

**MANAGEMENT DECISIONS ON AUDIT REPORTS
NOT IMPLEMENTED WITHIN ONE YEAR
AS OF MARCH 31, 2009**

Report Number/ Date Issued	Report Title	Management Improvements		Status of Final Action
		Recommended	Implemented	
<u>ATF</u> 05-30 06/28/05	The Bureau of Alcohol, Tobacco, Firearms and Explosives' National Integrated Ballistic Information Network	12	10	The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) is implementing corrective actions designed to enhance its promotional efforts for improving participation in the National Integrated Ballistic Network.
06-15 03/09/06	Follow-up Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Forensic Science Laboratories Workload Management	7	4	The ATF is implementing corrective actions to address its backlog of forensic cases, manage its workload from resource-intensive cases, and manage all incoming evidence submissions.
06-37 09/29/06	Management of Seized Assets by the Bureau of Alcohol, Tobacco, Firearms and Explosives	5	4	The ATF is implementing corrective measures to ensure that firearms taken into custody since July 2005 are traced through the National Tracing Center.
<u>BOP</u> 03-25 07/15/03	Select Application Controls Review of the Federal Bureau of Prisons' Sentry Database System	7	6	The Bureau of Prisons (BOP) is configuring the SENTRY workstations to restrict authorized users' access to the areas they have been authorized to access.

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04-16 03/09/04	The Federal Bureau of Prisons Inmate Release Preparation and Transitional Reentry Programs	13	10	The BOP is enhancing its inmate release preparation and reentry programs to provide inmates the proper programs and necessary skills for reentering their communities successfully.
08-08 02/27/08	The Bureau of Prisons' Efforts to Manage Inmate Health Care	11	9	The BOP is implementing various initiatives to address the weaknesses identified by the OIG.
08-09 03/04/08	Federal Bureau of Prisons Annual Financial Statement Fiscal Year 2007	10	7	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.
08-10 03/04/08	Federal Prison Industries, Inc. Annual Financial Statement Fiscal Year 2007	6	4	The OIG will reevaluate the status of the open recommendations during the annual financial statement audit testing.
GR-40-07-003 03/27/07	The Bureau of Prisons' Management of the Medical Services Contract with Medical Development International Butner, North Carolina Contract No. DJB10611-00	10	9	The BOP has implemented the necessary corrective action; however, the BOP is still working to address the cost exceptions identified in the audit report.

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<u>DEA</u> 03-35 09/30/03	The Drug Enforcement Administration's Implementation of the Government Performance and Results Act	7	5	The Drug Enforcement Administration (DEA) is implementing a system to collect, analyze and report performance data. In addition, the DEA is developing procedures and controls for data verification.
04-17 03/16/04	Follow-up Audit of the Drug Enforcement Administration's Laboratory Operations	15	14	The OIG will close the remaining recommendation when the DEA moves its Southeast Laboratory to a more appropriate facility.
05-25 05/25/05	The Drug Enforcement Administration's Payments to Confidential Sources	15	12	The DEA is implementing an automated system for tracking payments made to confidential sources and formalizing its process for reconciling all payments made to confidential sources.
07-06 01/04/07	The Drug Enforcement Administration's Handling of Cash Seizures	7	4	The DEA is implementing policies related to the timely transport of seized cash to the banks.

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<u>EOUSA</u> 08-04 01/24/08	The Department of Justice's Victim Notification System	19	2	The Executive Office for United States Attorneys (EOUSA) is implementing corrective action to strengthen its management of the Department's Victim Notification System (VNS) and to improve the effectiveness of the VNS, the accuracy and completeness of data in the VNS, and the services the VNS provides to victims.
<u>EOUST</u> 08-19 03/26/08	The United States Trustee Program's Oversight of Chapter 7 Panel Trustees and Debtors	4	3	The Executive Office for United States Trustees (EOUST) coordinated with the Administrative Office of the United States Courts to develop a new bankruptcy petition preparation software. The EOUST is deploying the software to all of the U.S. Trustee offices.

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<u>FBI</u> 02-38 02/13/03	Review of the Federal Bureau of Investigation's Counterterrorism Program	14	13	Report closure is dependent upon the implementation of the United Financial Management System (UFMS) in the Federal Bureau of Investigation (FBI). The OIG has suspended follow-up for this report until the UFMS is implemented in FY 2011 or later.
03-37 09/30/03	Federal Bureau of Investigation Casework and Human Resource Allocation	7	5	The FBI is implementing a virtual case file system to replace its automated case support system.
04-18 03/31/04	Federal Bureau of Investigation Legal Attache Program	7	6	The FBI is implementing corrective measures to improve the Legal Attache Program.
05-07 02/01/05	The Federal Bureau of Investigation's Management of the Trilogy Information Technology Modernization Project	9	8	The FBI replaced the Trilogy system with the Sentinel system. Consequently, the OIG is monitoring the report through its follow-up on OIG audit report 07-03, Sentinel AuditII: Status of FBI's Case Management System.
05-20 05/03/05	The Federal Bureau of Investigation's Efforts to Hire, Train and Retain Intelligence Analysts	15	5	The OIG is conducting a follow-up audit that will specifically address the recommendations in this audit report. Consequently, the OIG has suspended follow-up for this audit report.

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05-34 08/01/05	Review of the Terrorist Screening Center's Efforts to Support the Secure Flight Program	5	4	The FBI is coordinating with the Transportation Security Administration to implement the Secure Flight Program and to establish true workload figures.
06-14 03/09/06	The Federal Bureau of Investigation's Pre-Acquisition Planning For and Controls Over the Sentinel Case Management System	7	4	Follow-up for this audit has been suspended while the OIG conducts follow-up audits. However, the OIG will continue to monitor the status of the three open recommendations.
06-26 03/31/06	The Federal Bureau of Investigation's Efforts to Protect the Nation's Seaports	18	17	The FBI is examining various high risk scenarios to determine response time and how FBI resources would address these scenarios.
06-32 05/22/06	Combined DNA Index System Operational and Laboratory Vulnerabilities	22	21	The FBI is implementing web-based tools to aid the Combined DNA Index System community's awareness, understanding and consistent interpretation of the Quality Assurance Standards.
07-18 02/09/07	The Federal Bureau of Investigation's Controls Over Weapons and Laptop Computers Follow-up Audit	13	8	The FBI is implementing several corrective measures to strengthen its controls over weapons and laptop computers.

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07-41 09/05/07	Follow-up Audit of the Terrorist Screening Center	18	15	The FBI is working aggressively to redress the weaknesses identified by the OIG and strengthen the performance of the Terrorist Screening Center.
08-03 01/09/08	The Federal Bureau of Investigation's Management of Confidential Case Funds and Telecommunications Costs	16	2	The FBI is implementing various constructive measures to enhance its management of the confidential case funds and telecommunications costs.
GR-40-06-006 05/26/06	Compliance with Standards Governing Combined DNA Index System Activities at the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory, Nashville, Tennessee	3	2	The FBI is working with the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory to review all forensic profiles to ensure that all profiles in NDIS are allowable and all specimen identification numbers are complete and accurate.
GR-40-07-004 05/11/07	Compliance with Standards Governing Combined DNA Index System Activities at the Richland County Sheriff's Department DNA Laboratory Columbia, South Carolina	3	2	The OIG will close this audit report when the FBI provides documentation showing that the Laboratory has implemented planned procedures regarding eligibility requirements for matches.

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GR-40-07-008 09/28/07	Compliance with Standards Governing Combined DNA Index System Activities at the Charlotte-Mecklenburg Police Crime Laboratory Charlotte, North Carolina	5	2	The FBI is coordinating with the Charlotte-Mecklenburg Police Crime Laboratory to implement internal controls to ensure the allowability of forensic profiles to be added to CODIS, the confirmation of proper matches only and laboratory contacts investigators regarding the status of cases before proceeding with work on candidate matches.
GR-60-08-007 03/21/08	Compliance with Standards Governing Combined DNA Index System Activities at the Utah Department of Public Safety Bureau of Forensic Services, Salt Lake City, Utah	2	0	In coordination with the Utah Department of Public Safety Bureau of Forensic Services, the FBI is implementing procedures to prevent concurrent login by CODIS users on the CODIS system and to facilitate the timely submission of required reports.
<u>JMD</u> 01-01 11/06/00	Departmental Critical Infrastructure Protection	4	1	The Justice Management Division (JMD) is compiling documentation on the development of the Department's mission essential inventory and the completion of the related vulnerability assessments and remediation plans.

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02-21 06/10/02	Summary of the Independent Evaluation Pursuant to the Government Information Security Reform Act Fiscal Year 2001	7	0	JMD is implementing various initiatives to strengthen the Department's IT security management and oversight activities.
02-31 08/01/02	The Department of Justice's Control Over Weapons and Laptop Computers	13	12	JMD continues to work on the development and implementation of the DOJ lifecycle management policy.
04-05 11/13/03	Departmental Critical Infrastructure Protection Implementing Plans to Protect Cyber-based Infrastructure	26	23	JMD is coordinating with DOJ components to implement the required corrective actions for remedying the weaknesses identified by the OIG.
05-22 05/03/05	The Joint Automated Booking System	6	5	JMD is implementing requirements for booking federal offenders through the Joint Automated Booking System.
07-15 01/25/07	Asset Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statement Fiscal Year 2006	3	2	The OIG will reassess the status of the open recommendation during the annual financial statement audit testing.

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07-37 06/20/07	Identification and Review of the Department's Major Information Technology Systems Inventory	3	1	JMD is working with the Departmental component Chief Information Officers to develop and implement cost effective mechanisms for reporting accurate, complete and verifiable costs for the individual IT systems.
07-39 08/07/07	Audit of the Department of Justice Information Technology Studies, Plans and Evaluations	5	1	JMD is evaluating the process and standards for producing IT studies, plans and evaluations for individual IT projects and will implement corrective actions, as necessary.
08-01 12/07/07	U.S. Department of Justice Annual Financial Statement Fiscal Year 2007	5	0	The OIG will reassess the status of this audit report during the annual financial statement audit testing.
08-14 03/12/08	Asset Forfeiture Funds and Seized Asset Deposit Fund Annual Financial Statement Fiscal Year 2007	8	0	The OIG will reassess the status of the open audit recommendations during the annual financial statement audit testing.

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<u>OFDT</u> 05-04 12/17/04	The Department of Justice Office of the Federal Detention Trustee	11	10	The Office of the Federal Detention Trustee is examining its policies and procedures pertaining to Intergovernmental Service Agreements to identify areas where detention costs be reduced.
<u>OJP</u> 07-22 03/26/07	Office of Justice Programs National Law Enforcement and Corrections Technology Centers	3	2	The Office of Justice Programs (OJP) has completed the necessary corrective actions; however, it continues to work on remedying the costs exceptions identified in the report.
08-11 03/07/08	Office of Justice Programs Annual Financial Statement Fiscal Year 2007	8	6	The OIG will reevaluate the status of the open recommendations during the annual financial statement audit testing.
<u>OVC</u> GR-80-07-007 04/26/07	Services for Trafficking Victims Discretionary Grant Program Cooperative Agreement Awarded to the YMCA of the Greater Houston Area	7	1	The Office for Victims of Crime (OVC) is working with the grantee to rectify the weaknesses and cost exceptions identified by the OIG in the audit report.

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<u>OVW</u> GR-30-07-005 09/28/07	Office on Violence Against Women West Virginia Division of Criminal Justice Services Rural Domestic Violence and Child Victimization Enforcement Charleston, West Virginia	8	2	The Office on Violence Against Women (OVW) is coordinating with the grantee to remedy the weaknesses identified by the OIG.
GR-50-08-002 01/25/08	Legal Assistance for Victims Grant and Services for Human Trafficking Victims Grants Administered by the Heartland Alliance for Human Needs and Human Rights Chicago, Illinois	11	3	OVW is working with the grantee to address the deficiencies identified by the OIG.
GR-60-05-012 09/08/05	Office on Violence Against Women Grant Awarded to the Legal Aid of Nebraska, Omaha, Nebraska	11	6	OVW is coordinating with the grantee to redress the weaknesses and cost exceptions identified by the OIG.
GR-80-08-003 03/28/03	Office on Violence Against Women Grants Awarded to Oklahoma Native American Domestic Violence Coalition Del City, Oklahoma	15	0	OVW is working with the grantee to remedy the weaknesses and cost exceptions identified by the OIG.

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USMS 04-14 02/18/04	United States Marshals Service Prisoner Medical Care	17	16	The United States Marshals Service (USMS) is coordinating with the OFDT to obtain the necessary funding for the implementation and oversight of the USMS National Managed Care contract.
05-10 03/11/05	United States Marshals Service Administration of the Witness Security Program.	21	18	The USMS is implementing several corrective measures that will enable the USMS to manage the Witness Security Program more effectively.
07-01 10/16/06	The United States Marshals Service's Management of the Justice Prisoner and Alien Transportation System	15	11	The USMS is implementing corrective actions in order to strengthen its management of the Justice Prisoner and Alien Transportation System.
07-38 07/13/07	The United States Marshals Service's Workforce Planning and Management	15	1	The USMS is implementing several corrective measures to enhance its workforce planning and management.
08-15 03/12/08	United States Marshals Service Annual Financial Statement Fiscal Year 2007	14	11	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.

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GR-30-96-013 09/30/96	United States Marshals Service Intergovernmental Service Agreement for Detention Facilities with Union County, New Jersey	4	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-80-97-017 09/04/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Tarrant County, New Mexico	2	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-90-98-001 10/10/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Pinal County, Arizona at the Corrections' Corporation Central Arizona Detention Facility	2	1	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.

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<u>Multi-Component</u>				
06-36 09/05/06	Department of Justice Purchase Card Expenditures Related to Hurricane Recovery Efforts	20	10	The ATF, BOP, DEA, EOUSA, and FBI are implementing corrective actions to ensure that cardholder profiles are updated and to reinforce their policies on what items may be purchased with the purchase card, the requirement to document the availability of funds and the retention of supporting documentation.
07-17 01/29/07	Efforts to Prevent, Identify and Recover Improper and Erroneous Payments by Selected Department of Justice Components	20	17	JMD is taking action to ensure that future risk assessments include an assessment of federal award payments by recipients while ATF and the FBI are implementing corrective actions pertaining to their recovery audit programs.
07-20 02/16/07	The Department of Justice's Internal Controls Over Terrorism Reporting	16	8	The Criminal Division and the FBI are implementing corrective actions that will enable each Departmental component to collect and report accurate terrorism statistics.

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07-42 09/22/07	Department of Justice Conference Expenditures	14	9	JMD is coordinating with the Departmental components to establish a uniform mechanism for calculating and reporting conference expenditures. JMD is also developing Department policies related to conference expenditures and guidance for DOJ conference planners to follow when planning events.
08-16 03/14/08	Audit of the U.S. Department of Justice Terrorist Watchlist Nomination Processes	10	3	The ATF, FBI and USNCB are implementing corrective actions pertaining to the nomination of non-investigative subjects to the consolidated terrorist watchlist, the correct sourcing of watchlist records with information shared by the agencies and an agreement on sharing terrorism information for use in the consolidated terrorist watchlist,
08-18 03/25/08	Audit of Department of Justice Key Indicators	22	20	OJP is addressing weaknesses related to two key indicators identified by the OIG. The Executive Office for United States Attorneys is assessing the accuracy of its MD&A narratives on key indicators and ensuring the accuracy of key indicator performance data.

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<u>COPS</u> Various	26 Grant Audit Reports 14 Single Audit Act Reports	318	131	COPS is coordinating with the various grantees to remedy the actual cost exceptions and to compile the required documentation pertaining to redeployment, retention planning, and supplanting.
<u>OJP</u> Various	33 Grant Audit Reports 35 Single Audit Act Reports	499	261	OJP is coordinating with the grantees to remedy the deficiencies and cost exceptions identified by the OIG.