Eng. Jesús Martínez Villareal	Mario Pineda Mora		X
14	700238137	09/12/2007	Baja California Transmission Regional Management	\$1,313,259.00	Emergency Structures Spare Parts	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Eng. Roberto Amaro Pedroza	Mario Pineda Mora		X
15	700238282	09/17/2007	North-east Transmission Regional Management	\$937,649.00	Maneuvers Equipment for Emergency Structures	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Mr. Jorge Alberto Palafoz Moyer	Mario Pineda Mora		X
16	700238207	09/18/2007	North Transmission Regional Management	\$1,006,465.00	Emergency Structures Spare Parts	Tender Exception for Exclusive rights (Dir. Ad. § 41, Sub sect. I)	Eng. Pedro Rivera Rodríguez	Mario Pineda Mora		X
17	700240002	09/28/2007	Peninsular Transmission Regional Management	\$870,240.00	Emergency Structures Spare Parts	Tender Exception for Exclusive Rights (Dir. Ad. § 41, Sub sect. I)	Eng. Rogelio Aragón Millanés	Mario Pineda Mora		X
18	700240396	10/02/2007	North-east Transmission Regional Management	\$938,298.00	Emergency Structures Spare Parts	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Eng. José Leonardo Ocaña Ramirez	Mario Pineda Mora		X
19	700250879	12/14/2007	South-east Transmission Regional Management	\$920,005.00	Maneuvers Equipment for Emergency Structures	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Mr. Rafael Estrada Valdez	Mario Pineda Mora		X
20	700274383	09/08/2008	Peninsular Transmission Regional Management	\$672,629.00	Emergency Structures Spare Parts	Tender Exception for Exclusive Rights (Dir. Ad. § 41, Sub sect. I)	Eng. Rogelio Aragón Millanés	Mario Pineda Mora		X
21	700308683	09/10/2009	Baja California Transmission Regional Management	\$534,157.00	Emergency Structures Spare Parts	Tender Exception for Brands (Dir. Ad. § 41, Sub sect. VIII)	Chartered Accountant, Heriberto Corral Romo	Sergio H. Cortez		X

TOTAL \$74,631,296.00

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LIST OF CONTRACTS AWARDED THROUGH BIDDING PROCESS TO LINDSEY MANUFACTURING COMPANY

No.	Contract Number	Contract Date	Contracting Area	Amount in National Tender	Main Object of the Contract	Type of Procedure	CFE Authorized by	Signature as the Legal Representative of the Company	Nonconformities	
									YES	NO
1	700113303	03/10/2004	North-east Transmission Regional Management	\$2,081,641.00	Emergency Structures Spare Parts	International Public Tender	Eng. José Leonardo Ocaña Ramirez	Enrique Faustino Aguilár Noriega		X
2	700131808	10/20/2004	Peninsular Transmission Regional Management	\$5,490,347.00	Emergency Structures	International Public Tender	Eng. Francisco Santander Velázquez	Enrique Faustino Aguilár Noriega		X
3	700191011	05/22/2006	North Transmission Regional Management	\$4,538,600.00	Emergency Structures Spare Parts	International Public Tender	Eng. Pedro Rivera Rodríguez	Mario Pineda Mora		X
4	700191352	05/23/2006	East Transmission Regional Management	\$3,870,984.00	Emergency Structures	International Public Tender	Chartered Accountant, Gregorio G. Cortés Viveros	Mario Pineda Mora		X
5	700190421	05/24/2006	Peninsular Transmission Regional Management	\$1,804,919.00	Emergency Structures	International Public Tender	Eng. Francisco Santander Velázquez	Mario Pineda Mora		X
6	700195528	07/03/2006	South-east Transmission Regional Management	\$4,931,881.00	Emergency Structures	International Public Tender	Eng. Miguel Angel Sol Trujillo	Mario Pineda Mora		X
7	700195444	07/05/2006	Central Transmission Regional Management	\$4,294,010.00	Emergency Structures	International Public Tender	Eng. Daniel Munguia Jiménez	Mario Pineda Mora		X
8	700197454	07/20/2006	Peninsular Transmission Regional Management	\$116,341,284.00	Emergency Structures	International Public Tender	Eng. Francisco Santander Velázquez	Mario Pineda Mora		X
9	700193790	07/24/2006	North-east Transmission Regional Management	\$5,026,266.00	Emergency Structures Spare Parts	International Public Tender	Eng. José Leonardo Ocaña Ramirez	Mario Pineda Mora	X	inadmissible (1)
TOTAL									\$148,379,932.00	

NOTES:
 1) The non-conformity was submitted by the company Alten, S.A. de C.V., due to its disqualification in the technical evaluation stage. A statement regarding the life span of the emergency towers was requested in the bases and said company submitted a statement for the life span of the "sub-station structures", which were not the pieces of equipment put out to tender; the non-conformity was determined to be inadmissible and untimely by the Internal Control Authority.

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LIST OF CALLS FOR BIDS OF AT LEAST THREE INDIVIDUALS OR ENTITIES FROM LINDSEY MANUFACTURING COMPANY

No.	Contract Number	Contract Date	Contracting Area	Amount in National Tender	Main Object of the Contract	Type of Procedure	CFE Authorized by	Signature as the Legal Representative of the Company	Nonconformities		
									YES	NO	
1	700237230	08/29/2007	East Transmission Regional Management	\$1,174,892.00	Structure building system	Invitation for at least three people (per amount)	Chartered Accountant, Gregorio G. Cortes Viveros	Mario Pineda Mora		X	
2	700238918	09/21/2007	Central Transmission Regional Management	\$949,947.00	Maneuvers Equipment	Invitation for at least three people (per amount)	Eng. Daniel Munguia Jiménez	Mario Pineda Mora		X	
TOTAL											\$2,124,839.00

Lindsey_DOJ_024592

LIST OF CONTRACTS DIRECTLY AWARDED TO LINDSEY MANUFACTURING COMPANY PER AMOUNTS

No.	Contract Number	Contract Date	Contracting Area	Amount in National Tender	Main Object of the Contract	Type of Procedure	CFE Authorized by	Signature as the Legal Representative of the Company	Nonconformities	
									YES	NO
1	800311925	06/11/2007	Peninsular General Construction Supervision	\$358,546.00	Training Course	Direct Award per Amount	Eng. Juan Carlos López Barbosa	Mario Pineda Mora		X
2	700239186	09/28/2007	North Transmission Regional Management	\$153,176.00	Emergency Structures Spare Parts	Direct Award per Amount	Eng. Pedro Rivera Rodríguez	Mario Pineda Mora		X
3	700263461	05/30/2008	North Transmission Regional Management	\$156,805.00	Emergency Structures Spare Parts	Direct Award per Amount	Eng. Pedro Rivera Rodríguez	Mario Pineda Mora		X
TOTAL				\$668,527.00						

Declaration and Certification of Accuracy of Translation

I, Juan Carlos Morales do hereby declare that:

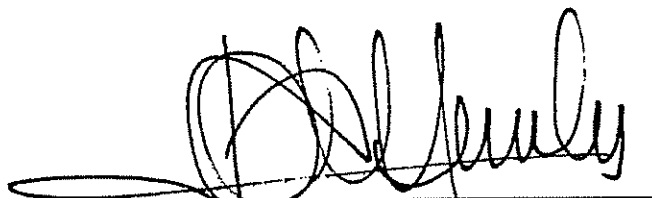
I am an official court interpreter of English and Spanish certified by the Administrative Office of the U.S. Courts and the Judicial Council of the State of California.

I certify that the attached translation from Spanish into English is true and correct to the best of my ability and belief.

Description of Document:

Documents identified as Lindsey_DOJ_024588 through Lindsey_DOJ_024649, consisting of 62 pages.

I so declare under penalty of perjury under the laws of the United States of America, pursuant to 28 U.S.C. 1746 on this 12th day of April, 2011 at Glendale, CA.

A handwritten signature in black ink, appearing to read 'Juan Carlos Morales', written over a horizontal line.

Juan Carlos Morales
State and Federally Certified
Court Interpreter

RELACION DE EXCEPCIONES DE LICITACION A LA EMPRESA LINDSEY MANUFACTURING COMPANY

No.	Número de Contrato	Fecha del Contrato	Área Contratante	Monto en Moneda Nacional	Objeto Principal del Contrato	Tipo de Procedimiento	Por CFE autorizó	Firma como Representante Legal de la Empresa	Inconformidades	
									SI	NO
1	96100100RD4	12/24/1996	Gcia.Regional Transmisión Sureste	\$90,500.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Marca	Ing. Miguel Ángel Sol Trujillo	No hay evidencia en el expediente		X
2	00200013DJ4	10/04/2000	División Distribución Oriente	\$971,187.00	Estructuras de Emergencia	Excepción de Licitación Por Derechos Exclusivos (Art. 41, Frac. I, No hay evidencia en el expediente del caso de excepción)	Ing. Ignacio Torres Magaña	No hay evidencia en el expediente, sin embargo el representante de Lindsey era el Ing. Manuel Gutiérrez Ruiz de la empresa Alela Tensión		X
3	2-2-00034-PT8	08/28/2002	Gcia.Regional Transmisión Occidente	\$11,576,994.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación por Marca (Ad. Dir. Art. 41, Frac. VIII)	Ing. Lizardo Corona Guerrero	Steve Lee		X
4	700086567	07/17/2003	Gcia.Regional Transmisión Oriente	\$10,909,725.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Marca, (Ad. Dir. Art. 41, Frac. VIII)	Ing. José Mario Esquivel Hernández	Mario Pineda Mora		X
5	700088828	07/25/2003	Gcia.Regional Transmisión Central	\$5,984,946.00	Estructuras de Emergencia	Excepción de Licitación Por Derechos exclusivos y Marca (Ad. Dir Art. 41, Fracs. I y VIII)	Ing. Ezequiel Huerta Martínez	Mario Pineda Mora		X
6	700087330	08/05/2003	Gcia. Regional Transmisión Norte	\$6,652,810.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Marca, (Ad. Dir Art. 41, Frac. VIII)	Ing. Pedro Rivera Rodríguez	Mario Pineda Mora		X
7	700086205	08/06/2003	Gcia.Regional Noroeste	\$3,634,554.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Marca (Ad. Dir. Art. 41, Frac. VIII)	Ing. Leonardo A. Barumen García	Mario Pineda Mora		X
8	700090629	09/04/2003	Gcia.Regional Transmisión Baja California	\$8,815,462.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Derechos exclusivos y Marca, (Ad. Dir Art. 41, Fracs. I y VIII)	Ing. Roberto Amaro Pedroza	Mario Pineda Mora		X
9	700089011	09/05/2003	Gcia.Regional Transmisión Noreste	\$4,910,001.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Derechos exclusivos y Marca, (Ad. Dir Art. 41, Fracs. I y VIII)	Ing. José Leonardo Ocaña Ramírez	Mario Pineda Mora		X
10	700197867	08/03/2006	Gcia.Regional Transmisión Baja California	\$3,285,777.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Marca (Ad. Dir Art. 41, Frac. VIII)	CP. Heriberto Corral Romo	Mario Pineda Mora		X

RELACION DE EXCEPCIONES DE LICITACION A LA EMPRESA LINDSEY MANUFACTURING COMPANY

No.	Número de Contrato	Fecha del Contrato	Área Contratante	Monto en Moneda Nacional	Objeto Principal del Contrato	Tipo de Procedimiento	Por CFE autorizó	Firma como Representante Legal de la Empresa	Inconformidades		
									SI	NO	
11	700209242	01/11/2007	Residencia General de Construcción Perinular	\$3,365,892.00	Estructuras de Emergencia	Excepción de Licitación Por Derechos Exclusivos (Ad. Dir. Art. 41, Frac. I)	Ing. Juan Carlos López Barbosa	Mario Pineda Mora		X	
12	700201189	01/11/2007	Residencia General de Construcción Peninsular	\$5,720,645.00	Estructuras de Emergencia	Licitación Pública Internacional	Ing. Juan Carlos López Barbosa	Mario Pineda Mora		x	
13	700236626	09/05/2007	Gcia.Regional Transmisión Occidente	\$1,540,111.00	Equipo de Maniobras para Estructuras de Emergencia	Excepción de Licitación Por Marca (Ad. Dir Art. 41, Frac. VIII)	Ing. Jesús Martínez Villareal	Mario Pineda Mora		X	
14	700238137	09/12/2007	Gcia.Regional Transmisión Baja California	\$1,313,259.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Marca. (Ad. Dir Art. 41, Frac. VIII)	Ing. Roberto Amaro Pedroza	Mario Pineda Mora		X	
15	700238282	09/17/2007	Gcia.Regional Transmisión Noroeste	\$937,649.00	Equipo de Maniobras para Estructuras de Emergencia	Excepción de Licitación Por Marca (Ad. Dir Art. 41, Frac. VIII)	Lic. Jorge Alberto Palafox Moyer	Mario Pineda Mora		X	
16	700238207	09/18/2007	Gcia.Regional Transmisión Norte	\$1,006,465.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Derechos Exclusivos. (Ad. Dir Art. 41, Frac. I)	Ing. Pedro Rivera Rodríguez	Mario Pineda Mora		X	
17	700240002	09/28/2007	Gcia.Regional Transmisión Peninsular	\$870,240.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Derechos Exclusivos (Ad. Dir Art. 41, Frac. I)	Ing. Rogelio Aragón Millánés	Mario Pineda Mora		X	
18	700240386	10/02/2007	Gcia.Regional Transmisión Noroeste	\$938,298.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Marca. (Ad. Dir Art. 41, Frac. VIII)	Ing. José Leonardo Ocaña Ramírez	Mario Pineda Mora		X	
19	700250879	12/14/2007	Gcia.Regional Transmisión Sureste	\$920,005.00	Equipo de Maniobras para Estructuras de Emergencia	Excepción de Licitación Por Marca. (Ad. Dir Art. 41, Frac. VIII)	Lic. Rafael Estrada Valdez	Mario Pineda Mora		X	
20	700274363	09/08/2008	Gcia.Regional Transmisión Peninsular	\$672,619.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Derechos Exclusivos (Ad. Dir Art. 41, Frac. I)	Ing. Rogelio Aragón Millánés	Mario Pineda Mora		X	
21	700308883	09/10/2009	Gcia.Regional Transmisión Baja California	\$534,157.00	Refacciones para Estructuras de Emergencia	Excepción de Licitación Por Marca (Ad. Dir Art. 41, Frac. VIII)	CP. Heriberto Corral Romo	Sergio H. Cortez		X	
TOTAL				\$74,631,286.00							

RELACION DE CONTRATOS ADJUDICADOS POR LICITACION A LA EMPRESA LINDSEY MANUFACTURING COMPANY

No.	Número de Contrato	Fecha del Contrato	Área Contratante	Monto en Moneda Nacional	Objeto Principal del Contrato	Tipo de Procedimiento	Por CFE autorizó	Firma como Representante Legal de la Empresa	Inconformidades	
									SI	NO
1	700113303	03/10/2004	Gcia. Regional Transmisión Noreste	\$2,081,641.00	Relaciones para Estructura de Emergencia	Licitación Pública Internacional	Ing. José Leonardo Ocaña Ramírez	Enrique Faustino Aguilár Noriega		X
2	700131808	10/20/2004	Gcia. Regional Transmisión Peninsular	\$5,490,347.00	Estructuras de Emergencia	Licitación Pública Internacional	Ing. Francisco Santander Velázquez	Enrique Faustino Aguilár Noriega		X
3	700191011	05/22/2006	Gcia. Regional Transmisión Norte	\$4,538,600.00	Relaciones para Estructura de Emergencia	Licitación Pública Internacional	Ing. Pedro Rivera Rodríguez	Mario Pineda Mora		X
4	700191352	05/23/2006	Gcia. Regional Transmisión Oriente	\$3,870,984.00	Estructuras de Emergencia	Licitación Pública Internacional	CP. Gregorio G. Cortés Viveros	Mario Pineda Mora		X
5	700190421	05/24/2006	Gcia. Regional Transmisión Peninsular	\$1,804,919.00	Estructuras de Emergencia	Licitación Pública Internacional	Ing. Francisco Santander Velázquez	Mario Pineda Mora		X
6	700195528	07/03/2006	Gcia. Regional Transmisión. Sureste	\$4,931,881.00	Estructuras de Emergencia	Licitación Pública Internacional	Ing. Miguel Ángel Sol Trujillo	Mario Pineda Mora		X
7	700195444	07/05/2006	Gcia. Regional Transmisión Central	\$4,284,010.00	Estructuras de Emergencia	Licitación Pública Internacional	Ing. Daniel Munguía Jiménez	Mario Pineda Mora		X
8	700197454	07/20/2006	Gcia. Regional Transmisión Peninsular	\$116,341,284.00	Estructuras de Emergencia	Licitación Pública Internacional	Ing. Francisco Santander Velázquez	Mario Pineda Mora		X
9	700183790	07/24/2006	Gcia. Regional Transmisión Noreste	\$5,026,266.00	Relaciones para Estructura de Emergencia	Licitación Pública Internacional	Ing. José Leonardo Ocaña Ramírez	Mario Pineda Mora	X	Improcedente (1)
TOTAL				\$149,379,932.00						

NOTAS:

1) La inconformidad fue presentada por la empresa Alten, S.A. de C.V., por su descalificación en la etapa de evaluación técnica; en las bases se requirió una manifestación de vida útil de las torres de emergencia y dicha empresa presentó una manifestación de vida útil para "estructuras de subestaciones", que no eran los equipos licitados; la inconformidad se determinó improcedente y extemporánea por el Órgano Interno de Control.

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RELACION DE INVITACIONES A CUANDO MENOS 3 PERSONAS A LA EMPRESA LYNDSLEY MANUFACTURING COMPANY

No.	Número de Contrato	Fecha del Contrato	Área Contratante	Monto en Moneda Nacional	Objeto Principal del Contrato	Tipo de Procedimiento	Por CFE autorizó	Firma como Representante Legal de la Empresa		Inconformidades	
								SI	NO	SI	NO
1	700237230	08/29/2007	Gcia.Regional Transmisión Oriente	\$1,174,892.00	Sistema de levantamiento de estructura	Invitación a cuando menos tres personas (por monto)	CP. Gregorio G. Cortes Viveros	Mario Pineda Mora			X
2	700238918	09/21/2007	Gcia.Regional Transmisión Central	\$949,947.00	Equipo de Maniobras	Invitación a cuando menos tres personas (por monto)	Ing. Daniel Munguía Jiménez	Mario Pineda Mora			X

TOTAL \$2,124,839.00

RELACION DE CONTRATOS ADJUDICADOS DIRECTAMENTE POR MONTOS A LA EMPRESA LINDSEY MANUFACTURING CO.

No.	Número de Contrato	Fecha del Contrato	Área Contratante	Monto en Moneda Nacional	Objeto Principal del Contrato	Tipo de Procedimiento	Por CFE autorizó	Inconformidades		
								Firma como Representante Legal de la Empresa	SI	NO
1	800311925	06/11/2007	Residencia General de Construcción Peninsular	\$358,546.00	Curso de Capacitación	Adjudicación Directa Por Monto	Ing. Juan Carlos López Barbosa		X	
2	700239186	09/28/2007	Gcia.Regional Transmisión Norte	\$153,176.00	Refacciones para Estructura de Emergencia	Adjudicación Directa Por Monto	Ing. Pedro Rivera Rodríguez		X	
3	700283461	05/30/2008	Gcia.Regional Transmisión Norte	\$156,805.00	Refacciones para Estructura de Emergencia	Adjudicación Directa Por Monto	Ing. Pedro Rivera Rodríguez		X	
TOTAL										
								\$668,527.00		