

**UNITED STATES v. DAWN SCHLEGEL, SANDRA HATFIELD, DAVID BROOKS and  
PATRICIA LENNEX  
- CRIMINAL DOCKET #CR-06-550, USAO #2003R01830**

Before the Honorable Joanna Seybert, United States District Judge, Eastern District of New York, Central Islip, Long Island, New York

<b><u>DEFENDANT</u></b>	<b><u>COURT EVENT</u></b>	<b><u>RESULT</u></b>
<b>DAWN SCHLEGEL</b>	<b>Pled guilty 10/23/07 Sentenced 11/5/14</b>	<b>TIME SERVED RESTITUTION: Ordered to pay \$91,496,846.93 in restitution joint and severally liable with defendants David Brooks and Sandra Hatfield SUPERVISED RELEASE: 3 years</b>
<b>SANDRA HATFIELD</b>	<b>Found guilty on 9/14/10 Pled guilty to tax evasion on 10/5/11  Sentenced 5/9/14</b>	<b>IMPRISONMENT: 84 months RESTITUTION: Ordered to pay \$91,496,846.93 in restitution joint and severally liable with defendants David Brooks and Dawn Schlegel SUPERVISED RELEASE: 3 years</b>
<b>DAVID BROOKS</b>	<b>Jury found guilty for securities fraud on 9/14/10 Pled guilty to tax evasion on 8/10/11  Sentenced 8/15/13</b>	<b>IMPRISONMENT: 17 years RESTITUTION: Ordered to pay \$91,496,846.93 in restitution joint and severally liable with defendants Dawn Schlegel and Sandra Hatfield. David Brooks has appealed his sentence and the forfeiture order. SUPERVISED RELEASE: 5 years</b>

**PATRICIA LENNEX**

**Sentenced 1/6/12**

**SPECIAL ASSESSMENT  
FEE: \$1,700.00  
FINE: \$8,700,000.00**

**PROBATION: 5 years  
FINE: \$5,000.00  
SPECIAL ASSESSMENT  
FEE: \$100.00**